

# QUANTUM CRYPTOGRAPHY

## QUANTUM COMPUTING

**Philippe Grangier, Institut d'Optique, Orsay**

1. Quantum cryptography :

from basic principles to practical realizations.

2. Quantum computing :

a conceptual revolution hard to materialize

# QUBITS

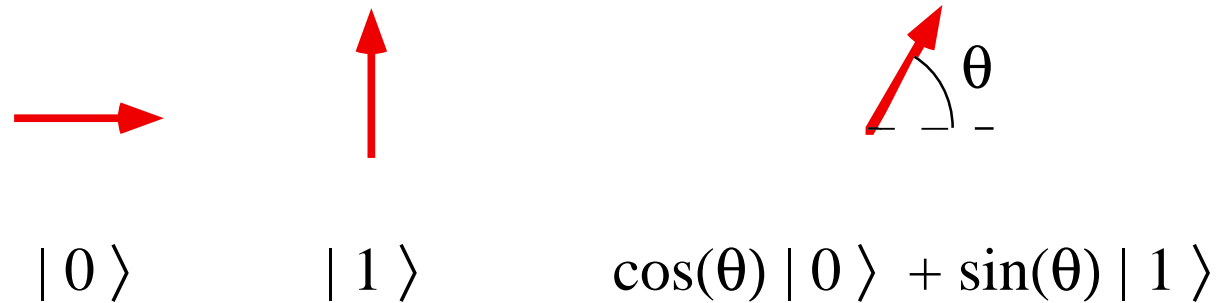
**Classical bit :** 2 states 0 and 1

**Quantum bit :** 2 states  $|0\rangle$  and  $|1\rangle$ , plus arbitrary superpositions :

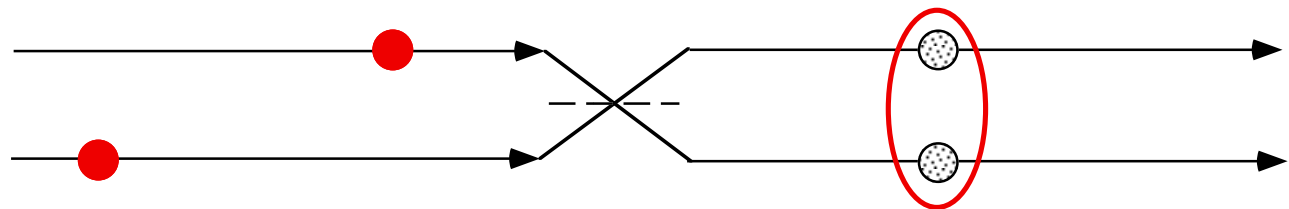
$$|\psi\rangle = \cos(\theta) e^{i\phi} |0\rangle + \sin(\theta) e^{-i\phi} |1\rangle$$

**Simple exemples :**

**Polarised photon**



**"Split photon"**



-> very useful for quantum cryptography

# QUANTUM COMPUTING : REGISTERS

**"Analog" classical computing ? (continuous values) : no**

N bits with possible values 0 and 1

**Register :**  $\boxed{\varepsilon(1) \mid \varepsilon(2) \mid \varepsilon(3) \mid \varepsilon(4) \mid \dots \mid \varepsilon(N)}$  ( $\varepsilon=0$  ou 1)

State of a classical analog computer : N continuous variables  $\varepsilon(i)$

Possible state of the computer :  $|\varepsilon(1), \varepsilon(2), \varepsilon(3), \varepsilon(4) \dots \varepsilon(N)\rangle$  ( $\varepsilon=0$  or 1)

General state of the computer :  $\sum c_x |\varepsilon(1), \varepsilon(2), \varepsilon(3), \varepsilon(4) \dots \varepsilon(N)\rangle$

State of a quantum computer :  $2^N$  continuous (complex) variables  $c_x$  !!!

**The computer states live in a huge  $2^N$ -dimensional Hilbert space**

**Most of these states are "entangled" (individual qubits have no state)**

## QUANTUM COMPUTING : REGISTERS

General state of the computer :  $\sum c_x | \epsilon(1), \epsilon(2), \epsilon(3), \epsilon(4) \dots \epsilon(N) \rangle$

(**linear superposition of all possible register states**)

- During the computer evolution, all  $2^N$  states  $| \epsilon(1) \dots \epsilon(N) \rangle$  are involved

-> "**quantum parallelism**"

- When the state of the computer is "measured", **a single binary state** is detected (the probabilities for all other ones cancel out)

-> one keeps all the **advantages of a binary calculation.**

**Very peculiar mixture of analog and binary ingredients !**

**"Doors can be open and closed at the same time"**

# CALCULATING FUNCTIONS

**Classical function : Input register  $\rightarrow$  Output register**

The value  $x$  of the register becomes  $f(x)$ ; generally not reversible

**Quantum function : Input state  $\rightarrow$  Output state**

$|x\rangle = |\epsilon_1, \epsilon_2, \epsilon_3, \dots, \epsilon_N\rangle$  :  $N$  bits,  $2^N$  possible values

$|x\rangle \rightarrow |f(x)\rangle$  : non-unitary !

$|x\rangle \otimes |0\rangle \rightarrow |x\rangle \otimes |f(x)\rangle$  : ok !

**More interesting : superposition**  $|\psi\rangle = 1/\sqrt{2^N} \sum_x |x\rangle$

$|\psi\rangle \otimes |0\rangle \rightarrow 1/\sqrt{2^N} \sum_x (|x\rangle \otimes |0\rangle)$

$\rightarrow 1/\sqrt{2^N} \sum_x (|x\rangle \otimes |f(x)\rangle)$

$2^N$  values of the function are calculated in a single step !

Any function can be realized using one-qubit and two-qubit gates

# QUANTUM LOGICAL GATES

**Classical logical gates : Input register  $\rightarrow$  Output register**

NOT gate:  
(1 bit)  
(flip)

In	Out
0	1
1	0

XOR gate :  
(2 bits)  
("controlled not",  
or "cnot")

In	Out
0, 0	0
0, 1	1
1, 0	1
1, 1	0

Generally not reversible !

