Existence of Gibbsian point processes with geometry-dependent interactions

D. Dereudre, R. Drouilhet and H.-O. Georgii

Plan

1 Motivation and Introduction

- 2 Stationary Gibbs state
- 3 Existence of Gibbs state (classical tools)

Existence of Gibbsian point processes with geometry-dependent interactions

- Classical framework in R^d: Point process with (pairwise) interaction on the complete graph (ex: Ruelle class of superstable model, Lennard-jones model,...).
- Classical framework in Z^d: Lattice field with (pairwise) interaction on the nearest-neighbour graph (Ising model, Potts model,...)
- New framework in R^d: Point process with (pairwise) interaction on the nearest-neighbour graph such the Delaunay graph (for example). Introduced by Baddeley-Moller in some bounded domain.
- Problem. Existence of such kind of model defined as a stationary point process in R^d.

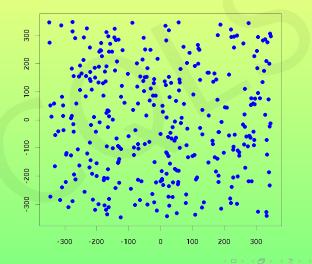
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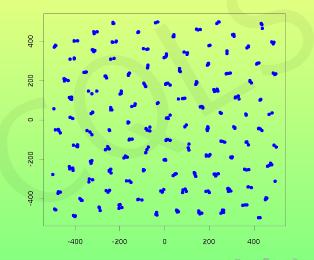
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Point process without interaction

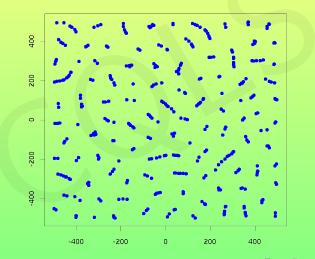
Poisson process with intensity 0.0016 (mean=400 points in the domain)



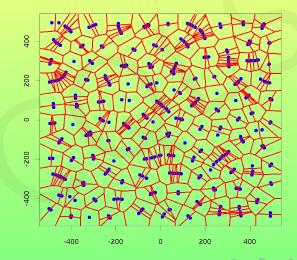
Point process with classical interaction Multi-Strauss point process



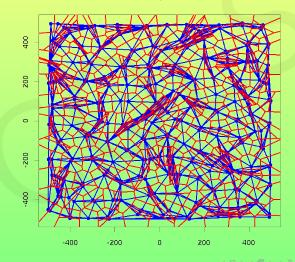
Point process with Delaunay neighbour interaction Delaunay Multi-Strauss point process



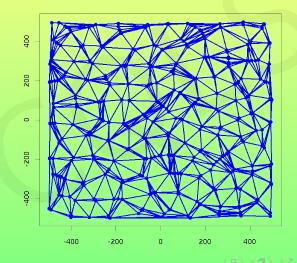
Point process with Delaunay neighbour interaction The Voronoï diagram



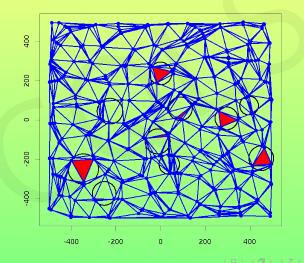
Point process with Delaunay neighbour interaction The Voronoï diagram and its dual graph



Point process with Delaunay neighbour interaction This is the Delaunay graph



Point process with Delaunay neighbour interaction No other points in any circle circumscribing a Delaunay triangle



Point processes: definition and notation

Notation

- $\Delta \Subset \mathbb{R}^d$ and $\Lambda \Subset \mathbb{R}^d$ means Δ and Λ are bounded Borelian sets.
- Let $\Lambda \subset \mathbb{R}^d$ and $\varphi \in \Omega$, $\varphi_\Lambda := \varphi \cap \Lambda \in \Omega_\Lambda$
- Useful notation: sum over all configurations φ in Λ

$$\oint_{\Lambda} d\varphi \, g(\varphi) := \sum_{n=0}^{+\infty} \frac{1}{n!} \int_{\Lambda} \cdots \int_{\Lambda} dx_1 \cdots dx_n \, g\left(\{x_1, \cdots, x_n\}\right)$$
Poisson measure Π_{Λ} :
$$\int_{\Omega_{\Lambda}} \Pi_{\Lambda}(d\varphi) g(\varphi) := e^{-|\Lambda|} \oint_{\Lambda} d\varphi g(\varphi)$$

Point process in some domain $\Lambda \subset \mathbb{R}^d$

A point process in Λ is a random variable Φ_{Λ} with values in Ω_{Λ} equipped with the smallest σ -field which make measurable all the maps $i_{\Delta}: \varphi \in \Omega_{\Lambda} \rightarrow |\varphi_{\Delta}|$ with $\Delta \subset \Lambda \in \mathcal{B}_b$.

Point processes: definition and notation

Notation

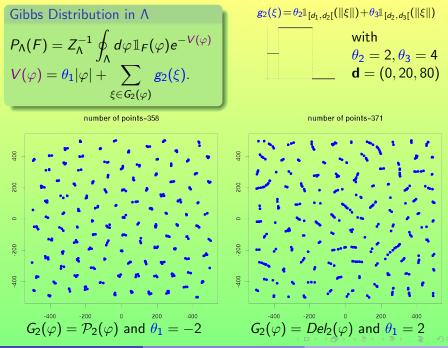
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- Let $\Lambda \subset \mathbb{R}^d$ and $\varphi \in \Omega$, $\varphi_\Lambda := \varphi \cap \Lambda \in \Omega_\Lambda$
- Useful notation: sum over all configurations φ in Λ ($z \in \mathbb{R}$)

$$\oint_{\Lambda}^{z} d\varphi g(\varphi) := \sum_{n=0}^{+\infty} \frac{z^{n}}{n!} \int_{\Lambda} \cdots \int_{\Lambda} dx_{1} \cdots dx_{n} g\left(\{x_{1}, \cdots, x_{n}\}\right)$$

$$\Rightarrow \text{ Poisson measure } \Pi_{\Lambda}^{z} : \int_{\Omega_{\Lambda}} \Pi_{\Lambda}^{z} (d\varphi) g(\varphi) := e^{-z|\Lambda|} \oint_{\Lambda}^{z} d\varphi g(\varphi)$$

Point process in some domain $\Lambda \subset \mathbb{R}^d$

A point process in Λ is a random variable Φ_{Λ} with values in Ω_{Λ} equipped with the smallest σ -field which make measurable all the maps $i_{\Delta}: \varphi \in \Omega_{\Lambda} \rightarrow |\varphi_{\Delta}|$ with $\Delta \subset \Lambda \in \mathcal{B}_{b}$.



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Gibbs Distribution in A

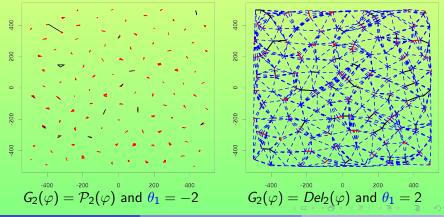
$$P_{\Lambda}(F) = Z_{\Lambda}^{-1} \oint_{\Lambda} d\varphi \mathbb{1}_{F}(\varphi) e^{-V(\varphi)}$$

$$V(\varphi) = \theta_{1} |\varphi| + \sum_{\xi \in G_{2}(\varphi)} g_{2}(\xi).$$

Small 425 (0.7%), Medium 19 (0%), Large 63459 (99.3%)

 $g_{2}(\xi) = \theta_{2}\mathbb{1}_{[d_{1},d_{2}[}(\|\xi\|) + \theta_{3}\mathbb{1}_{[d_{2},d_{3}[}(\|\xi\|))$ with $\theta_{2} = 2, \theta_{3} = 4$ $\mathbf{d} = (0, 20, 80)$

Small 280 (26.1%), Medium 41 (3.8%), Large 750 (70%)