**Classical logical gates : Input state  $\rightarrow$  Output state**

" $\sqrt{\text{NOT}}$ " :  
(1 bit)  
 $\varphi = \pi/4$

In	Out	In	Out
$ 0\rangle$	$(e^{i\varphi}  0\rangle + e^{-i\varphi}  1\rangle)/\sqrt{2} =$	$ u\rangle$	$(e^{i\varphi}  u\rangle + e^{-i\varphi}  v\rangle)/\sqrt{2} =  1\rangle$
$ 1\rangle$	$(e^{-i\varphi}  0\rangle + e^{i\varphi}  1\rangle)/\sqrt{2} =$	$ v\rangle$	$(e^{-i\varphi}  u\rangle + e^{i\varphi}  v\rangle)/\sqrt{2} =  0\rangle$

CNOT :  
(2 bits)

In	Out
0, 0	0, 0
0, 1	0, 1
1, 0	1, 1
1, 1	1, 0

**Hamiltonian Evolution :  
Unitarity et Reversibility !**

# QUANTUM COMPUTING

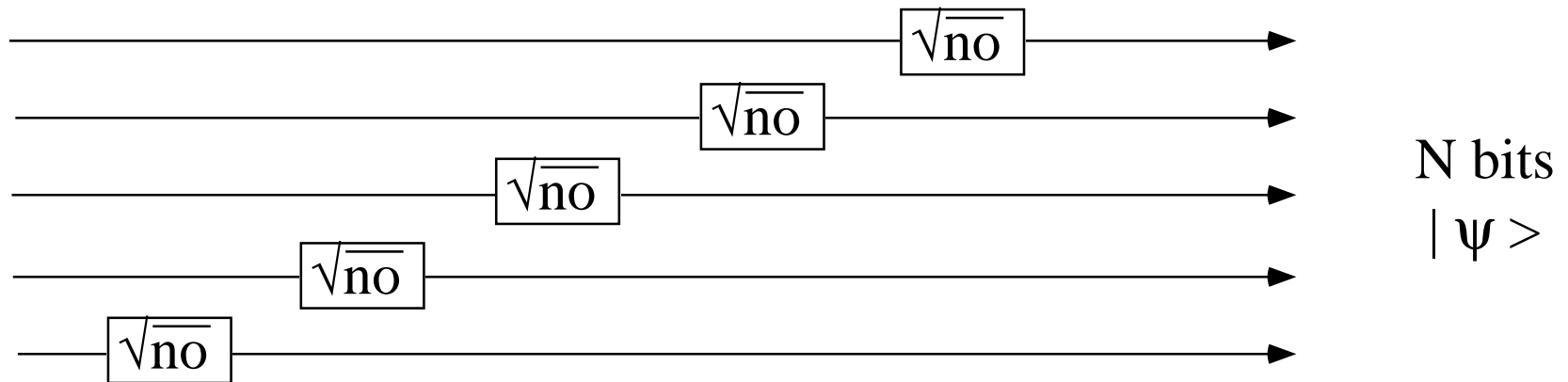
## Symmetric superposition

How to get the completely symmetric state  $|\psi\rangle = 1/\sqrt{2^N} \sum_{\mathbf{x}} |\mathbf{x}\rangle$  ?

$$(\sqrt{\text{not}} \otimes \sqrt{\text{not}} \otimes \sqrt{\text{not}} \otimes \dots) |0, 0, 0 \dots\rangle =$$

$$1/\sqrt{2} (|0\rangle + |1\rangle) \otimes 1/\sqrt{2} (|0\rangle + |1\rangle) \otimes 1/\sqrt{2} (|0\rangle + |1\rangle) \dots =$$

$$1/\sqrt{2^N} (|0, 0, \dots, 0\rangle + |0, 0, \dots, 1\rangle + \dots + |1, 1, \dots, 0\rangle + |1, 1, \dots, 1\rangle) = |\psi\rangle !$$



This requires N  $\sqrt{\text{not}}$  gates : ok

# QUANTUM COMPUTING

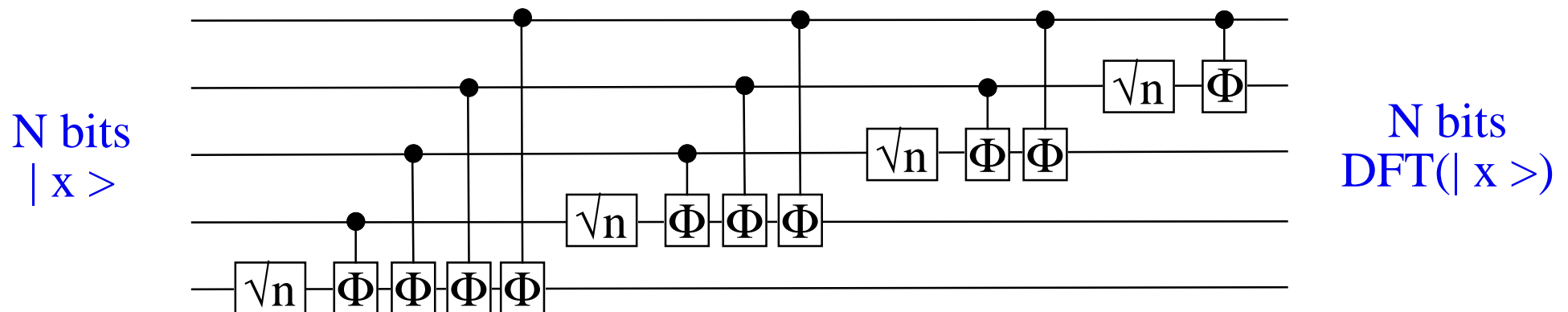
## Discrete Fourier transform

$$|x\rangle \rightarrow \text{DFT}(|x\rangle) = \frac{1}{\sqrt{L}} \sum_u e^{2i\pi u x / L} |u\rangle \quad L = 2^N \text{ values for } x$$

Ex :  $|x=0\rangle \rightarrow \frac{1}{\sqrt{L}} \sum_u |u\rangle$  : superposition with equal weights

$|x=1\rangle \rightarrow \frac{1}{\sqrt{L}} \sum_u e^{2i\pi u/L} |u\rangle$  : weights = roots of unity...