D. Dereudre, <u>R. Drouilhet</u> and H.-O. Georgii

Plan

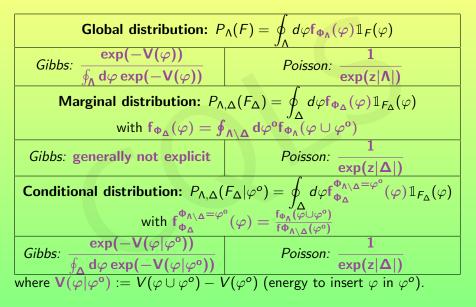
1 Motivation and Introduction

2 Stationary Gibbs state

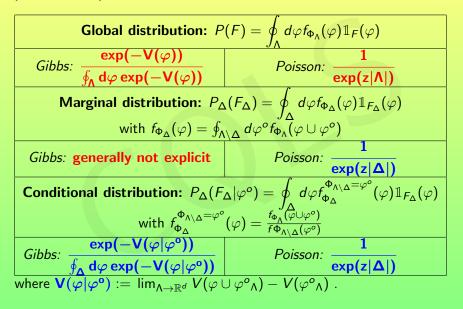
3 Existence of Gibbs state (classical tools)

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Gibbs Point Process in bounded domain A



(Stationary) Gibbs Point Process in $\Lambda = \mathbb{R}^d$



Objective

Stationary Gibbs states

The set $\mathcal{G}_s(V)$ of stationary Gibbs state is nonempty, that is, there exists a translation invariant probability measure P such that:

$$\underbrace{PP_{\Delta} = P}_{\text{D.L.R. equation}} \iff \underbrace{P(F|\mathcal{F}_{\Delta^c}) = P_{\Delta}(F|\cdot) P_{\text{-a.s}}}_{P = \text{distribution of } \Phi}$$

General sketch of the proof

- Find $(P_n)_n$ such that (\mathbf{E}_n) : $P_n P_{\Delta}^n = P_n$ where $P_{\Delta}^n \xrightarrow[n \to +\infty]{} P_{\Delta}$.
- **[GC]** Gibbs Candidate: P is an accumulation point of $(P_n)_n$ by relative compactness argument.
- **[GP]** Gibbs Property: Prove D.L.R., i.e. (\mathbf{E}_n) when $n \to +\infty$.

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Existence of stationary Gibbs models (classical tools)

Restriction to models satisfying:

• [L] Local property: $V(\varphi_{\Lambda}|\varphi^{o}_{\Lambda^{c}}) = V(\varphi_{\Lambda}|\varphi^{o}_{\widetilde{\Lambda}\setminus\Lambda})$ with $\widetilde{\Lambda} \in \mathbb{R}^{d}$

An interaction function g_2 acting on some graph $\mathcal{G}(\varphi)$ is said to be based on $\mathcal{G}'(\varphi)(\subset \mathcal{G}(\varphi))$ if $g_2(\xi) = g'_2(\xi)\mathbf{1}_{\mathcal{G}'(\varphi)}(\xi)$

Assumptions for [L] • $G_2(\varphi) = \mathcal{P}_2(\varphi)$: [Range on g_2] (i.e $g_2(d) = 0$ when $d \ge R$) (\Leftrightarrow [g_2 based on $\mathcal{P}_{2,R}^{loc}(\varphi)$] with $\mathcal{P}_{2,R}^{loc}(\varphi)$] := { $\xi \in \mathcal{P}_2(\varphi) : ||\xi|| < R$ }) • $G_2(\varphi) = Del_2(\varphi)$: [g_2 based on $Del_{2,R}^{loc}(\varphi)$] with $Del_{2,R}^{loc}(\varphi) = \bigcup_{\psi \in Del_{3,R}^{loc}(\varphi)} \mathcal{P}_2(\psi)$ where R > 0, $r(\psi)$ the radius of the circumscribed circle of some triangle ψ and $Del_{3,R}^{loc}(\varphi) = \{\psi \in Del_3(\varphi), r(\psi) \le R\}$.