$|x=2\rangle \rightarrow \frac{1}{\sqrt{L}} \sum_u e^{4i\pi u/L} |u\rangle$  : ...



This requires  $N$  gates  $\sqrt{n}$  et  $N(N-1)/2$  gates  $\Phi$  : ok



# **FACTORIZATION ALGORITHM (PETER SHOR 1994)**

**A - Mathematical Principle**

**B - Quantum Calculation**

**C - It works, but...**

# QUANTUM COMPUTING

## Factoring algorithm : mathematical side

Let n to be factorised	n = 35
1 - Choose a coprime with n	a = 13
<b>Th1</b> : the function $f_{a,n}(x) = a^x \bmod n$ is periodic	1, 2, 3, 4, 5, 6, 7, 8 ... 13, 29, 27, 1, 13, 29, 27, 1 ...
2 - Find the period, denoted as T	T = 4
3 - Calculate $g_+ = \gcd(n, a^{T/2} + 1)$ $g_- = \gcd(n, a^{T/2} - 1)$	$\gcd(35, 13^2 + 1) = 5$ $\gcd(35, 13^2 - 1) = 7$
<b>Th2</b> : If $g_{\pm} \neq -1 \bmod n$ , then $g_+$ et $g_-$ are the factors of n	<b>ok !</b>

**Efficiency ?** Poor for a classical computer : step 2 requires a number of operations increasing exponentially with  $\text{Log}(n)$  (multiple evaluations of  $f_{a,n}$ )

# SHOR'S ALGORITHM

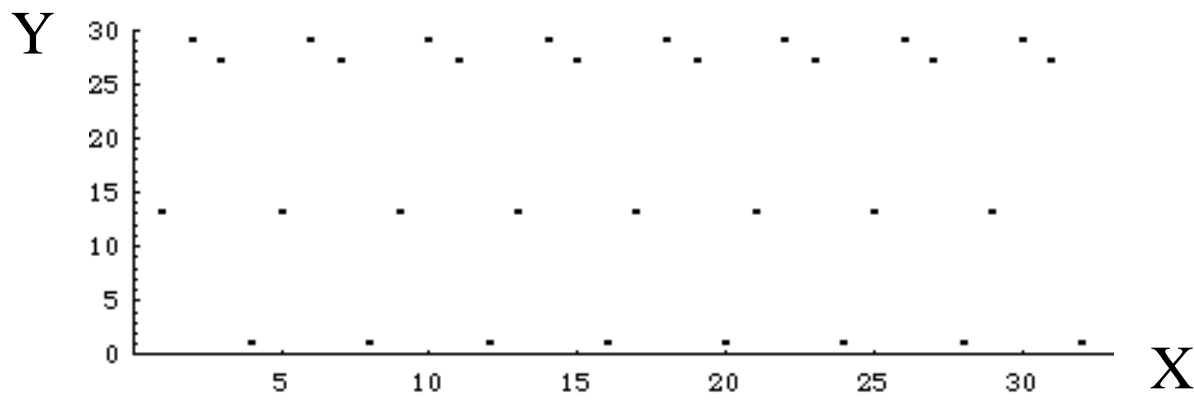
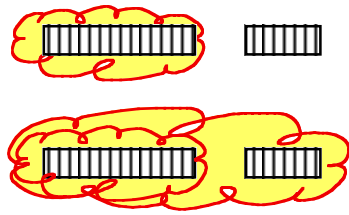
**Number to be factorized:**  $n$  encoded on  $N$  bits  $\rightarrow$  numbers from 0 to  $2^N-1$

2 Registers with resp.  $2N$  bits (denoted  $X$ ) and  $N$  bits (denoted  $Y$ )

1 - Prepare the superposition :  $(1/\sqrt{2^{2N}} \sum_x |x\rangle_X) \otimes |0\rangle_Y$



2 - Apply  $f_{a,n} \rightarrow 1/\sqrt{2^{2N}} \sum_x (|x\rangle_X \otimes |a^x \text{ mod } n\rangle_Y)$



Exemple : Calculation of  $f_{13,35}(x) = 13^x \text{ mod } 35$

3 - Perform a quantum measurement on the register  $Y$

$\rightarrow$  find one among the possible values of  $y$



**The register  $X$  is projected on the quantum state  $C \sum_k |d + kT\rangle$**

where  $d$  : shift depending of the value of  $y$ ,  $k$  :integer ,  $T$  : period