Existence of stationary Gibbs models (classical tools)

Existence of stationary Gibbs state

Q ([Superstability] and [L]) ⇒ (G_s(V) ≠ Ø) **Q** (([HC] or [I]) and [L]) ⇒ ([LS] and [L]) ⇒ (G_s(V) ≠ Ø)

with

- [LS] Local Stability: $V(\varphi_{\Lambda}|\varphi^{o}_{\Lambda^{c}}) \geq -K|\varphi_{\Lambda}|$
- [HC] Hard-Core: $V(\varphi_{\Lambda}|\varphi^{o}_{\Lambda^{c}}) = +\infty \Leftarrow (\exists \xi \in \varphi_{\Lambda} : ||\xi|| < \delta)$
- [I] Inhibition: $V(\varphi_{\Lambda}|\varphi^{o}_{\Lambda^{c}}) \geq 0$

Application via [Superstability]

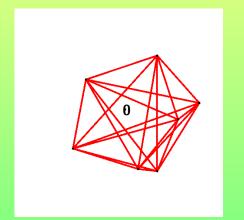
- G₂(φ) = P₂(φ): tailor-made for this case with g₂ not necessarily nonnegative (but g₂(0) > 0)!
- G₂(φ) = Del₂(φ): [Superstability] never true when d = 2 (idem when d > 2 ???).

Existence of stationary Gibbs models (classical tools)

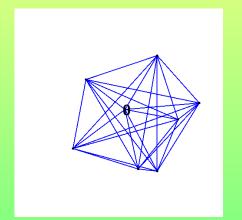
Application via [LS]

G₂(φ) = P₂(φ):
[Hard-Core on g₂] and [Range on g₂]
[Inhibition on g₂ (g₂ ≥ 0)]) and [Range on g₂]
G₂(φ) = Del₂(φ): (Bertin, Billiot, Drouilhet)
[Hard-Core on g₂] and [g₂ based on Del^{loc}_{2,R}(φ)]
[g₂ based on Del^{β₀}_{2,β}(φ)] and [Range on g₂] with Del^{β₀}_{2,β}(φ) = ⋃<sub>ψ∈Del^{β₀}_{3,β}(φ)P₂(ψ) where β₀ ∈ [0, π/3[, β(ψ) the smallest angle of a triangle ψ and Del^{β₀}_{3,β}(φ) = {ψ ∈ Del₃(φ), β(ψ) > β₀}.
</sub>

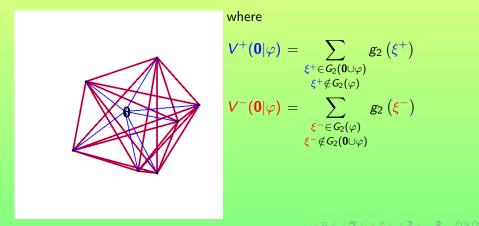
Pointwise local energy $(G_2(\varphi) = \mathcal{P}_2(\varphi))$: $V(\mathbf{0}|\varphi) := V(\mathbf{0} \cup \varphi) - V(\varphi)$



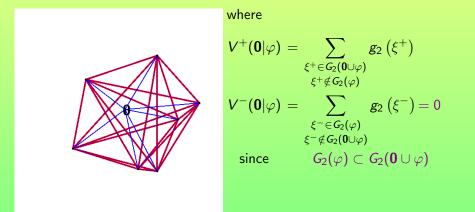
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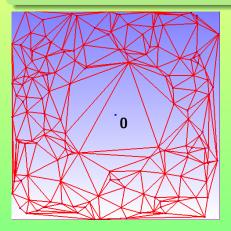
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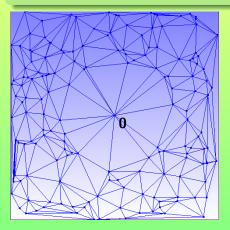
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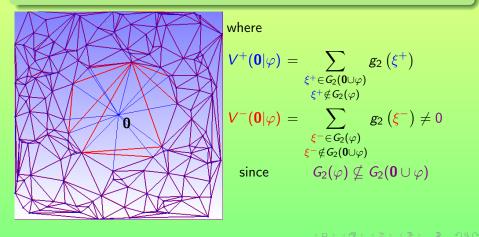
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Existence of stationary Gibbs models (via entropic tools) Existence of stationary Gibbs state (entropic tools of H.-O. Georgii) $\left(([\mathbf{GC}-\mathbf{GE}] \text{ and } [\mathbf{L}]\right) \Rightarrow \left([\mathbf{GC}-\mathbf{IM}] \text{ and } [\mathbf{L}]\right) \Rightarrow \left(\mathcal{G}_{\mathfrak{s}}(V) \neq \emptyset\right)$ with

[GC-IM]: there exists φ^o ∈ Ω such that I(P_Λ(·|φ^o); π^z_Λ) ≤ c|Λ| where I(P; Q) denotes the relative entropy of P and Q.
[GC-GE] (⇒ [GC-IM]): there exists φ^o ∈ Ω such that V(φ_Λ|φ^o_{Λ^c}) > -c₀|Λ|, uniformly on φ_Λ ∈ Ω_Λ

Application

G₂(φ) = P₂(φ): (Georgii, Haggström) [Superstability] (⇒ [GC-GE]) and [Range on g₂]
G₂(φ) = Del₂(φ): (Bertin, Billiot, Drouilhet) [Inhibition (g₂ ≥ 0)] and [g₂ based on Del^{loc}_{2,R}(φ)] (Choosing φ^o = Ø, V(φ_Λ|φ^o_{Λc}) = V(φ_Λ) ≥ 0 ⇒ [GC-GE]).

Existence of Gibbs models (local graph and non hereditary)

Gibbs property via local property of the graph (D. Dereudre)

- Remark: a nearest-neigbour type graph is local and, for a.s. any φ^o, there exists Λ(φ^o) ∈ ℝ^d: V(0|φ^o) = V(0|φ^o_{Λ(φ^o)}) which is clearly less restrictive than [L]!
- No longer [L] is required and consequently the following models exist:

() $[g_2 \text{ based on } Del_2(\varphi)]$ and $[\text{Hard-Core on } g_2]$ **(a)** $[g_2 \text{ based on } Del_{\mathcal{B}_{\beta}}^{\beta_0}(\varphi)]$

Non hereditary extension (D. Dereudre)

Hereditary property:

 $V(arphi) < +\infty \Rightarrow V(\psi) < +\infty$ whenever $\psi \in arphi$ is usually required

- Existence of non hereditary Delaunay models is first considered.
- Example: Rigid models such that $g_2(d) = +\infty$ for d > D.

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Non hereditary extension (D. Dereudre)

Hereditary property:

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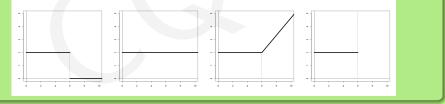
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Goals

- Thanks to the entropic tools, replacement of [Superstability] or [LS] by Stability [S]: V(φ_Λ) ≥ −K|φ_Λ|
- Extension to general nearest-neighbour graph (not only the Delaunay graph)
- Locality of the graph instead of the local property.
- Non-hereditary case considered.
- In the Delaunay case, consider the interaction function g_2 of the form:



New contribution (Dereudre, Drouilhet and Georgii)

Definition

- Hypergraph structure: measurable subset \mathcal{E} of $\Omega_f \times \Omega$ such that $\eta \subset \omega$ for all $(\eta, \omega) \in \mathcal{E}$.
- Hyperedge of ω : $\eta \in \mathcal{E}(\omega) \Leftrightarrow (\eta, \omega) \in \mathcal{E}$.
- Hyperedge potential: measurable function φ from \mathcal{E} to $\mathbb{R} \cup \{\infty\}$.
- Hyperedge potential φ is called *shift-invariant* if

 $(\vartheta_x\eta,\vartheta_x\omega)\in\mathcal{E} \text{ and } \varphi(\vartheta_x\eta,\vartheta_x\omega)=\varphi(\eta,\omega), \forall (\eta,\omega)\in\mathcal{E}, \ x\in\mathbb{R}^d.$

• Finite horizon property for φ if for each $(\eta, \omega) \in \mathcal{E}$ there exists some $\Delta \Subset \mathbb{R}^d$ such that

 $(\eta, \tilde{\omega}) \in \mathcal{E}$ and $\varphi(\eta, \tilde{\omega}) = \varphi(\eta, \omega)$ when $\tilde{\omega} = \omega$ on Δ . (1)