# SHOR'S ALGORITHM

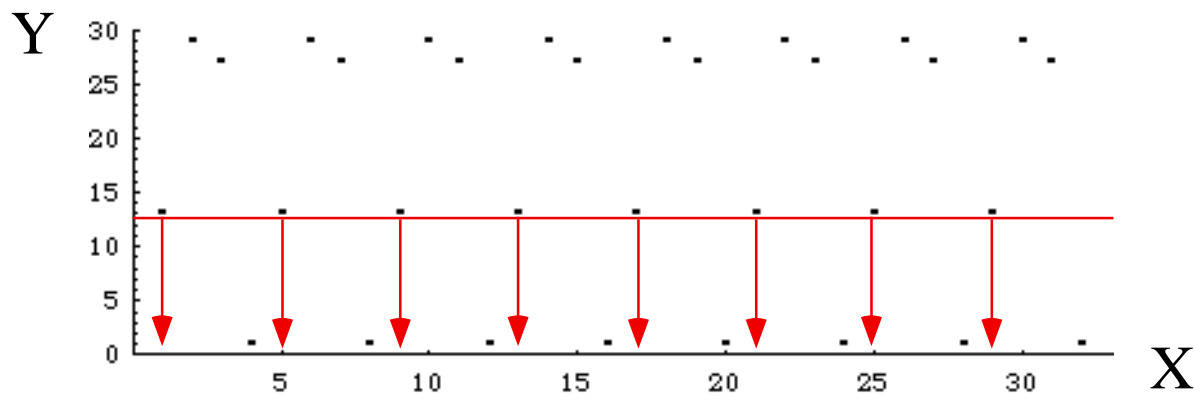
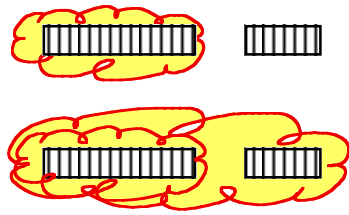
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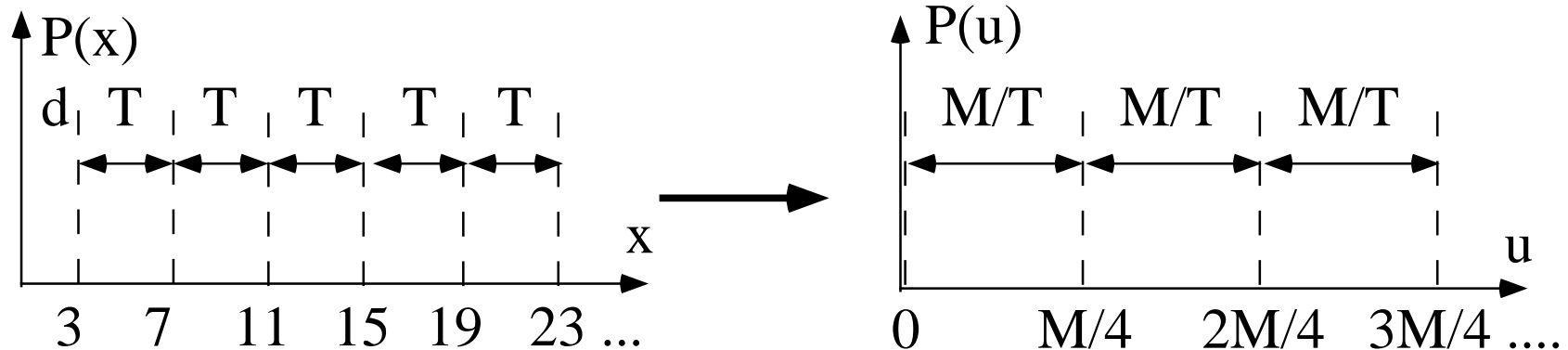
# SHOR'S ALGORITHM

4 - Perform a discret Fourier transform

$$C \sum_k |d + kT\rangle \rightarrow C/\sqrt{M} \sum_k \sum_u e^{2i\pi u (d+kT)/M} |u\rangle \quad M = 2^{2N}$$

$$\text{But : } \sum_k e^{2ik\pi u T/M} = M/T \quad \text{if } u T/M = j \text{ integer, thus } u = j M/T \\ = 0 \quad \text{otherwise}$$

$$\text{Thus } C \sum_k |d + kT\rangle \rightarrow C\sqrt{M}/T \sum_j e^{2i\pi j d/T} |j M/T\rangle$$



5 - By repeating the whole process several times, extract the period !

# QUANTUM COMPUTING

**A quantum computer can perform some calculations very efficiently...**

- factorization algorithm (Shor 1994) : exponential gain
- search algorithm (Grover 1996) : quadratic gain

**... but it is very difficult to implement**

- the quantum states  $\sum c_i | \epsilon(1), \epsilon(2), \epsilon(3), \epsilon(4)... \epsilon(N) \rangle$  with N large are extremely sensitive to all interactions with environment : "decoherence"
- the interaction of the qubits between themselves and with the outer world must be extremely well controlled, to perform calculations and to avoid decoherence

**Some encouraging results ...**

- all calculations can be performed on the basis of 1 and 2 qubits gates
- errors are unavoidable, but "quantum error correcting codes" are possible

# ERROR CORRECTING CODES

## Classical approach

Error probability for one 1 bit =  $p \ll 1$

\* Encoding :

$1 \rightarrow [1\ 1\ 1]$        $0 \rightarrow [0\ 0\ 0]$

\* Error correction :

"majority voting"

\* Errors for 3 bits ?

$(1-p)^3$       no error      ok

$3p(1-p)^2$       1 wrong bit      ok

$3p^2(1-p)$       2 wrong bits      error

$p^3$       3 wrong bits      error

\* Total error probability :  $3p^2(1-p) + p^3 \approx 3p^2 \ll p$       **OK !**

## Quantum approach

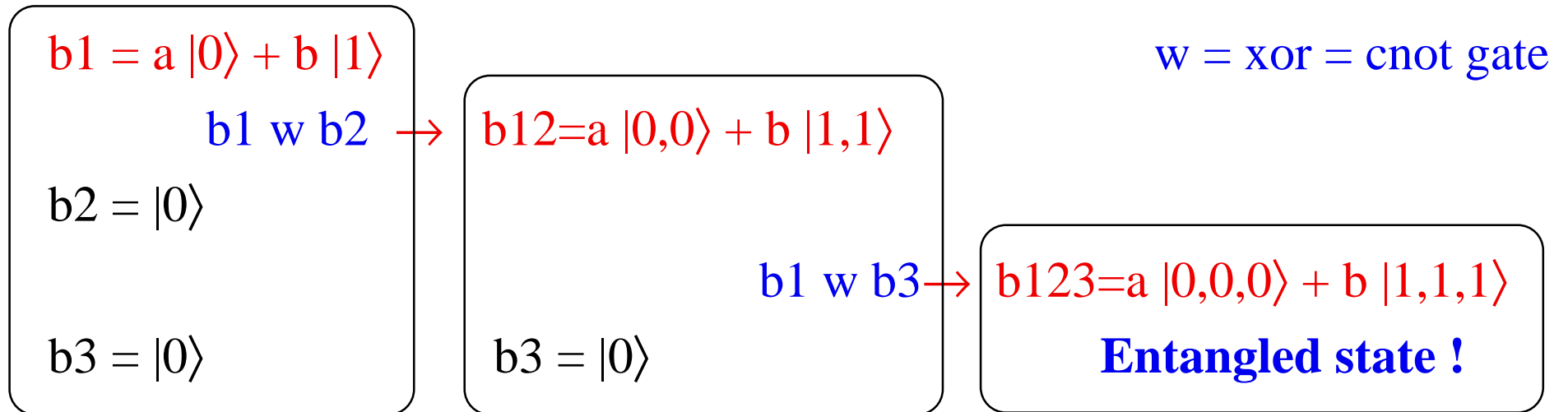
\* One can neither read the state of the qubit, nor copy it (no-cloning)

\* There are various types of errors ("flip", "phase", or both)

\* How to do it?