Hamiltonian

$$H_{\Lambda,\omega}(\zeta) := \sum_{\eta \in \mathcal{E}_{\Lambda}(\zeta \cup \omega_{\Lambda^{c}})} \varphi(\eta, \zeta \cup \omega_{\Lambda^{c}}) \quad \text{for } \zeta \in \Omega_{\Lambda}$$
(2)

where

$$\mathcal{E}_{\Lambda}(\omega) = ig\{\eta \in \mathcal{E}(\omega) : \varphi(\eta, \zeta \cup \omega_{\Lambda^c})
eq \varphi(\eta, \omega) ext{ for some } \zeta \in \Omega_{\Lambda}ig\}.$$
 (3)

which is the set of hyperedges η in a configuration ω for which either η itself or $\varphi(\eta, \omega)$ depends on the points of ω in Λ .

Remark on the conditional density function

$$\frac{\exp(-V(\zeta|\omega_{\Lambda^c}))}{\oint_{\Lambda} d\zeta \exp(-V(\zeta|\omega_{\Lambda^c}))} = \frac{\exp(-H_{\Lambda,\omega}(\zeta))}{\oint_{\Lambda} d\zeta \exp(-H_{\Lambda,\omega}(\zeta))}$$

Definition

- Ω_{cr}^{Λ} consists of the set of configuration $\omega \in \Omega$ which confines the range of φ from Λ : there exists a set $\partial \Lambda(\omega) \Subset \mathbb{R}^d$ such that $\varphi(\eta, \zeta \cup \tilde{\omega}_{\Lambda^c}) = \varphi(\eta, \zeta \cup \omega_{\Lambda^c})$ whenever $\tilde{\omega} = \omega$ on $\partial \Lambda(\omega)$, $\zeta \in \Omega_{\Lambda}$ and $\eta \in \mathcal{E}_{\Lambda}(\zeta \cup \omega_{\Lambda^c})$.
- $\partial \Lambda(\omega) := \Lambda^r \setminus \Lambda$ with Λ^r is the closed *r*-neighborhood of Λ and $r := r_{\Lambda,\omega}$ is chosen as small as possible.

•
$$\partial_{\Lambda}\omega = \omega_{\partial\Lambda(\omega)}$$
.

For $\omega \in \Omega^{\Lambda}_{\mathrm{cr}}$ we have

$$\mathcal{H}_{\Lambda,\omega}(\zeta) := \sum_{\eta \in \mathcal{E}_{\Lambda}(\zeta \cup \omega_{\Lambda^c})} \varphi(\eta, \zeta \cup \omega_{\Lambda^c}) = \sum_{\eta \in \mathcal{E}_{\Lambda}(\zeta \cup \partial_{\Lambda}\omega)} \varphi(\eta, \zeta \cup \partial_{\Lambda}\omega), \quad (4)$$

and this sum extends over a finite set.

(R) The range condition

There exist constants ℓ_R , $n_R \in \mathbb{N}$ and $\delta_R < \infty$ such that for all $(\eta, \omega) \in \mathcal{E}$ one can find a horizon Δ as in (1) satisfying the following: For every $x, y \in \Delta$, there exist ℓ open balls B_1, \ldots, B_ℓ (with $\ell \leq \ell_R$) such that

- the set $\bigcup_{i=1}^{\ell} \overline{B}_i$ is connected and contains x and y, and
- for each *i*, either diam $B_i \leq \delta_R$ or $N_{B_i}(\omega) \leq n_R$.

Proposition

Under (**R**), for each $\Lambda \Subset \mathbb{R}^d$ there exists a set $\hat{\Omega}^{\Lambda}_{cr} \in \mathcal{F}_{\Lambda^c}$ such that $\hat{\Omega}^{\Lambda}_{cr} \subset \Omega^{\Lambda}_{cr}$ and $P(\hat{\Omega}^{\Lambda}_{cr}) = 1$ for all $P \in \mathscr{P}_{\Theta}$ with $P(\{\emptyset\}) = 0$.

(S) Stability.

The hyperedge potential φ is called *stable* if there exists a constant $c_S \ge 0$ such that

$$H_{\Lambda,\omega}(\zeta) \ge -c_S \ \#(\zeta \cup \partial_\Lambda \omega) \tag{5}$$

for all $\Lambda \Subset \mathbb{R}^d$, $\zeta \in \Omega_\Lambda$ and $\omega \in \Omega^{\Lambda}_{cr}$.

• Periodic partition of \mathbb{R}^d into parallelotopes

$$C(k) := \{ \mathsf{M} x \in \mathbb{R}^d : x - k \in [-1/2, 1/2[^d] \}.$$

with $k \in \mathbb{Z}^d$ and $M \in \mathbb{R}^{d \times d}$ be an invertible $d \times d$ matrix. For brevity, C = C(0).

• Let Γ be a measurable subset of $\Omega_C \setminus \{\emptyset\}$ and

$$\overline{\mathsf{\Gamma}} = \Big\{ \omega \in \Omega : artheta_{\mathsf{M}k}(\omega_{\mathcal{C}(k)}) \in \mathsf{\Gamma} \; \; ext{for all} \; k \in \mathbb{Z}^d \Big\}$$

the set of all pseudo-periodic configurations.

(6)

(U) Upper regularity.

M and Γ can be chosen so that the following holds.

(U1) Uniform confinement: $\overline{\Gamma} \subset \Omega^{\Lambda}_{cr}$ for all $\Lambda \Subset \mathbb{R}^d$, and

$$r_{\Gamma} := \sup_{\Lambda \Subset \mathbb{R}^d} \sup_{\omega \in \overline{\Gamma}} r_{\Lambda,\omega} < \infty.$$

(U2) Uniform summability: $c_{\Gamma}^{+} := \sup_{\omega \in \overline{\Gamma}} \sum_{\eta \in \mathcal{E}(\omega): \eta \cap C \neq \emptyset} \frac{\varphi^{+}(\eta, \omega)}{\#(\hat{\eta})} < \infty,$

where
$$\hat{\eta} := \{k \in \mathbb{Z}^d : \eta \cap C(k) \neq \emptyset\}.$$

(U3) Strong non-rigidity: $e^{z|C|} \prod_{C}^{z}(\Gamma) > e^{c_{\Gamma}}$ where c_{Γ} is defined as in (U2) with φ in place of φ^{+} .

Theorem

For every hypergraph structure \mathcal{E} , hyperedge potential φ and activity z > 0 satisfying (S), (R) and (U) there exists at least one Gibbs measure $P \in \mathscr{G}_{\Theta}(\varphi, z)$.

(Û) Alternative upper regularity.

M and Γ can be chosen so that the following holds.

(Ü1) Lower density bound: There exist constants a, b > 0 such that $\#(\zeta) \ge a|\Lambda| - b$ whenever $\zeta \in \Omega_f$ is such that $H_{\Lambda,\omega}(\zeta) < \infty$ for some $\zeta \subset \Lambda \Subset \mathbb{R}^d$ and some $\omega \in \overline{\Gamma}$.

 $(\hat{U}2) = (U2)$ Uniform summability.

(Û3) Weak non-rigidity: $\prod_{C}^{z}(\Gamma) > 0$.

Theorem

A Gibbs measure $P \in \mathscr{G}_{\Theta}(\varphi, z)$ exists also under the hypotheses (S), (R) and $(\hat{\mathbf{U}})$.

Simplified upper regularity.

Same as (U) and (\hat{U}) but with Γ chosen as:

$$\Gamma^{\mathcal{A}} = ig\{\zeta \in \Omega_{\mathcal{C}}: \zeta = \{x\} ext{ for some } x \in \mathcal{A}ig\}.$$

Examples

Polynomially increasing Delaunay edge interactions

Let d = 2 and φ be a edge potential on Del_2 which is bounded below such that

 $\phi(\ell) \leq \kappa_0 + \kappa_1 \ell^{lpha}$ for some constants $\kappa_0 \geq 0$, $\kappa_1 \geq 0$ and lpha > 0.