# ERROR CORRECTING CODES

## Quantum approach : encoding





## ERROR CORRECTING CODES

\* Processing b123 after decoherence : run the encoding backwards !

**b1 w b3 = b1 (still there !) and c3 (measured, destroyed)**

**b1 w b2 = b1 (still there !) and c2 (measured, destroyed)**

\* Assume zero or one bit flip error :

$$a |0 0 0\rangle + b |1 1 1\rangle \rightarrow (c2, c3) = (0, 0) \rightarrow \text{ok}$$

$$a |1 0 0\rangle + b |0 1 1\rangle \rightarrow (c2, c3) = (1, 1) \rightarrow \text{flip b1} \rightarrow \text{ok}$$

$$a |0 1 0\rangle + b |1 0 1\rangle \rightarrow (c2, c3) = (1, 0) \rightarrow \text{error on b2} \rightarrow \text{ok}$$

$$a |0 0 1\rangle + b |1 1 0\rangle \rightarrow (c2, c3) = (0, 1) \rightarrow \text{error on b3} \rightarrow \text{ok}$$

**Final result :  $b1 = a |0\rangle + b |1\rangle$ , error probability of order  $p^2$**

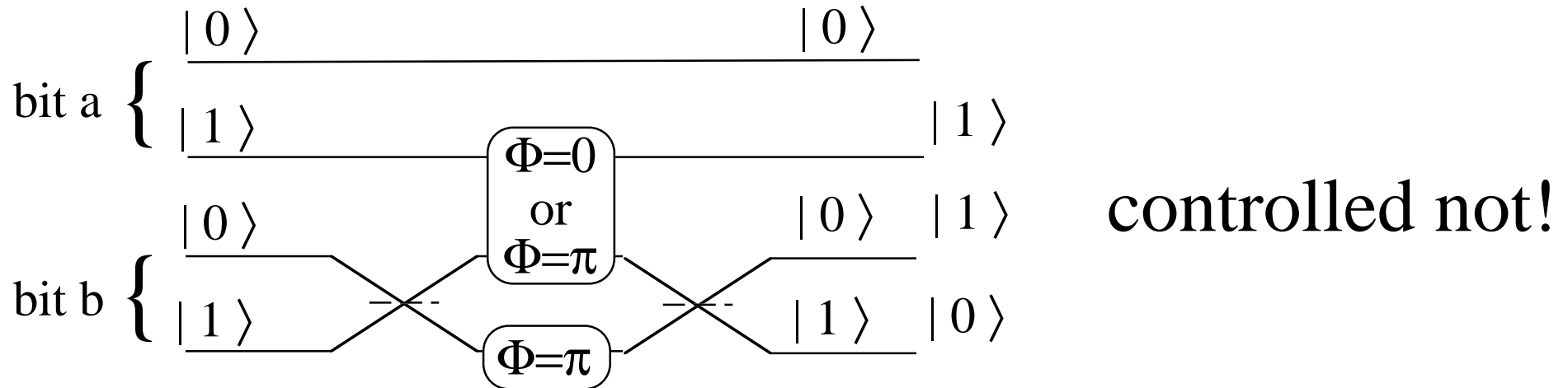
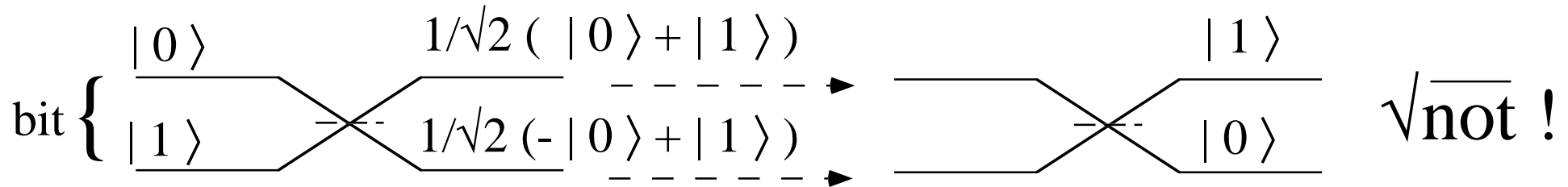
\* Correct flip errors on one qubit with probability  $O(p^2) \ll p$  OK !

\* Phase errors : encoding on more than 3 bits (5 min, 7 or 9 ok)

**\* General idea : "syndrome measurement" + suitable correction**

# QUANTUM COMPUTING

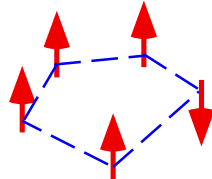
## Implementations ? Most obvious : Photons



**Advantages :** Simplicity (useful for building "models"), good isolation from environment ...

**Drawbacks :** A CNOT gate requires a phase shift  $\pi$  per photon : difficult to implement (coupling increased by using high finesse cavities)

## EXPERIMENTAL PROPOSALS

	Qubits	Gates	Main difficulty
1994	Photons	Bistables optiques	Available energy : $h \nu$ ! Very difficult to implement
1995	Semiconductors "quantum dots"	?	Strong decoherence
1996	Trapped ions	Coulomb interaction	Thermal motion
1997	Molecular spins + RMN	Spin coupling 	Complexity of the molecule Macroscopic sample !

# QUANTUM COMPUTER IN SILICON

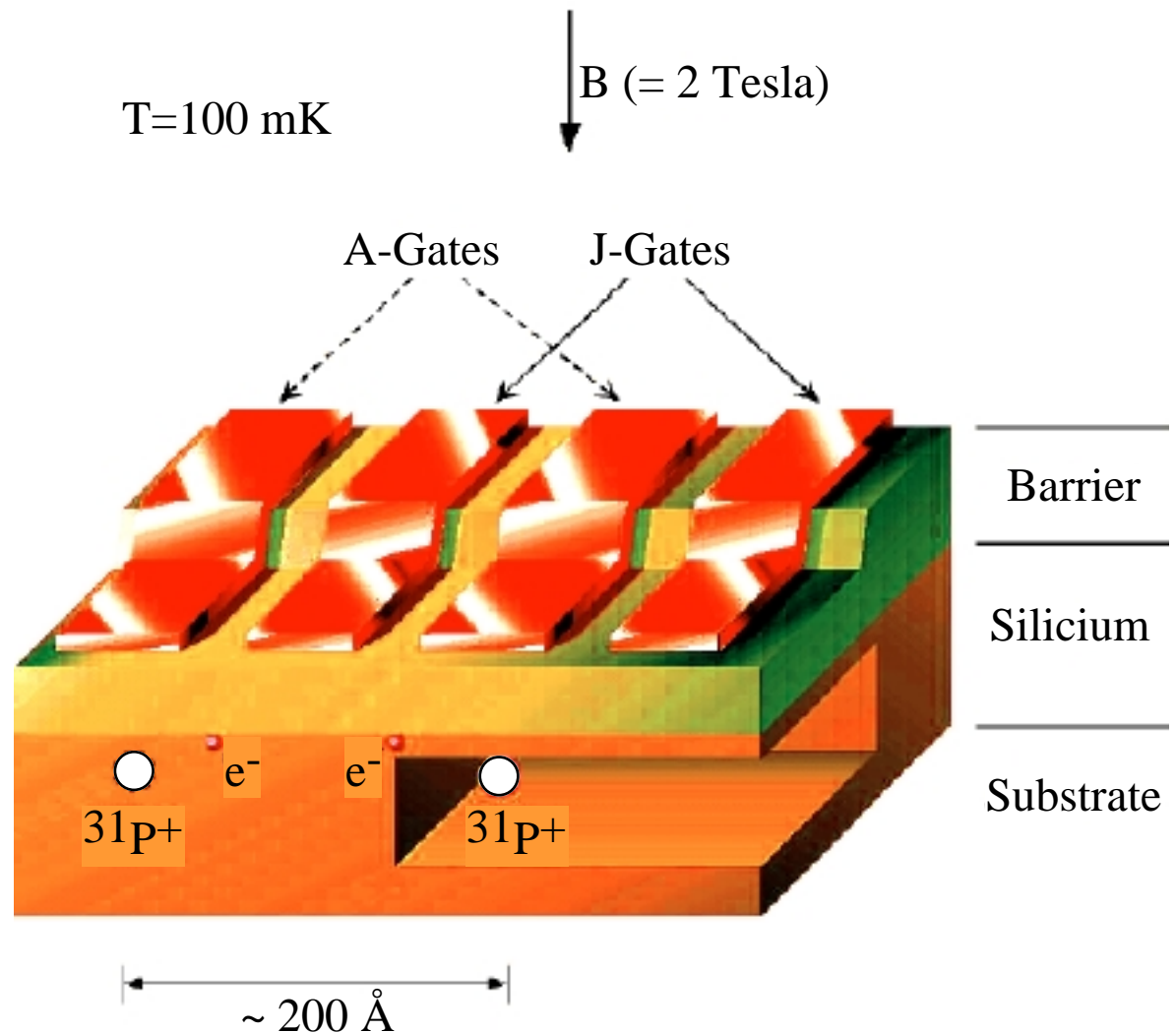
Qubit : magnetic moment  
of phosphorus atoms  
individually implanted  
below electrodes

"A" : 1 qubit gates

"J" : 2 qubits gates

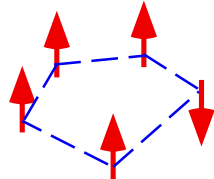
\* **Technically possible**

\* **Decoherence ???**



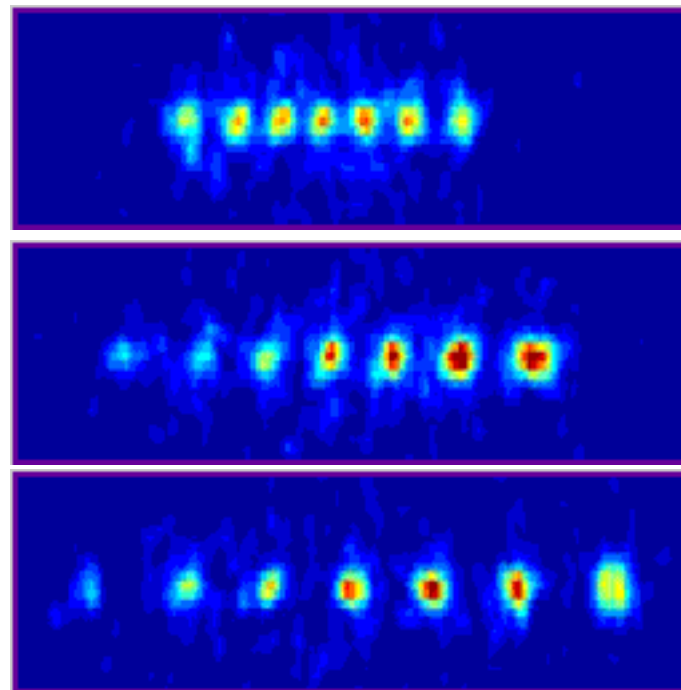
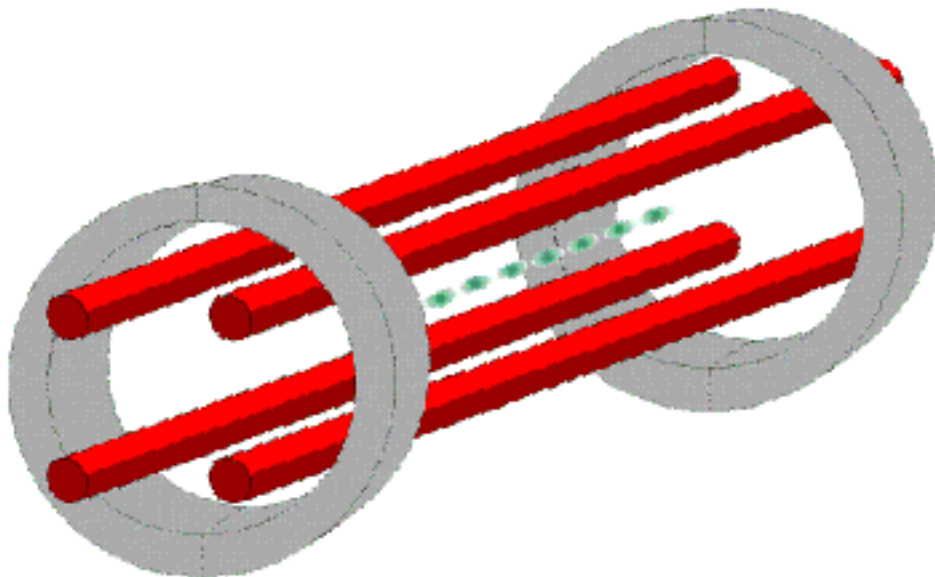
B. E. Kane, "A silicon-based nuclear spin quantum computer",  
Nature, Vol. 393, p. 133, 1998

## EXPERIMENTAL PROPOSALS

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1995 1998 1999	Semiconductors "quantum dots"	?  Individual Spins	Strong decoherence  Implanted in silicon ? Carbon nanotubes ?
1996 1999	Trapped ions	Coulomb interaction	Thermal motion Laser cooling in linear traps
1997  1998	Molecular spins + RMN  $\text{CHCl}_3$	Spin coupling  	Complexity of the molecule Macroscopic sample !  First "calculations" (3 qubits)

# LINEAR ION TRAPS (Innsbruck University)

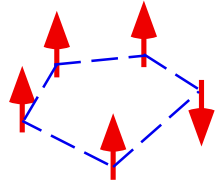
- \* Calcium ions trapped using electromagnetic fields -> "rows" of ions
- \* Laser cooling -> regular arrays (Coulomb repulsion).



Fluorescence  
imaging  
of 7 trapped  
ions

Ions isolated in vacuum :  
decoherence much smaller than in solid-state materials

## EXPERIMENTAL PROPOSALS

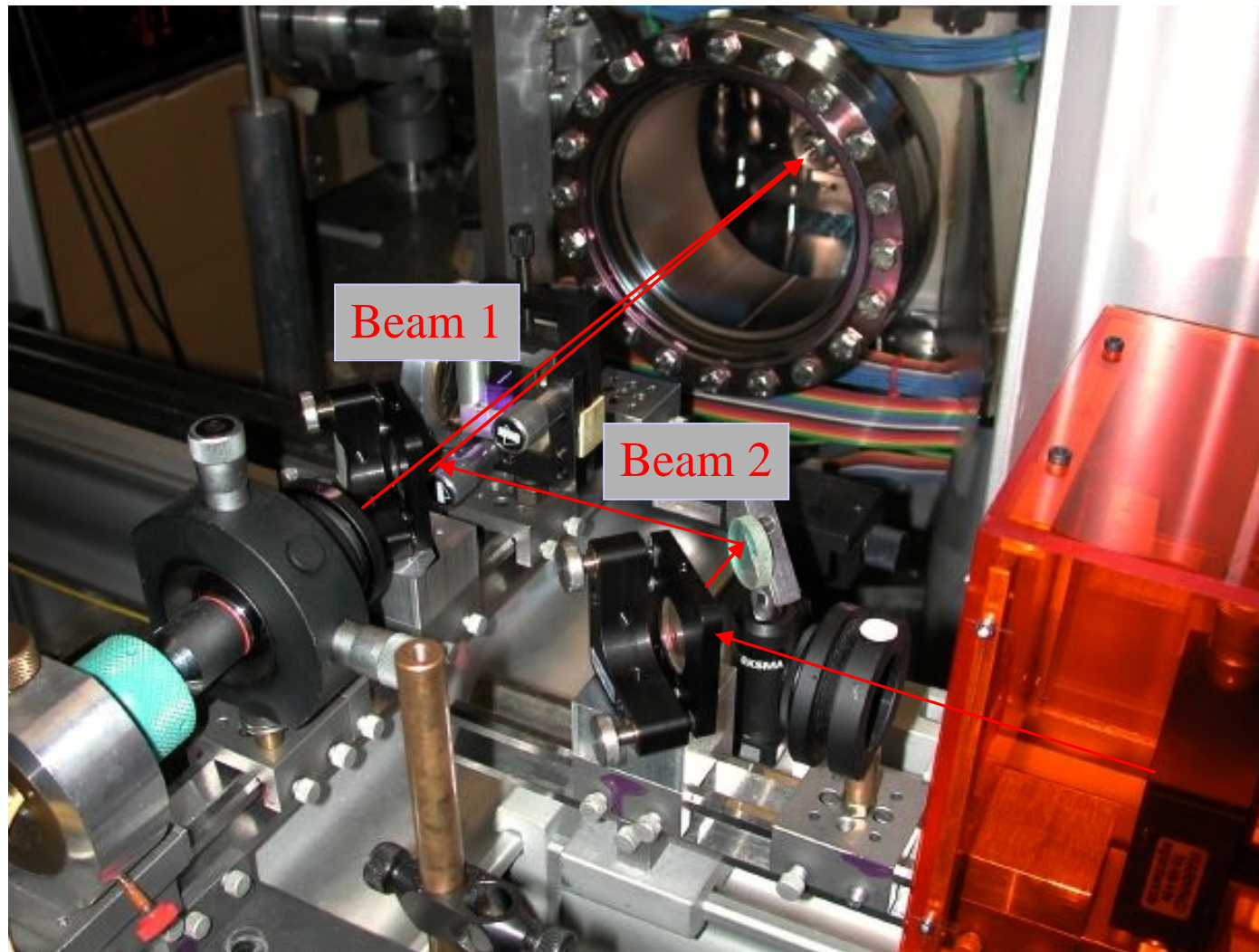
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1994	Photons	Bistables optiques	Available energy : $h \nu$ ! Very difficult to implement <b>but CNOT gate realized.</b>
2000	<b>Microwave domain</b>		
1995	Semiconductors "quantum dots"	?	Strong decoherence
1998 1999		<b>Individual Spins</b>	<b>Implanted in silicon ? Carbon nanotubes ?</b>
1996 1999	Trapped ions	Coulomb interaction	Thermal motion <b>Laser cooling in linear traps</b>
2001	<b>Trapped atoms</b>		<b>"Optical tweezers"</b>
1997	Molecular spins + RMN	Spin coupling	Complexity of the molecule Macroscopic sample !
1998 2000	<b>CHCl<sub>3</sub></b> <b>Fluorine 19 (M-F<sub>5</sub>)</b>		<b>First "calculations" (3 qubits)</b> <b>Calculations with 5 qubits</b>



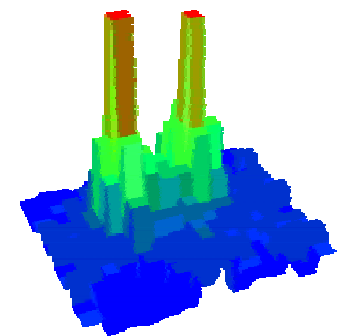
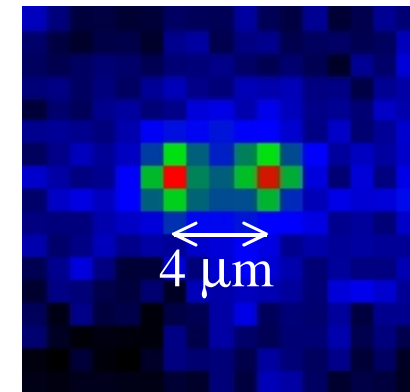
# Two atoms at your fingertips

N. Schlosser et al, *Nature* 411, 1024 (2001)

*PRL* 89, 023005 (2002)

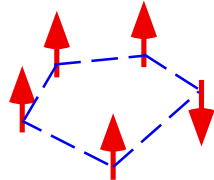


Resolution of the  
imaging system:  
1 micron / pixel





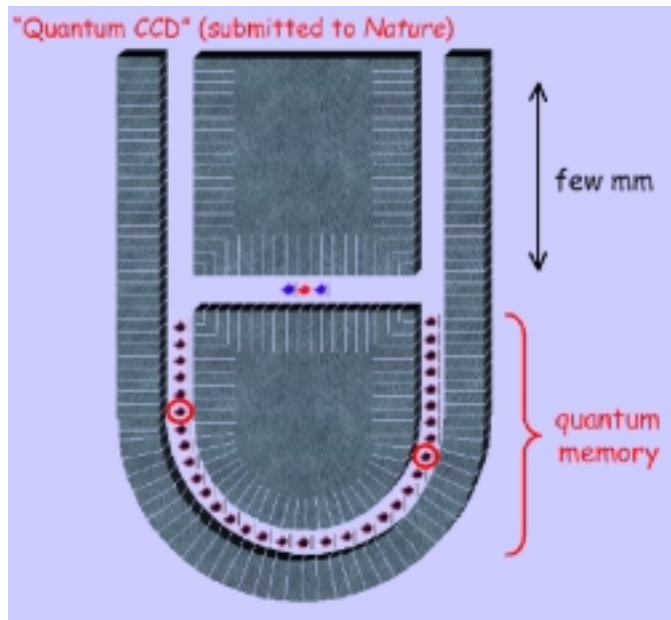
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1996 1999	Trapped ions	Coulomb interaction	Thermal motion <b>Laser cooling in linear traps</b>
2002	<b>Trapped atoms</b>	<b>Collisions</b>	<b>Optical tweezers and lattices</b>
1997	Molecular spins + RMN	Spin coupling	Complexity of the molecule Macroscopic sample !
1998 2002	<b>CHCl<sub>3</sub></b> <b>Fluorine 19 (M-F<sub>5</sub>)</b>		<b>First "calculations" (3 qubits)</b> <b>Factorization of 15 !</b>

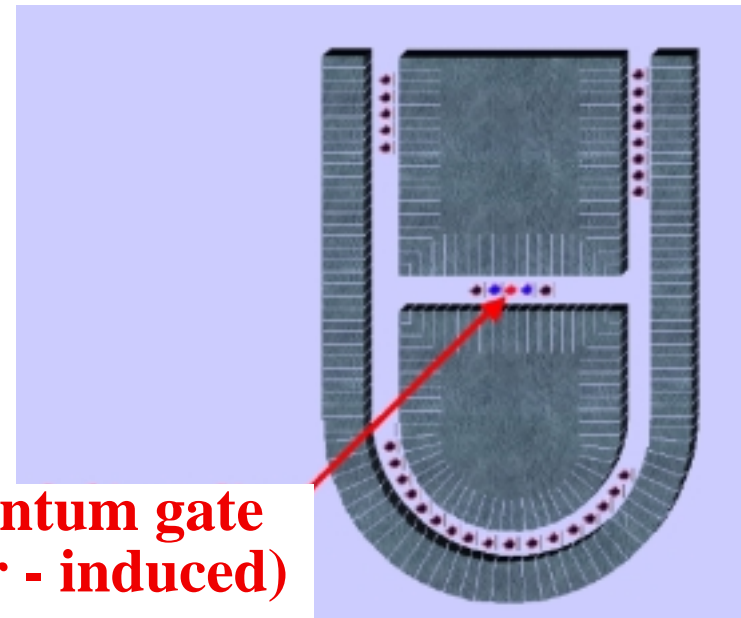
## "QUANTUM CCD"

D. Kielpinsky, C. Monroe, D. Wineland. *Nature* (2002)

- \* Chain of trapped ions moved from storing to interaction areas.
- \* **Qubits** : 2 atomic levels (spin states - laser-controlled)
- \* Extraction of any two ions to the interaction area :  
-> **quantum gate between any 2 qubits !**



**Quantum gate  
(laser - induced)**



**"Scalable" proposal, but not yet implemented !**

## CONCLUSION

- \* **Quantum cryptography** appears to evolve slowly but straightforwardly towards practical implementations.
- \* **Quantum computing** is a much bigger scientific challenge :  
by principle it cannot work at a macroscopic scale, microscopic systems are difficult to control ... -> "**mesoscopic scale engineering**"
  - \* Objectively, a useful quantum computer is very far away :
    - > 1-10 quantum gates : **repeaters for quantum cryptography...**
    - > 10-100 quantum gates : **implement quantum simulation...**
    - > 100-1000 quantum gates : **efficient error correction possible...**
- \* On the way ... exploration of many open problems in
  - > **quantum mechanics (theory and experiment...)**
  - > **information theory (algorithms, error corrections ...)**