Then there exists at least one Gibbs measure for φ and every activity

$$z > (1+2\varrho_0)e^{3\kappa_0}(3\alpha e^2\kappa_1/2)^{1/lpha}/(\pi \varrho_0^2).$$

Long Delaunay edge exclusion.

Let d = 2 and φ be a pure edge potential on Del_2 which is bounded below and such that there are constants $0 \le \ell_0 < \ell_1 \le \ell_2$:

$$\sup_{\ell_0 \leq \ell \leq \ell_1} \phi(\ell) < \infty \quad \text{and} \quad \phi(\ell) = \infty \; \text{ if } \ell > \ell_2.$$

Then there exists at least one Gibbs measure for φ and every z > 0.

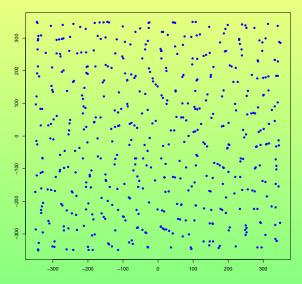
Examples

Many other examples

- Polynomially increasing Delaunay triangle interactions
- Shape-dependent Delaunay triangle interactions
- Many-body interactions of finite range
- Forced-clustering k-nearest neighbor interactions
- Voronoi cell interactions
- Adjacent Voronoi cell interactions

Example 1:

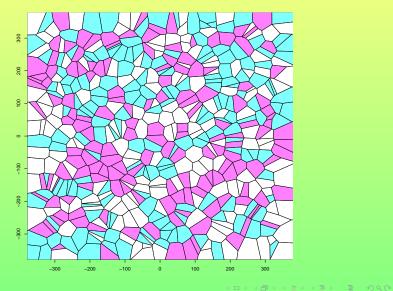
```
gd<-EBGibbs(~2+Del2(12<1600,theta=2))
run(gd)
```



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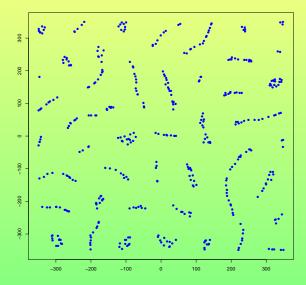
Example 2:

gdm<-EBGibbs(~2+Del2(l2<1600,theta=2),mark=EBMark(m=int(1,1:3)))
run(gdm,vcCol=m)</pre>



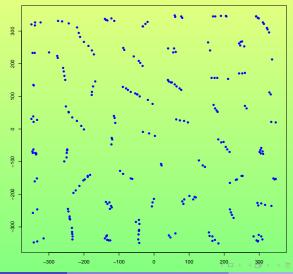
Example 3:

gd2<-EBGibbs(~1+Del2(12<=400,400<12 & 12<=6400,theta=c(2,4))) run(gd2)



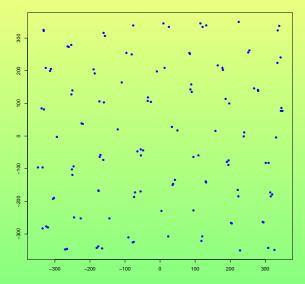
Example 3 (bis):

PieceWise<-function(x,b) (b[-length(b)] <= x) & (x < b[-1])
gd2<-EBGibbs(~1+Del2(PieceWise(1,c(0,20,80)),theta=c(2,4)))
run(gd2)</pre>



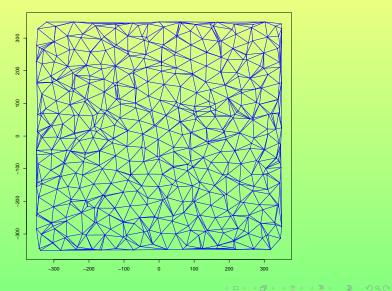
Example 4:

ga2<-EBGibbs(~1+All2(l2<=400,400<l2 & l2<=6400,theta=c(2,4)))
run(ga2)</pre>



Example 5:

gd3<-EBGibbs(~2+Del2(1<=40,theta=2)+Del3(sa>=pi/4,theta2=-2))
run(gd3,type=c("dv","de"),dvArgs=list(cex=.5,col="red"))



Example 6:

