

THETA HEIGHT AND FALTINGS HEIGHT

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(after J.-B. BOST and S. DAVID)

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ABSTRACT. Using original ideas from J.-B. BOST and S. DAVID, we provide an explicit comparison between the Theta height and the stable FALTINGS height of a principally polarized abelian variety. We also give as an application an explicit upper bound on the number of K -rational points of a curve of genus $g \geq 2$ under a conjecture of S. LANG and J. SILVERMAN. We complete the study with a comparison between differential lattice structures.

Keywords : Heights, Abelian varieties, Rational points.

Mathematics Subject Classification : 11G50, 14G40, 14G05.

Hauteur Thêta et hauteur de FALTINGS.

ABSTRACT. On propose dans cet article les détails d'une preuve de comparaison explicite entre la hauteur Thêta et la hauteur de FALTINGS stable d'une variété abélienne principalement polarisée et définie sur un corps de nombres K . Cette preuve est basée sur les idées de J.-B. BOST et S. DAVID. On trouvera de plus le calcul d'une borne explicite sur le nombre de points K -rationnels d'une courbe de genre $g \geq 2$ en supposant une conjecture de S. LANG et J. SILVERMAN. Ce travail est complété par une comparaison entre plusieurs structures de réseaux sur l'espace tangent en 0.

Mots-Clefs : Hauteurs, Variétés abéliennes, Points rationnels.

1. INTRODUCTION

Let (A, L) be a principally polarized abelian variety defined over a number field K . The aim of the article is to compare the Theta height $h_{\Theta}(A, L)$ of definition 2.6, and the (stable) FALTINGS height $h_F(A)$ of definition 2.1. These two ways of defining the height of an abelian variety are both of interest, and the fact that they can be precisely compared can be very helpful. For instance, several conjectures are formulated with the FALTINGS height because it does not depend on the projective embedding of A that you may choose, but one may fix an ample and symmetric line bundle on A and study the Theta height associated when one seeks more effectivity (see for example [DaPh02] or [Re00], and also [Re99]); let us stress that these ways of defining the height of an abelian variety are very natural: the Theta height is a height on the moduli space of principally polarized abelian variety and the FALTINGS height is a height on the moduli space (stack) of abelian varieties (without polarization), but with a metric with logarithmic singularities (see the definitions below and refer to [Mu66] for the Theta height, [MB85b] and [FaCh90] for the FALTINGS height).

The ideas needed to explicitly compute the constants of comparison between these heights were given by BOST and DAVID in a letter to MASSER and WÜSTHOLZ [BoDa]. Here is the strategy: using the theory of MORET-BAILLY-models we express the NÉRON-TATE height of

a point $P \in A(K)$ in terms of the Theta height of P , the FALTINGS height of A and some base point contributions (see lemma 5.2). Then we take $P = O$ and we estimate the base point contributions via vector bundles inclusions and theta functions analysis. We give here the arguments, the constants and several complements, concerning the LANG–SILVERMAN conjecture for instance. We also complete this work by giving in section 6 an explicit comparison between several differential lattice structures associated to A , see the end of this introduction.

One should underline that this explicit comparison gives also a direct proof of the fact that the FALTINGS height is actually a height (*i.e.* verifies the Northcott property), see the remark 1.4 below for a lower bound. Arguments for proving that h_F is a height can be found in the original article [Fa83] and in [FaCh90]. See also the Theorem 1.1 page 115 of [MB85b] (seminar [Sz85]); the idea is to compactify some moduli schemes and to compare the stable FALTINGS height of an abelian variety to the projective height (with logarithmic singularities) of the corresponding point in the moduli space. There is another proof given by MORET–BAILLY in Theorem 3.2 page 233 of [MB85a] using the “formule clef” 1.2 page 190. See also the Theorem 2.1 given in [Bo96a] page 795–04, where the proof relies on some estimates of the “rayon d’injectivité”.

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We use the notations \mathfrak{S}_g for the SIEGEL space and \mathfrak{F}_g for the fundamental domain, both defined in §2.1. We add a Theta structure of level r (see §2.3), where $r > 0$ is an even integer. With these notations, we get the following theorem.

Theorem 1.1. *Let A be an abelian variety of dimension g , defined over $\overline{\mathbb{Q}}$, equipped with a principal polarization defined by a symmetric ample line bundle L on A . Let K be a number field such that A and L may be defined over K . For any embedding $\sigma : K \hookrightarrow \mathbb{C}$, let $\tau_\sigma \in \mathfrak{F}_g$ such that there exists an isomorphism between principally polarized complex abelian varieties $A_\sigma(\mathbb{C}) \simeq \mathbb{C}^g / (\mathbb{Z}^g + \tau_\sigma \mathbb{Z}^g)$. Then, the following inequalities hold :*

$$m(r, g) \leq h_\Theta(A, L) - \frac{1}{2} h_F(A) - \frac{1}{4[K : \mathbb{Q}]} \sum_{\sigma: K \hookrightarrow \mathbb{C}} \log(\det(\operatorname{Im} \tau_\sigma)) \leq M(r, g).$$

Above, $m(r, g)$ and $M(r, g)$ denote constants depending only on the level r and the dimension g . More precisely, if we take :

$$m(r, g) = g \left[\frac{1}{4} \log(4\pi) - \frac{1}{2} r^{2g} \log(r) \right], \quad M(r, g) = \frac{g}{4} \log(4\pi) + g \log(r) + \frac{g}{2} \log \left(2 + \frac{2}{3^{\frac{1}{4}}} 2^{\frac{g^3}{4}} \right)$$

the result holds.

Remark 1.2. According to the so called Matrix Lemma of MASSER (see [Ma97] page 115 or [MaWu93] page 436) there exists a constant $C(g)$ such that under the hypothesis of the above theorem :

$$\frac{1}{[K : \mathbb{Q}]} \sum_{\sigma: K \hookrightarrow \mathbb{C}} \left| \log \left(\det(\operatorname{Im} \tau_\sigma) \right) \right| \leq C(g) \log \left(\max\{h_\Theta(A, L), 1\} + 2 \right).$$

Using the article [DaPh02] page 697 and a few calculations it is possible to prove such a bound with the explicit constant $C(g) = \frac{8g}{\pi} (1 + 2g^2 \log(4g))$. See also [Gra01] lemma 2.12 page 99 for a similar statement involving the FALTINGS height.

Thus, we shall establish in § 5.2.1 the following versions of FALTINGS’ estimate (see [Fa83]) :

Corollary 1.3. *For every integer $g \geq 1$ and even integer $r \geq 2$, there exists effectively computable constants $C_1(g, r)$, $C_2(g, r)$, $C_3(g, r)$ depending only on g and r such that the following holds. Let A be an abelian variety of dimension g defined over \mathbb{Q} , equipped with a principal polarisation defined by some symmetric ample line bundle L on A . Let $h_\Theta = \max\{h_\Theta(A, L), 1\}$ and $h_F = \max\{h_F(A), 1\}$. Then, one has :*

- (1) $\left| h_\Theta(A, L) - \frac{1}{2}h_F(A) \right| \leq C_1(g, r) \log(h_\Theta + 2),$
- (2) $\left| h_\Theta - \frac{1}{2}h_F \right| \leq C_2(g, r) \log\left(\min\{h_\Theta, h_F\} + 2\right),$
- (3) $\left| h_\Theta(A, L) - \frac{1}{2}h'_F(A) \right| \leq C_3(g, r),$

where $h'_F(A)$ is a modified FALTINGS height of A , defined in 2.2. More precisely, the above relations hold with :

$$C_1(g, r) = C_3(g, r) = 6r^{2g} \log(r^{2g}) \text{ and } C_2(g, r) = 1000r^{2g}(\log(r^{2g}))^5.$$

Remark 1.4. For an abelian variety A of dimension g and level structure r , the inequality of Theorem 1.1 and the remark 1.2 give after a short calculation :

$$h_F(A) \geq -C(g) \log C(g) - M(r, g),$$

where $M(r, g) = \frac{g}{4} \log(4\pi) + g \log(r) + \frac{g}{2} \log\left(2 + \frac{2}{3^{\frac{1}{4}}} 2^{\frac{g^3}{4}}\right)$ and $C(g) = \frac{8g}{\pi} (1 + 2g^2 \log(4g))$. One could expect a better constant, see BOST in [Bo96c] page 6 who gives: $h_F(A) \geq -g \log(2\pi)/2$.

Remark 1.5. The inequalities (1) and (3) both hold if one replaces $h_\Theta(A, L)$, $h_F(A)$ and $h'_F(A)$ respectively by $h_\Theta = \max\{h_\Theta(A, L), 1\}$, $h_F = \max\{h_F(A), 1\}$ and $h'_F = \max\{h'_F(A), 1\}$ in the left hand sides.

Remark 1.6. One can notice that the bounds are sharper for small r , so in practice one will often take $r = 2$ or $r = 4$.

We now give the example of a difficult conjecture by LANG and SILVERMAN stated with the FALTINGS height. It was originally a question by LANG concerning elliptic curves, and was generalised by SILVERMAN afterwards. As a matter of fact, if we combine the inequality of this conjecture with the work of DAVID and PHILIPPON [DaPh02] and the work of RÉMOND [Re00], we get a new explicit bound on the number of rational points on curves of genus $g \geq 2$, provided that we can explicitly compare the FALTINGS height that appears in the conjecture and the Theta height that appears in the calculations of [DaPh02] and [Re00]. To be concise, one can say that an explicit LANG–SILVERMAN inequality would give an explicit upper bound on the number of rational points on a curve of genus $g \geq 2$ independant of the height of the jacobian of the curve (but still depending on the Mordell–Weil rank of the jacobian).

First recall the original conjecture of SILVERMAN ([Si84] page 396) :

Conjecture 1.7. (LANG–SILVERMAN version 1) *Let $g \geq 1$ be an integer. For any number field K , there exists a positive constante $c(K, g)$ such that for any abelian variety A/K of dimension g , for any ample and symmetric line bundle L on A and for any point $P \in A(K)$ such that $\mathbb{Z} \cdot P$ is Zariski-dense, one has :*

$$\widehat{h}_{A,L}(P) \geq c(K, g) \max\{h_F(A/K), 1\},$$

where $\widehat{h}_{A,L}(\cdot)$ is the NÉRON–TATE height associated to the line bundle L and $h_F(A/K)$ is the (relative) FALTINGS height of the abelian variety A/K .

One could read [Pa09] for further remarks. Let us give a slightly different version of this conjecture. The definition of the modified FALTINGS height is given in 2.2 :

Conjecture 1.8. (LANG–SILVERMAN version 2) *Let $g \geq 1$ be an integer. For any number field K of degree d , there exists two positive constants $c_1 = c_1(d, g)$ and $c_2 = c_2(d, g)$ such that for any abelian variety A/K of dimension g and any ample symmetric line bundle L on A , for any point $P \in A(K)$, one has :*

- either there exists a sub-Abelian variety $B \subset A$, $B \neq A$, of degree $\deg(B) \leq c_2$ and such that the point P is of order bounded by c_2 modulo B ,
- or one has $\mathbb{Z} \cdot P$ is Zariski-dense and :

$$\widehat{h}_{A,L}(P) \geq c_1 \max \left\{ h'_F(A), 1 \right\},$$

where $\widehat{h}_{A,L}(\cdot)$ is the NÉRON–TATE height associated to the line bundle L and $h'_F(A)$ is the (stable) modified FALTINGS height of the abelian variety A .

This second version of the conjecture is suggested by different results found in [Da93] and [Pa08]. Note that one could also state it with a relative modified FALTINGS height (that would be a stronger statement). Now this second version and the point (3) in Corollary 1.3 give, if we use them in the work of DAVID–PHILIPPON [DaPh02] and RÉMOND [Re00] (see *infra* §5.2.1 for some details) :

Proposition 1.9. *Assume conjecture 1.8. Then for any number field K , for any curve C/K of genus $g \geq 2$ with jacobian $J = \text{Jac}(C)$, one can explicitly bound the number of K -rational points on C in the way :*

$$\text{Card}(C(k)) \leq \left(\check{c}(d, g) \right)^{1+\text{rk}(J/K)},$$

where one can take $\check{c}(d, g) = \max \left\{ 2c_2, 1 + (12^4 + g)^{2^{12}} 4^{2g+3} g \left(g^4 + 2^{2g+2} g + \frac{1}{c_1} \right) \right\}$, with c_1 and c_2 given in conjecture 1.8.

One can also read [DeDi97] for another way of deriving this type of bounds. Finally, this work also includes in section 6 a comparison between different lattice structures on the tangent space at 0 of an abelian variety. Let A/K be an abelian variety, L an ample symmetric line bundle associated to a principal polarisation. Let r be an even positive integer. By enlarging K , one can assume that the r^2 -torsion points are all rational over K . We let $\pi: \mathcal{A} \rightarrow S = \text{Spec}(\mathcal{O}_K)$ be a semi-stable model of A , and ε its neutral section. We define the NÉRON lattice by $\mathcal{N} = \varepsilon^* \Omega_{\mathcal{A}/S}^1$. The big SHIMURA lattice is defined as follows: let $\theta \in \Gamma(A, L) \setminus \{0\}$ and Γ, φ_x , etc. be as in paragraph 2.3. Let $\theta_x = \varphi_x(0)$. The family $(\theta_x)_{x \in \Gamma}$ is a base over K of $\Gamma(A, L^{\otimes r^2})$. Then the big SHIMURA lattice is:

$$\mathcal{S}h = \sum_{\substack{(x, x') \in \Gamma^2 \\ \theta_x(0) \neq 0}} \mathcal{O}_K d \left(\frac{\theta_{x'}}{\theta_x} \right) (0).$$

The comparison between these two structures is of interest in transcendence theory, see for example [Sh77], [Da91] page 134 or [MaWu93] from page 120. We use $\delta(\cdot, \cdot)$ for the distance on lattices defined in 6.2.1. Then we find in section 6, among other results, the following theorem:

Theorem 1.10. *Let $g \geq 1$ and $r > 0$ an even integer. There exists a constant $c(g, r) > 0$ such that for any triple (A, L, r) with A of dimension g , for any associated MB^1 number field K , one has:*

$$\delta(\mathcal{N}, \mathcal{S}h) \leq \left(1 + 2c(g, r)\right) \min\{h_\Theta, h_F\},$$

and one can take $c(g, r) = 4 + 8C_2 + g \log(\pi^{-g} g! e^{\pi r^2} g^4) + 4r^{2g}$, where C_2 is given in Corollary 1.3.

2. DEFINITIONS

2.1. Basic Notations. Let us first introduce the following notations. If A is an abelian variety defined over a number field K , or, more generally an abelian scheme over a base scheme S , we shall denote for any n in \mathbb{Z} by $[n]$:

$$[n]: A \longrightarrow A,$$

the group scheme morphism defined by the multiplication by n , and when $n > 0$, by A_n its kernel; for any $x \in A(K)$ (respectively $A(S)$), we shall denote by t_x the morphism of K -variety (respectively of scheme):

$$t_x: A \longrightarrow A,$$

defined by the translation by x .

Since we shall also make an extensive use of the classical theory of theta functions (essentially to evaluate various analytic invariants), we also recall a few basic definitions here involving the standard RIEMANN theta function. Let g be an integer $g \geq 1$. We shall denote by \mathfrak{S}_g the SIEGEL upper half space, *i. e.* the space of $g \times g$ symmetric matrices with entries in \mathbb{C} , whose imaginary part are positive definite. Let $z = x + iy \in \mathbb{C}^g$ and $\tau = X + iY \in \mathfrak{S}_g$ (in all this paper, it will be implicitly assumed that such an expansion implies that x, y, X, Y all have real entries). Also, unless otherwise specified, it will be assumed that vectors in \mathbb{C}^g have column entries. The classical RIEMANN theta function is then:

$$\theta(\tau, z) = \sum_{n \in \mathbb{Z}^g} \exp(i\pi^t n \cdot \tau \cdot n + 2i\pi^t n \cdot z).$$

For $m_1, m_2 \in \mathbb{R}^g$ we shall also introduce after RIEMANN, JACOBI, IGUSA, the theta functions with characteristics defined by:

$$\theta_{(m_1, m_2)}(\tau, z) = \sum_{n \in \mathbb{Z}^g} \exp(i\pi^t (n + m_1) \cdot \tau \cdot (n + m_1) + 2i\pi^t (n + m_1) \cdot (z + m_2)).$$

These functions will be equipped with the following norm (made invariant with respect to the action of the symplectic group):

$$\|\theta\|(\tau, z) = \det(Y)^{\frac{1}{4}} \exp(-\pi^t y \cdot Y^{-1} \cdot y) |\theta(\tau, z)|,$$

and:

$$\|\theta_{(m_1, m_2)}\|(\tau, 0) = \det(Y)^{\frac{1}{4}} |\theta_{(m_1, m_2)}(\tau, 0)|.$$

The above norm can similarly be defined for any $z \in \mathbb{C}^g$, but we shall only need it for $z = 0$. It should be also noted that $\theta_{(0,0)}(\tau, z) = \theta(\tau, z)$.

Let us denote by \mathfrak{F}_g the usual fundamental domain of \mathfrak{S}_g (*confer* [Ig72], V. 4.). Recall that it is characterized by the following properties:

¹See definition 3.2.

S. 1. If $\tau \in \mathfrak{F}_g$, then for every $\gamma \in \mathrm{Sp}_{2g}(\mathbb{Z})$, one has $\det(\mathrm{Im}(\gamma.\tau)) \leq \det(\mathrm{Im} \tau)$, where if

$$\gamma = \begin{pmatrix} \alpha & \beta \\ \lambda & \mu \end{pmatrix}, \quad \gamma.\tau = (\alpha\tau + \beta)(\lambda\tau + \mu)^{-1}.$$

S. 2. If $\tau = (\tau_{i,j})_{1 \leq i,j \leq g} \in \mathfrak{F}_g$, then :

$$\forall (i,j) \in \{1, \dots, g\}^2, \quad |\mathrm{Re}(\tau_{i,j})| \leq \frac{1}{2}.$$

S. 3. If $\tau \in \mathfrak{F}_g$,

- $\forall k \in \{1, \dots, g\}$ and $\forall \xi \in \mathbb{Z}^g$, $(\xi_k, \dots, \xi_g) = 1$, one has ${}^t\xi.\mathrm{Im}(\tau).\xi \geq \mathrm{Im}(\tau_{k,k})$.
- $\forall k \in \{1, \dots, g-1\}$, one has $\mathrm{Im}(\tau_{k,k+1}) \geq 0$.

Finally, we shall also make use of the following notations for projective spaces. Assume that E is a vector bundle over some noetherian scheme S , we shall denote by $\mathbb{P}(E)$ the scheme $\mathrm{Proj}(\mathrm{Sym}(\check{E}))$ (where² $\mathrm{Sym}(\check{E}) = \sum_{d>0} S^d(\check{E})$ is the symmetric algebra of \check{E}). This is nothing but $\mathbb{P}(\mathcal{E})$, where \mathcal{E} is the sheaf of sections of the dual bundle of E , in GROTHENDIECK's notations (see *e. g.* [Ha77], page 162). The canonical quotient line bundle will be denoted by $\mathcal{O}_E(1)$.

2.2. The FALTINGS height. Let A be an abelian variety defined over $\overline{\mathbb{Q}}$, of dimension g ($g \geq 1$), and K a number field over which A is rational and semi-stable. Put $S = \mathrm{Spec}(\mathcal{O}_K)$, where \mathcal{O}_K is the ring of integers of K . Let $\pi: \mathcal{A} \rightarrow S$ be a semi-stable model of A over S . We shall denote by ε the zero section of π , so $\varepsilon: S \rightarrow \mathcal{A}$ and by $\omega_{\mathcal{A}/S}$ the sheaf of maximal exterior powers of the sheaf of relative differentials :

$$\omega_{\mathcal{A}/S} := \varepsilon^* \Omega_{\mathcal{A}/S}^g \simeq \pi_* \Omega_{\mathcal{A}/S}^g.$$

For any embedding σ of K in \mathbb{C} , the corresponding line bundle :

$$\omega_{\mathcal{A}/S, \sigma} = \omega_{\mathcal{A}/S} \otimes_{\mathcal{O}_K, \sigma} \mathbb{C} \simeq H^0(\mathcal{A}_\sigma(\mathbb{C}), \Omega_{\mathcal{A}_\sigma}^g(\mathbb{C}))$$

can be equipped with a natural L^2 -metric $\|\cdot\|_\sigma$ defined by :

$$\|\alpha\|_\sigma^2 = \frac{i^{g^2}}{(2\pi)^g} \int_{\mathcal{A}_\sigma(\mathbb{C})} \alpha \wedge \bar{\alpha}$$

(note that we follow here the normalization chosen by DELIGNE, in [De85] or [Bo96a] page 795–04).

The \mathcal{O}_K -module of rank one $\omega_{\mathcal{A}/S}$, together with the hermitian norms $\|\cdot\|_\sigma$ at infinity defines an hermitian line bundle $\bar{\omega}_{\mathcal{A}/S}$ over S , which has a well defined ARAKELOV degree $\widehat{\mathrm{deg}}(\bar{\omega}_{\mathcal{A}/S})$. Recall that for any hermitian line bundle $\bar{\mathcal{E}}$ over S , the ARAKELOV degree of $\bar{\mathcal{E}}$ is defined as :

$$\widehat{\mathrm{deg}}(\bar{\mathcal{E}}) = \log \mathrm{Card}(\mathcal{E}/\mathcal{O}_K s) - \sum_{\sigma: K \hookrightarrow \mathbb{C}} \log \|s\|_\sigma,$$

where s is any non zero section of \mathcal{E} (which does not depend on the choice of s in view of the product formula). More generally, when $\bar{\mathcal{E}}$ is an hermitian vector bundle over S , one defines its ARAKELOV degree as :

$$\widehat{\mathrm{deg}}(\bar{\mathcal{E}}) = \widehat{\mathrm{deg}}(\det(\bar{\mathcal{E}}))$$

(where the metrics on $\det(\mathcal{E})$ at the archimedean places are those induced by the metrics of $\bar{\mathcal{E}}$).

²The symbol \check{E} stands for the dual of E .

We now give the definition of the FALTINGS height that one finds in [Fa83] page 354.

Definition 2.1. The normalized stable FALTINGS height of A is defined as :

$$h_F(A) := \frac{1}{[K : \mathbb{Q}]} \widehat{\deg}(\overline{\omega}_{A/S}) .$$

This height only depends on the $\overline{\mathbb{Q}}$ -isomorphism class of A . It is also called the differential height in [MB85a]. To see that it is really a height, see for instance [Fa83] Satz 1, page 356 and 357. We will also define a modified FALTINGS height for polarized abelian varieties, very useful in some applications.

Definition 2.2. Let A be an abelian variety. If A is principally polarized, then for every embedding $\sigma : K \hookrightarrow \mathbb{C}$, choose $\tau_\sigma \in \mathfrak{F}_g$ associated with $A_\sigma(\mathbb{C})$. The normalized stable modified FALTINGS height of A is defined as follows :

$$h'_F(A) := h_F(A) + \frac{1}{2[K : \mathbb{Q}]} \sum_{\sigma: K \hookrightarrow \mathbb{C}} \log(\det(\operatorname{Im} \tau_\sigma)) .$$

If A is equipped with an ample symmetric line bundle L , choose an isogeny of **minimal** degree $\varphi : A \rightarrow A_0$ where A_0 is principally polarized. For any $\sigma : K \hookrightarrow \mathbb{C}$ let $\tau_{\sigma, A_0} \in \mathfrak{F}_g$ denote the period matrix associated with $A_{0, \sigma}(\mathbb{C})$. Then take :

$$h'_F(A) := h_F(A) + \frac{1}{2[K : \mathbb{Q}]} \sum_{\sigma: K \hookrightarrow \mathbb{C}} \log(\det(\operatorname{Im} \tau_{\sigma, A_0})) + \frac{1}{2} \log(\deg \varphi) .$$

Remark 2.3. In the situation of isogeneous abelian varieties $\varphi : A \rightarrow A_0$, the corollary 2.1.4 of Raynaud [Ra85] gives $h_F(A_0) \leq h_F(A) + \frac{1}{2} \log(\deg \varphi)$, see also [Fa83], lemma 5 page 358. Hence $h'_F(A_0) \leq h'_F(A)$.

2.3. Theta structures and Theta height.

2.3.1. *Isomorphisms of line bundles.* Let us assume we are given the following data.

- Let K be any field of characteristic zero;
- Let A be an abelian variety of dimension g defined over K ;
- Let L be a symmetric ample line bundle on A , rigidified at the origin, which defines a principal polarisation on A ;
- Let $r > 0$ be an even integer.

We shall furthermore assume (by enlarging the base field K if needed) that all the torsion points of order r^2 of A are rational over K . For any positive integer n , let us define $A_n(K)$ the set of K -rational torsion points of order n .

Recall that there is a unique isomorphism j (since L is symmetric, see *e. g.* [BiLa04], Corollary 3. 6, page 34) :

$$j : [r]^* L \xrightarrow{\sim} L^{\otimes r^2} ,$$

compatible with the rigidification of L . This implies that for any $x \in A_r(K)$, there is a canonical isomorphism :

$$i_x : t_x^* L^{\otimes r^2} \xrightarrow{\sim} L^{\otimes r^2} .$$

Indeed, we have :

$$t_x^* L^{\otimes r^2} \xrightarrow{j^{-1}} t_x^* ([r]^* L) \simeq ([r] \circ t_x)^* L \simeq [r]^* L \xrightarrow{j} L^{\otimes r^2} .$$

These isomorphisms $(i_x)_{x \in A_r(K)}$ are compatible, namely, for any $(x, y) \in A_r(K)^2$, the composite map :

$$(1) \quad t_{x+y}^* L^{\otimes r^2} \simeq t_x^* \left(t_y^* L^{\otimes r^2} \right) \xrightarrow{t_x^{i_y}} t_x^* L^{\otimes r^2} \xrightarrow{i_x} L^{\otimes r^2}$$

coincides with i_{x+y} .

As a matter of fact, for any $x \in A_{r,2}(K)$, there is still an isomorphism :

$$i_x : t_x^* L^{\otimes r^2} \xrightarrow{\sim} L^{\otimes r^2} ,$$

see for example [BiLa04], Lemma 4. 7 (c), page 38.

Moreover, these i_x with $x \in A_{r,2}(K)$ may be chosen in such a way that for any $(x, y) \in A_{r,2}(K)^2$, the map (1) coincides with i_{x+y} up to a multiplication by some r^2 -th roots of unity. Any such choice of the i_x 's will be called a *good choice*. If $(i'_x)_{x \in A_{r,2}(K)}$ is another good choice, there exists a system $(\lambda_x)_{x \in A_{r,2}(K)}$ of r^4 -th roots of unity in K (the r^4 -th roots of unity are K -rational by the Weil-pairing properties, see for example Corollary 8.1.1 page 98 of [Si92]) such that for any $x \in A_{r,2}(K)$, we have $i'_x = \lambda_x i_x$.

All these remarks easily follow from the theorem of the cube and are immediate consequences of MUMFORD's theory of theta structures (note that any theta structure on $L^{\otimes r^2}$ induces a good choice of the i_x 's). See for instance [Mu66] and [Mu67].

2.3.2. *Bases for $\Gamma(A, L^{\otimes r^2})$.* Let us suppose from now on that a good choice for the (i_x) has been made. For any $x \in A_{r,2}(K)$ let :

$$\begin{aligned} \varphi_x : \quad \Gamma(A, L) &\longrightarrow \Gamma(A, L^{\otimes r^2}) \\ s &\longmapsto (i_x \circ t_x^* \circ j \circ [r]^*)s . \end{aligned}$$

It is an injective morphism from the K -line $\Gamma(A, L)$ into the K -vector space $\Gamma(A, L^{\otimes r^2})$ of dimension r^{2g} . We have the following lemma :

Lemma 2.4. *For any $x, x' \in A_{r,2}(K)$, such that $y = x - x' \in A_r(K)$ there exists a r^4 -th root of unity $\mu = \mu_y$ in K such that $\varphi_{x'} = \mu \varphi_x$.*

Proof. One just need to notice that $(i'_x) := (i_{x'})$ is also a good choice, then apply the remarks of §2.3.1. \square

Let now Γ be any set of representatives in $A_{r,2}(K)$ of $A_{r,2}(K)/A_r(K)$. We have the following :

Proposition 2.5. *The map :*

$$\begin{aligned} \varphi : \quad \Gamma(A, L)^\Gamma &\longrightarrow \Gamma(A, L^{\otimes r^2}) \\ (s_x)_{x \in \Gamma} &\longmapsto \sum_{x \in \Gamma} \varphi_x(s_x) , \end{aligned}$$

is an isomorphism of K -vector spaces.

Proof. This follows for instance from MUMFORD's theory of theta structures (see [Mu66] or [DaPh02]). Indeed, the image of φ is non zero and invariant under the irreducible projective representation of the group $K(L^{\otimes r^2}) = A_{r,2}(K)$.

Finally, any bijection $\Gamma \simeq \{1, \dots, r^{2g}\}$ provides an isomorphism :

$$\Gamma(A, L)^{\oplus r^{2g}} \xrightarrow{\sim} \Gamma(A, L^{\otimes r^2}) ,$$

and therefore, an isomorphism :

$$(2) \quad \mathbb{P}(\Gamma(A, L^{\otimes r^2})) \simeq \mathbb{P}_K^{r^{2g}-1}.$$

The various isomorphisms (2) obtained by this construction for various choices of the rigidification of L at the origin, of the coordinate system $(i_x)_{x \in A_{r^2}(K)}$, of Γ and of the bijection $\Gamma \simeq \{1, \dots, r^{2g}\}$ coincide up to the action of an element of the finite group :

$$G = \mathfrak{S}_{r^{2g}} \triangleleft \mu_{r^4}(K) \subset \mathrm{GL}_{r^{2g}}(K)$$

(note that above the \mathfrak{S} denotes the group of permutations and not SIEGEL's upper half space). See [BiLa04] page 168 and [DaPh02] page 654 for more details.

2.3.3. *Theta embeddings.* The line bundle $L^{\otimes r^2}$ is very ample on A (since $r^2 \geq 4 > 3$). Therefore, it defines an embedding :

$$A \longrightarrow \mathbb{P}(\Gamma(A, L^{\otimes r^2})),$$

hence, by composition, with the map (2), an embedding of K -varieties :

$$(3) \quad \Theta : A \longrightarrow \mathbb{P}_K^{r^{2g}-1}.$$

All these constructions are clearly compatible with extensions of the base field K (and in particular with automorphisms of K).

Also observe that if L' is another symmetric ample line bundle on A which defines the same (principal) polarisation as L , then the Θ -embeddings of A into $\mathbb{P}_K^{r^{2g}-1}$ associated to L and L' respectively coincide up to the projective action of the finite group G defined above. See for example [DaPh02] page 654.

In fact the point $\Theta(0) \in \mathbb{P}^{r^{2g}-1}(K)$ determines up to some finite ambiguity the K -isomorphism class of the abelian variety A equipped with the polarisation defined by L . Indeed, $\Theta(A)$ can be defined by quadratic equations whose coefficients are functions of the projective coordinates of $\Theta(0)$, which gives the $\overline{\mathbb{Q}}$ -isomorphism class of A but one could have two abelian varieties isomorphic over $\overline{\mathbb{Q}}$ that are not isomorphic over K . See [Mu66] and [Mu67].

2.3.4. *Theta height.* For a projective point $P \in \mathbb{P}^N(\overline{\mathbb{Q}})$, we denote by $h(P)$ the l^2 -logarithmic WEIL height defined by means of the usual euclidean (or hermitian l^2) norms at the infinite places. It is the height with respect to $\mathcal{O}(1)$ equipped with the Fubini-Study metric, *i.e.* (as in our situation $N = r^{2g} - 1$) for $P \in \mathbb{P}^{r^{2g}-1}(\overline{\mathbb{Q}})$ it is given by the ARAKELOV degree $h(P) = \widehat{\deg} P^* \overline{\mathcal{O}(1)}_{F.S.}$ of the projective point P .

Definition 2.6. Let (A, L) be a principally polarized abelian variety defined over $\overline{\mathbb{Q}}$, of dimension g , with L ample and symmetric. Let Θ be the projective embedding described in (3). The Theta height of A with respect to L is then defined as :

$$h_{\Theta}(A, L) := h(\Theta(0)).$$

By the preceding discussion, $h_{\Theta}(A, L)$ depends only on the $\overline{\mathbb{Q}}$ -isomorphism class of A polarized by L , and defines a height on the set of such isomorphism classes; namely, it is bounded below (by 0) and there is only a finite set, up to $\overline{\mathbb{Q}}$ -isomorphism of pairs (A, L) , with bounded height which may be defined over a number field of bounded degree.

Consider then $K = \mathbb{C}$. Let us fix an homology basis. Let us also fix any embedding of $\overline{\mathbb{Q}}$ in \mathbb{C} . Then (see for example [BiLa04] page 213), there exists an element τ in \mathfrak{S}_g and a point

$z_0 \in \mathbb{C}^g$ such that $A(\mathbb{C})$ and $L_{\mathbb{C}}$ may be identified with the complex torus $\mathbb{C}^g/(\mathbb{Z}^g + \tau\mathbb{Z}^g)$ and $\mathcal{O}(\Theta_{\tau} + [z_0])$ respectively, where :

$$\Theta_{\tau} = \{[z] \in \mathbb{C}^g/(\mathbb{Z}^g + \tau\mathbb{Z}^g), \theta(\tau, z) = 0\}$$

is the divisor defined by the RIEMANN theta function.

The line bundle $L^{\otimes r^2}$ is very ample and the classical Theta Nullwerte of the associated embedding can be chosen as the r^{2g} complex numbers :

$$\theta_{(m_1, m_2)}(\tau, 0), \quad m_1, m_2 \in \left\{0, \frac{1}{r}, \dots, \frac{r-1}{r}\right\}^g.$$

Note that certain authors (for instance MUMFORD) rather consider the $\theta_{(m, 0)}(r^2\tau, 0)$ where $m \in \frac{1}{r^2}\mathbb{Z}^g/\mathbb{Z}^g$. We shall also make use of this latter coordinate system, but generally select the former. They do not all vanish (see *e. g.* [Ig72], page 168), and their quotients all belong to $\overline{\mathbb{Q}}$ since A is defined over $\overline{\mathbb{Q}}$ (see *e. g.* [Ig72], page 170). Hence, they define some point in $\mathbb{P}^{r^{2g}-1}(\overline{\mathbb{Q}})$. The normalized logarithmic WEIL height of this point is by definition the theta height $h_{\Theta}(A, L)$ of the pair (A, L) . Indeed, this height does not depend neither on the choice of the embedding $\overline{\mathbb{Q}} \hookrightarrow \mathbb{C}$, nor on the choices of τ, z_0 . It only depends on the $\overline{\mathbb{Q}}$ -isomorphism class of the abelian variety (principally) polarized by L (see *infra* § 2.3). The point $\Theta(0)$ is easily seen to have as projective coordinates the family of Theta Nullwerte $(\theta_{(m_1, m_2)}(\tau, 0))_{(m_1, m_2) \in \{0, \frac{1}{r}, \dots, \frac{r-1}{r}\}^{2g}}$ (use the description of the sections of $\mathcal{O}(\Theta_{\tau})$ and of $\mathcal{O}(r^2\Theta_{\tau})$ in terms of theta functions). This implies that when A is defined over $\overline{\mathbb{Q}}$, the theta height is also given by the height of these Nullwerte.

3. ARAKELOV GEOMETRY OF ABELIAN VARIETIES

In this section, we recall a few basic facts concerning the ARAKELOV geometry of abelian varieties, due essentially to MORET–BAILLY (see [MB85a] and [MB90]). They already appear in the present form in [Bo96b], § 4. 2, to which we shall refer for proofs and references.

3.1. Definitions. Let K be a number field, \mathcal{O}_K its ring of integers, and $\pi: \mathcal{A} \rightarrow \text{Spec}(\mathcal{O}_K)$ a semi-stable group scheme, *i. e.* a smooth commutative group scheme of finite type and separated over $\text{Spec}(\mathcal{O}_K)$, such that the components of its fibers are extensions of abelian varieties by tori (observe that these fibers are not necessarily connected). We shall say that an hermitian line bundle $\overline{\mathcal{L}}$ on \mathcal{A} is *cubist* if there exists a cubist structure, in the sense of [MB85a], I. 2. 4. 5, on the \mathbb{G}_m -torsor over \mathcal{A} defined by \mathcal{L} which, with the notations of *loc. cit.*, is defined by a section τ of $\mathcal{D}_3(\mathcal{L})$ of norm 1, when $\mathcal{D}_3(\mathcal{L})$ is equipped with the hermitian structure deduced from the one on $\overline{\mathcal{L}}$. In other words, if we denote by :

$$p_i: \mathcal{L}^3 := \mathcal{L} \times_{\mathcal{O}_K} \mathcal{L} \times_{\mathcal{O}_K} \mathcal{L} \rightarrow \mathcal{L}, \quad i = 1, 2, 3$$

the projections on the three factors, by :

$$p_I: \mathcal{A}^3 \rightarrow \mathcal{A}$$

the morphism which sends a geometric point (x_1, x_2, x_3) to $\sum_{i \in I} x_i$, for any non empty subset I of $\{1, 2, 3\}$, and by $\overline{\mathcal{O}_{\mathcal{A}^3}}$ the trivial hermitian line bundle $(\mathcal{O}_{\mathcal{A}^3}, \|\cdot\|)$ defined by $\|1\| = 1$, then, an hermitian line bundle $\overline{\mathcal{L}}$ over \mathcal{A} is cubist if and only if there exists an isometric

isomorphism :

$$(4) \quad \mathcal{D}_3(\overline{\mathcal{L}}) := \bigotimes_{I \subset \{1,2,3\}, I \neq \emptyset} (p_I^* \overline{\mathcal{L}})^{\otimes (-1)^{\#I}} \xrightarrow{\sim} \overline{\mathcal{O}_{\mathcal{A}^3}}$$

of hermitian line bundles over \mathcal{A}^3 which satisfies suitable symmetry and cocycle conditions (confer [MB85a], I. 2. 4. 5., (i) and (iii)). The relation (4) implies that, if $\varepsilon: \text{Spec}(\mathcal{O}_K) \rightarrow \mathcal{A}$ denotes the zero section,

$$\varepsilon^* \overline{\mathcal{L}} \simeq \overline{\mathcal{O}_{\text{Spec}(\mathcal{O}_K)}},$$

and also that if \mathcal{A}_K is an abelian variety, the $(1, 1)$ form $c_1(\overline{\mathcal{L}})$ is translation invariant on each of the complex tori $\mathcal{A}_\sigma(\mathbb{C})$, for $\sigma: K \hookrightarrow \mathbb{C}$. Conversely, when \mathcal{A} is an abelian scheme over \mathcal{O}_K , one easily checks that these last two properties characterize cubist hermitian line bundles over \mathcal{A} .

Let $\pi: \mathcal{A} \rightarrow \text{Spec}(\mathcal{O}_K)$ be a semi-stable group scheme whose generic fiber \mathcal{A}_K is an abelian variety. For any line bundle \mathcal{M} on \mathcal{A} , the direct image $\pi_* \mathcal{M}$ is coherent (see [MB85a], Lemma VI, I. 4. 2) and torsion free, hence locally free. If $\overline{\mathcal{L}}_K$ is a cubist hermitian line bundle on \mathcal{A} and if \mathcal{L}_K is ample on \mathcal{A}_K , then \mathcal{L} is ample on \mathcal{A} (see [Ra85], Theorem VIII. 2, and [MB85a], Proposition VI. 2. 1) and $c_1(\mathcal{L})$ is strictly positive on $\mathcal{A}(\mathbb{C})$ (indeed, it is translation invariant on each component of $\mathcal{A}(\mathbb{C})$ and cohomologous to a strictly positive $(1, 1)$ form). Therefore, we may define $\pi_*(\overline{\mathcal{L}})$ as the hermitian vector bundle whose rank is :

$$\rho(\mathcal{L}_{\overline{\mathbb{Q}}}) := \frac{1}{g!} c_1(\mathcal{L}_{\overline{\mathbb{Q}}})^g$$

on $\text{Spec}(\mathcal{O}_K)$ consisting of $\pi_*(\mathcal{L})$ endowed with the hermitian structure defined by the L^2 -metric $\|\cdot\|$ associated to the metric on $\overline{\mathcal{L}}$ and the normalized HAAR measures on the complex tori $\mathcal{A}_\sigma(\mathbb{C})$. In other words, for any section $s \in \pi_* \mathcal{L} \otimes_{\sigma} \mathbb{C} \simeq H^2(\mathcal{A}_\sigma, \mathcal{L}_\sigma)$, we let :

$$\|s\|_{\sigma}^2 = \int_{\mathcal{A}_\sigma(\mathbb{C})} \|s(x)\|_{\overline{\mathcal{L}}}^2 d\mu(x),$$

where $d\mu$ denotes the normalized HAAR measure on $\mathcal{A}_\sigma(\mathbb{C})$. It corresponds to the norm given in 2.2, because the measure is normalized.

Definition 3.1. Let A be an abelian variety over $\overline{\mathbb{Q}}$, L an ample symmetric line bundle over A and F a finite subset of $A(\overline{\mathbb{Q}})$. We define a *MB-model* of (A, L, F) over a number field K in $\overline{\mathbb{Q}}$ as the data consisting of :

- a semi-stable group scheme $\pi: \mathcal{A} \rightarrow \text{Spec}(\mathcal{O}_K)$,
- an isomorphism $i: A \xrightarrow{\sim} \mathcal{A}_{\overline{\mathbb{Q}}}$ of abelian varieties over $\overline{\mathbb{Q}}$,
- a cubist hermitian line bundle $\overline{\mathcal{L}}$ on \mathcal{A} ,
- an isomorphism φ as in 2.5.
- for any $P \in F$, a section $\varepsilon_P: \text{Spec}(\mathcal{O}_K) \rightarrow \mathcal{A}$ of the map π , such that the attached geometric point namely $\varepsilon_{P, \overline{\mathbb{Q}}} \in \mathcal{A}(\overline{\mathbb{Q}})$ coincides with the point $i(P)$,

which satisfy the following condition : there exists a subscheme \mathcal{K} of \mathcal{A} , flat and *finite* over $\text{Spec}(\mathcal{O}_K)$, such that $i^{-1}(\mathcal{K}_{\overline{\mathbb{Q}}})$ coincides with the MUMFORD group $K(L^{\otimes 2})$, namely the finite algebraic subgroup of A whose rational points x over $\overline{\mathbb{Q}}$ are characterized by the existence of an isomorphism of line bundles on A :

$$t_x^* L^{\otimes 2} \simeq L^{\otimes 2}.$$

Definition 3.2. Given a triple (A, L, r) with A an abelian variety over $\overline{\mathbb{Q}}$ and L a symmetric ample line bundle, $r > 0$ an even integer, we say that a number field K is *MB* if there exists a *MB*-model of the type $(\pi: \mathcal{A} \rightarrow \text{Spec}(\mathcal{O}_K), i, \overline{\mathcal{L}}, \varphi, (\varepsilon_P)_{P \in A, 2})$ rational over K .

Remark 3.3. One can find *MB* number fields using for example the semi-stable reduction theorem (*confer* MORET-BAILLY in [MB85a] Theorem 3.5 page 58).

3.2. Properties of *MB*-models. The main properties of *MB*-models we shall use in the proof of Theorem 1.1 are essentially due to MORET-BAILLY [MB85a] and [MB90]. See also BREEN [Br80] and MUMFORD [Mu66]. They may be summarized as follows :

Theorem 3.4. *Let A be an abelian variety of dimension g over $\overline{\mathbb{Q}}$, L a symmetric ample line bundle on A , and F a finite subset of $A(\overline{\mathbb{Q}})$. We have the following properties :*

- (i) Existence. *For any number field K_0 , there exist a number field K containing K_0 and a *MB*-model $(\pi: \mathcal{A} \rightarrow \text{Spec}(\mathcal{O}_K), i, \overline{\mathcal{L}}, \varphi, (\varepsilon_P)_{P \in F})$ for the data (A, L, F) .*
- (ii) NÉRON-TATE heights. *For any *MB*-model as in (i) and for any $P \in F$, the normalized height $[K : \mathbb{Q}]^{-1} \widehat{\deg}(\varepsilon_P^* \overline{\mathcal{L}})$ coincides with the value at P of the normalized logarithmic Néron-Tate height attached to the line bundle L and denoted $\widehat{h}_L(P)$.*
- (iii) Independence of *MB*-models. *For any two *MB*-models*

$$(\pi: \mathcal{A} \rightarrow \text{Spec}(\mathcal{O}_K), i, \overline{\mathcal{L}}, \varphi, (\varepsilon_P)_{P \in F})$$

and

$$(\pi': \mathcal{A} \rightarrow \text{Spec}(\mathcal{O}_K), i', \overline{\mathcal{L}'}, \varphi', (\varepsilon'_P)_{P \in F})$$

of (A, L, F) over a number field K , the canonical isomorphisms defined by i, φ, i' and φ' :

$$(\pi_* \mathcal{L})_{\overline{\mathbb{Q}}} \simeq H^0(A, L) \simeq (\pi'_* \mathcal{L}')'_{\overline{\mathbb{Q}}}$$

and

$$(\varepsilon_P^* \mathcal{L})_{\overline{\mathbb{Q}}} \simeq L|_P \simeq (\varepsilon'_P{}^* \mathcal{L}')_{\overline{\mathbb{Q}}} \quad (\forall P \in F)$$

extend to isometric isomorphisms of hermitian line bundles over the base $\text{Spec}(\mathcal{O}_K)$:

$$\pi_*(\overline{\mathcal{L}}) \simeq \pi'_*(\overline{\mathcal{L}'})$$

and

$$\varepsilon_P^*(\overline{\mathcal{L}}) \simeq \varepsilon'_P{}^*(\overline{\mathcal{L}'}) .$$

- (iv) Compatibility with extensions of scalars. *Let*

$$(\pi: \mathcal{A} \rightarrow \text{Spec}(\mathcal{O}_K), i, \overline{\mathcal{L}}, \varphi, (\varepsilon_P)_{P \in F})$$

be a *MB*-model over some number field K , and let K' be some other number field such that $K \subset K' \subset \overline{\mathbb{Q}}$. From this model, through extension of scalars from \mathcal{O}_K to $\mathcal{O}_{K'}$, we get a semi-stable group scheme :

$$\tilde{\pi}: \tilde{\mathcal{A}} := \mathcal{A} \times_{\mathcal{O}_K} \mathcal{O}_{K'} \rightarrow \text{Spec}(\mathcal{O}_{K'}) ,$$

an hermitian line bundle $\tilde{\overline{\mathcal{L}}}$ on $\tilde{\mathcal{A}}$ (take the pull-back of $\overline{\mathcal{L}}$ by the first projection $\mathcal{A} \times_{\mathcal{O}_K} \mathcal{O}_{K'} \rightarrow \mathcal{A}$), and sections :

$$\tilde{\varepsilon}_P := \varepsilon_P \otimes_{\mathcal{O}_K} \mathcal{O}_{K'}: \text{Spec}(\mathcal{O}_{K'}) \rightarrow \tilde{\mathcal{A}} ,$$

and the isomorphisms i and φ determine isomorphisms :

$$\tilde{i}: \mathcal{A} \xrightarrow{\sim} \tilde{\mathcal{A}}_{\overline{\mathbb{Q}}} \quad \text{and} \quad \tilde{\varphi}: L \xrightarrow{\sim} \tilde{i}^* \tilde{\overline{\mathcal{L}}}_{\overline{\mathbb{Q}}} .$$

The 5-tuple $(\tilde{\pi}: \tilde{\mathcal{A}} \rightarrow \text{Spec}(\mathcal{O}_{K'}), \tilde{i}, \tilde{\mathcal{L}}, \tilde{\varphi}, (\tilde{\varepsilon}_P)_{P \in F})$ is a MB-model of (A, L, F) over K' . Moreover, if $j: \text{Spec}(\mathcal{O}_{K'}) \rightarrow \text{Spec}(\mathcal{O}_K)$ denotes the map defined by the inclusion $\mathcal{O}_K \hookrightarrow \mathcal{O}_{K'}$, then the canonical isomorphism :

$$j^* \pi_* \mathcal{L} \longrightarrow \tilde{\pi}_* \tilde{\mathcal{L}}$$

defines an isometric isomorphism of hermitian vector bundles over $\text{Spec}(\mathcal{O}_{K'})$:

$$j^* \pi_* \bar{\mathcal{L}} \longrightarrow \tilde{\pi}_* \tilde{\bar{\mathcal{L}}}.$$

(v) ARAKELOV slope of $\pi_* \bar{\mathcal{L}}$. For any MB-model as in (i) one has $\pi_* \bar{\mathcal{L}}$ semi-stable and :

$$\frac{\widehat{\text{deg}} \pi_* \bar{\mathcal{L}}}{[K : \mathbb{Q}] \rho(L)} = -\frac{1}{2} h_F(A) + \frac{1}{4} \log \left(\frac{\rho(L)}{(2\pi)^g} \right).$$

(vi) Base points. For any MB-model as in (i), and any $n \in \mathbb{N}^*$, let $\mathcal{A}^{[n]}$ be the smallest open subgroup scheme of \mathcal{A} containing $K(\mathcal{L}_{\mathbb{Q}}^{\otimes n})$. If n is even and if the closure of $K(\mathcal{L}_{\mathbb{Q}}^{\otimes n})$ in \mathcal{A} is finite over $\text{Spec}(\mathcal{O}_K)$, then the global sections $H^0(\mathcal{A}, \mathcal{L}^{\otimes n})$ generate $\mathcal{L}^{\otimes n}$ over $\mathcal{A}^{[n]}$.

Proof. For details or references concerning the proof of (i)–(v) see [Bo96b], § 4. 3. 2. Assertion (vi) follows from [MB85a], VI. 3.4 and VI. 2. 2. \square

Remark 3.5. One can observe that if $(\pi: \mathcal{A} \rightarrow \text{Spec}(\mathcal{O}_K), i, \bar{\mathcal{L}}, \varphi, (\varepsilon_P)_{P \in F})$ is a MB-model, then $(\pi: \mathcal{A} \rightarrow \text{Spec}(\mathcal{O}_K), i, \bar{\mathcal{L}}^{\otimes r^2}, \varphi, (\varepsilon_P)_{P \in F})$ is also a MB-model.

4. COMPARISONS OF HEIGHTS

4.1. Intrinsic heights and projective heights of integral points. Let K be a number field, $\pi: \mathcal{X} \rightarrow S := \text{Spec}(\mathcal{O}_K)$ a flat quasi-projective integral scheme such that \mathcal{X}_K is smooth, and $\bar{\mathcal{L}}$ an hermitian line bundle on \mathcal{X} .

For any section P of π , we let as usual :

$$h_{\bar{\mathcal{L}}}(P) := \frac{1}{[K : \mathbb{Q}]} \widehat{\text{deg}} P^* \bar{\mathcal{L}}.$$

Let $\bar{\mathcal{F}}$ be some hermitian vector bundle on S such that $\mathcal{F} \subset \pi_* \bar{\mathcal{L}}$ and such that \mathcal{L}_K is generated over \mathcal{X}_K by its global sections in $\mathcal{F}_K \subset H^0(\mathcal{X}_K, \mathcal{L}_K)$. The subscheme $\mathcal{B}_{\mathcal{F}}$ of base points of the linear system \mathcal{F} of sections of \mathcal{L} is defined as the closed subscheme of \mathcal{X} whose ideal sheaf $I_{\mathcal{B}_{\mathcal{F}}}$ is such that the image of the canonical map :

$$\pi^* \mathcal{F} \longrightarrow \mathcal{L}$$

is $I_{\mathcal{B}_{\mathcal{F}}} \cdot \mathcal{L}$.

As $\mathcal{B}_{\mathcal{F}}$ does not meet the generic fiber \mathcal{X}_K , for any section P of π , the subscheme $P^* \mathcal{B}_{\mathcal{F}}$ of $\text{Spec}(\mathcal{O}_K)$ is a divisor. We shall denote it :

$$(5) \quad P^* \mathcal{B}_{\mathcal{F}} = \sum_{\substack{\mathfrak{p} \text{ prime} \\ \text{of } \mathcal{O}_K, \\ \mathfrak{p} \nmid \infty}} \beta_{\mathfrak{p}}(\mathcal{L}, \mathcal{F}, P) \mathfrak{p}.$$

The $\beta_{\mathfrak{p}}(\mathcal{L}, \mathcal{F}, P)$ are non negative integers; almost all of them vanish. They have archimedean counterparts, defined as follows; for any embedding $\sigma: K \hookrightarrow \mathbb{C}$, we let :

$$(6) \quad \beta_{\sigma}(\overline{\mathcal{L}}, \overline{\mathcal{F}}, P) := -\frac{1}{2} \log \left(\sum_{i=1}^n \|u_i\|_{\overline{\mathcal{L}}_{\sigma}}^2(P_{\sigma}) \right),$$

where $(u_i)_{1 \leq i \leq n}$ is any orthonormal basis of $\overline{\mathcal{F}}_{\sigma}$, a subspace of $H^0(\mathcal{X}_{\sigma}, \mathcal{L}_{\sigma})$.

We shall denote by $h_{\overline{\mathcal{F}}}$ the height on $\mathbb{P}(\overline{\mathcal{F}}_K)$ attached to the hermitian line bundle $\mathcal{O}_{\overline{\mathcal{F}}}(1)$ on the integral model $\mathbb{P}(\overline{\mathcal{F}})$ of $\mathbb{P}(\overline{\mathcal{F}}_K)$. More precisely, $\mathcal{O}_{\overline{\mathcal{F}}}(1)$ is $\mathcal{O}_{\overline{\mathcal{F}}}(1)$ equipped with the metric defined by the metric on $\overline{\mathcal{F}}$ and the canonical epimorphism $\pi_{\mathbb{P}(\overline{\mathcal{F}})}^* \mathcal{F} \rightarrow \mathcal{O}_{\overline{\mathcal{F}}}(1)$. Let $\nu: \tilde{\mathcal{X}} \rightarrow \mathcal{X}$ be the blowing up of $\mathcal{B}_{\mathcal{F}}$, and let $E := \nu^*(\mathcal{B}_{\mathcal{F}})$. It is an effective vertical CARTIER divisor on the integral scheme $\tilde{\mathcal{X}}$. Let us consider the map $i_K: \tilde{\mathcal{X}}_K \rightarrow \mathbb{P}(\mathcal{F})_K$.

Proposition 4.1. *For any section P of $\pi: \mathcal{X} \rightarrow S$, the following equality holds :*

$$h_{\overline{\mathcal{L}}}(P) = h_{\overline{\mathcal{F}}}(i_K(P_K)) + \frac{1}{[K:\mathbb{Q}]} \left(\sum_{\mathfrak{p} \nmid \infty} \beta_{\mathfrak{p}}(\mathcal{L}, \mathcal{F}, P) \log(N\mathfrak{p}) + \sum_{\sigma: K \hookrightarrow \mathbb{C}} \beta_{\sigma}(\overline{\mathcal{L}}, \overline{\mathcal{F}}, P) \right).$$

Proof. The map $i_K: \tilde{\mathcal{X}}_K \rightarrow \mathbb{P}(\mathcal{F})_K$ extends uniquely to a morphism $i: \tilde{\mathcal{X}} \rightarrow \mathbb{P}(\mathcal{F})$, by the very definition of a blowing up. Moreover, the canonical isomorphism of line bundles over \mathcal{X}_K :

$$\mathcal{L}_K \simeq i_K^* \mathcal{O}_{\overline{\mathcal{F}}}(1)$$

extends to an isometric isomorphism of hermitian line bundles over \mathcal{X} :

$$(7) \quad \nu^* \overline{\mathcal{L}} \simeq i^* \overline{\mathcal{O}_{\overline{\mathcal{F}}}(1)} \otimes (\mathcal{O}(E), \|\cdot\|)$$

if $\|\cdot\|$ denotes the hermitian metric on $\mathcal{O}(E)$ defined by :

$$\|u\|_{\sigma}^2 = \sum_{i=1}^n \|u_i\|_{\overline{\mathcal{L}}_{\sigma}}^2$$

on \mathcal{X}_{σ} , for any orthonormal basis $(u_i)_{1 \leq i \leq n}$ of \mathcal{F}_{σ} (confer [Bo96b], 2. 4 and 2. 5). Let $\tilde{P}: S \rightarrow \tilde{\mathcal{X}}$ the section of $\tilde{\pi} := \pi \circ \nu: \tilde{\mathcal{X}} \rightarrow S$ which lifts $P: S \rightarrow \mathcal{X}$ (it exists by the properness of the map $\nu: \tilde{\mathcal{X}} \rightarrow \mathcal{X}$). We have :

$$(8) \quad [K:\mathbb{Q}] h_{\overline{\mathcal{L}}}(P) = \widehat{\deg} P^* \overline{\mathcal{L}} = \widehat{\deg} \tilde{P}^* \nu^* \overline{\mathcal{L}},$$

and

$$(9) \quad [K:\mathbb{Q}] h_{\overline{\mathcal{F}}}(P_K) = \widehat{\deg}(i \circ \tilde{P})^* \overline{\mathcal{O}_{\overline{\mathcal{F}}}(1)} = \widehat{\deg} \tilde{P}^* i^* \overline{\mathcal{O}_{\overline{\mathcal{F}}}(1)}$$

(this follows from the definitions of $h_{\overline{\mathcal{L}}}$ and $h_{\overline{\mathcal{F}}}$).

On the other hand, the arithmetic line bundle $\tilde{P}^*(\mathcal{O}(E), \|\cdot\|)$ on S is defined by the arithmetic cycle $(\sum_{\mathfrak{p} \nmid \infty} \beta_{\mathfrak{p}}(\mathcal{L}, \mathcal{F}, P)\mathfrak{p}, \sum_{\sigma | \infty} \beta_{\sigma}(\mathcal{L}, \mathcal{F}, P)\|\cdot\|_{\sigma})$. Indeed, the multiplicity $\beta_{\mathfrak{p}}(\mathcal{L}, \mathcal{F}, P)$ defined as the length at \mathfrak{p} of $P^* \mathcal{B}_K$, coincides with the length at \mathfrak{p} of $\tilde{P}^* E$. This implies :

$$(10) \quad \widehat{\deg} \tilde{P}^*(\mathcal{O}(E), \|\cdot\|) = \sum_{\mathfrak{p} \nmid \infty} \beta_{\mathfrak{p}}(\mathcal{L}, \mathcal{F}, P) \log(N\mathfrak{p}) + \sum_{\sigma | \infty} \beta_{\sigma}(\mathcal{L}, \mathcal{F}, P)$$

Together with relation (10), the relations (7), (8) and (9) imply the assertion of Proposition 4.1, which is thus proved. \square

We shall also need bounds on the numbers $\beta_{\mathfrak{p}}(\mathcal{L}, \mathcal{F}, P)$; the following proposition is useful to derive them :

Proposition 4.2. *Let \mathcal{F}' be another vector bundle over S such that :*

$$\mathcal{F} \subset \mathcal{F}' \subset \pi_* \mathcal{L} ,$$

and let P be any section of π . Then, for any finite prime \mathfrak{p} , we have :

$$(11) \quad 0 \leq \beta_{\mathfrak{p}}(\mathcal{L}, \mathcal{F}', P) \leq \beta_{\mathfrak{p}}(\mathcal{L}, \mathcal{F}, P).$$

Moreover, if we further assume that $\mathcal{F}'_K = \mathcal{F}_K$, then we have :

$$(12) \quad \sum_{\mathfrak{p} \nmid \infty} m_{\mathfrak{p}}(\mathcal{F}, \mathcal{L}, P) \log(N\mathfrak{p}) - \sum_{\mathfrak{p} \nmid \infty} m_{\mathfrak{p}}(\mathcal{F}', \mathcal{L}, P) \log(N\mathfrak{p}) \leq \deg(\mathcal{F}'/\mathcal{F}),$$

where $\deg(\mathcal{F}'/\mathcal{F}) := \log(\#\mathcal{F}'/\mathcal{F})$.

Proof. If $\mathcal{F} \subset \mathcal{F}'$, then, obviously, $\mathcal{B}_{\mathcal{F}'} \subset \mathcal{B}_{\mathcal{F}}$. This implies the second inequality of (11). The first comes from the fact that the $\beta_{\mathfrak{p}}$'s are lengths.

Let us now prove (12) when there exists a finite ideal \mathfrak{p}_0 of \mathcal{O}_K such that $\mathcal{F}'/\mathcal{F} \simeq \mathbb{F}_{\mathfrak{p}_0}$. Then, we have :

$$(13) \quad \mathcal{B}_{\mathcal{F}'} \cap \pi^{-1}(S - |\mathfrak{p}_0|) = \mathcal{B}_{\mathcal{F}} \cap \pi^{-1}(S - |\mathfrak{p}_0|),$$

hence, for every prime $\mathfrak{p} \neq \mathfrak{p}_0$,

$$m_{\mathfrak{p}}(\mathcal{L}, \mathcal{F}, P) = m_{\mathfrak{p}}(\mathcal{L}, \mathcal{F}', P) ,$$

and the relation (12) amounts to the bound :

$$\beta_{\mathfrak{p}_0}(\mathcal{L}, \mathcal{F}, P) \leq \beta_{\mathfrak{p}_0}(\mathcal{L}, \mathcal{F}', P) + 1 .$$

Indeed, we are going to show the following inclusion of ideal sheaves :

$$(14) \quad \mathfrak{I}_{\mathcal{B}_{\mathcal{F}'}} \cdot \mathfrak{I}_{\mathcal{X}_{\mathfrak{p}_0}} \subset \mathfrak{I}_{\mathcal{B}_{\mathcal{F}}},$$

where $\mathcal{X}_{\mathfrak{p}_0}$ denotes the scheme theoretic fiber of \mathfrak{p}_0 in \mathcal{X} (*i. e.* a vertical CARTIER divisor on \mathcal{X}). Let $s \in \mathcal{F}'$ whose class in $\mathcal{F}'/\mathcal{F} \simeq \mathbb{F}_{\mathfrak{p}_0}$ does not vanish, and let $\sigma \in H^0(\mathcal{X}, \mathcal{L})$ the corresponding global section of \mathcal{L} on \mathcal{X} . Choose $\alpha \in \mathfrak{p}_0 \setminus \mathfrak{p}_0^2$. According to the definition of both $\mathcal{B}_{\mathcal{F}}$ and $\mathcal{B}_{\mathcal{F}'}$, we have the following equality of subsheaves of \mathcal{L} :

$$\mathfrak{I}_{\mathcal{B}_{\mathcal{F}}} \mathcal{L} + \mathcal{O}_{\mathcal{X}} \sigma = \mathfrak{I}_{\mathcal{B}_{\mathcal{F}'}} \mathcal{L} .$$

Moreover, $\alpha s \in \mathcal{F}$, therefore, $\alpha \sigma$ is a section of $\mathfrak{I}_{\mathcal{B}_{\mathcal{F}}} \mathcal{L}$ and :

$$(15) \quad \alpha \mathfrak{I}_{\mathcal{B}_{\mathcal{F}'}} \mathcal{L} \subset \mathfrak{I}_{\mathcal{B}_{\mathcal{F}}} \mathcal{L} .$$

This proves that the inclusion (14) holds in a neighbourhood of $\mathcal{X}_{\mathfrak{p}_0}$, hence on \mathcal{X} itself by the relation (13).

The general case of the inequality (12) follows from the special case we have just proven, by considering a maximal strictly increasing chain $(\mathcal{F}_i)_{0 \leq i \leq n}$ of submodules :

$$\mathcal{F} = \mathcal{F}_0 \subsetneq \mathcal{F}_1 \subsetneq \cdots \subsetneq \mathcal{F}_n = \mathcal{F}' ,$$

and applying the inequality (12) to $\mathcal{F} = \mathcal{F}_{i-1}$, $\mathcal{F}' = \mathcal{F}_i$, for i varying between 1 and n , and adding the inequalities thus obtained. Such a chain exists by the JORDAN–HÖLDER theorem

applied to \mathcal{F}'/\mathcal{F} , each quotient $\mathcal{F}_i/\mathcal{F}_{i-1}$ is isomorphic to $\mathbb{F}_{\mathfrak{p}_i}$ for some prime \mathfrak{p}_i of \mathcal{O}_K by maximality of the chain, and finally, one has just to remark that :

$$\sum_{i=1}^n \log(N\mathfrak{p}_i) = \log(\#\mathcal{F}'/\mathcal{F}) .$$

Proposition 4.2 is thus completely established. \square

5. THETA EMBEDDINGS AND ARAKELOV GEOMETRY

5.1. Height of points. As in § 3.2, consider an abelian variety A of dimension g defined over $\overline{\mathbb{Q}}$ and L a symmetric ample line bundle over A defining a principal polarisation of A , together with a strictly positive even integer r .

According to Theorem 3.4, (i), there exists some MB number field K and some MB -model $(\pi: \mathcal{A} \rightarrow \text{Spec}(\mathcal{O}_K), i, \overline{\mathcal{L}}, \varphi, (\varepsilon_x)_{x \in \mathcal{A}_{r,2}(\overline{\mathbb{Q}})})$ of $(A, L, A_{r,2}(\overline{\mathbb{Q}}))$ such that any point $x \in \mathcal{A}_{r,2}(\overline{\mathbb{Q}})$ is rational over K , and extends to some section of π , which we will still denote by the same letter x .

Let :

$$j: [r]^* \mathcal{L}_K \xrightarrow{\simeq} \mathcal{L}_K^{\otimes r^2}$$

be the isomorphism of line bundle over \mathcal{A}_K defined in subsection 2.3.1 (since \mathcal{L}_K is cubist, it is automatically rigidified), and let :

$$i_x: t_x^* \mathcal{L}_K^{\otimes r^2} \xrightarrow{\simeq} \mathcal{L}_K^{\otimes r^2}, \quad x \in \mathcal{A}_{K,r^2}(K)$$

be a good choice of isomorphisms, as in § 2.3.1. Then, we have :

Proposition 5.1. *We have the following properties :*

- (i) *The isomorphisms j and i_x extend to isometric isomorphisms of hermitian line bundles, which we will still denote by the same letters :*

$$j: [r]^* \overline{\mathcal{L}} \xrightarrow{\simeq} \overline{\mathcal{L}}^{\otimes r^2},$$

and :

$$i_x: t_x^* \overline{\mathcal{L}}^{\otimes r^2} \xrightarrow{\simeq} \overline{\mathcal{L}}^{\otimes r^2} .$$

- (ii) *The maps φ_x and φ (see Proposition 2.5) extend to isometric maps of hermitian line bundles :*

$$\varphi_x: \pi_* \overline{\mathcal{L}} \longrightarrow \pi_* \overline{\mathcal{L}}^{\otimes r^2},$$

and :

$$\varphi = (\varphi_x)_{x \in \Gamma}: (\pi_* \overline{\mathcal{L}})^\Gamma \longrightarrow \pi_* \overline{\mathcal{L}}^{\otimes r^2}$$

(where $(\pi_* \overline{\mathcal{L}})^\Gamma$ is the direct sum of r^{2g} -copies of the hermitian line bundle $\pi_* \overline{\mathcal{L}}$).

Proof. The existence of a cubist structure on $\overline{\mathcal{L}}$ implies (copy the usual arguments) that j extends as an hermitian isometric isomorphism between $[r]^* \overline{\mathcal{L}} \simeq \overline{\mathcal{L}}^{\otimes r^2}$ and that there exist isometric hermitian isomorphisms :

$$\tilde{i}_x: t_x^* \overline{\mathcal{L}}^{\otimes r^2} \xrightarrow{\simeq} \overline{\mathcal{L}}^{\otimes r^2}, \quad x \in \mathcal{A}_{r,2}(\mathcal{O}_K) .$$

For any two points x and y in $\mathcal{A}_{r,2}(\mathcal{O}_K)$, $\tilde{i}_{x+y} \circ (\tilde{i}_x \circ t_x^* \circ \tilde{i}_y)^{-1}$ is an isometric hermitian automorphism of $\overline{\mathcal{L}}^{\otimes r^2}$, therefore, the multiplication by some root of unity. This implies that each \tilde{i}_x coincides with i_x up to some root of unity (simply define $\lambda_x \in K^*$ by $i_x =$

$\lambda_x \tilde{i}_x$ and observe that the map $x \mapsto [\lambda_x]$ defines a morphism from the finite abelian group $A_{r,2}(K)$ to the torsion free group $K^*/\mu_\infty(K)$. Therefore, i_x extends to an isometric hermitian isomorphism as claimed. This proves part (i).

Let us now prove part (ii). The fact that the φ_x 's and φ extend to maps of \mathcal{O}_K -modules follows from the fact that j and i_x extend to morphisms of schemes over \mathcal{A} . The fact that these extensions are isometric in turn implies that φ_x is isometric. Finally, φ is isometric since, for any pair $(x, x') \in \Gamma^2$, $x \neq x'$, and any embedding $\sigma: K \hookrightarrow \mathbb{C}$, the images of $\varphi_{x,\sigma}$ and $\varphi_{x',\sigma}$ are orthogonal in $\pi_* \overline{\mathcal{L}}_\sigma^{\otimes r^2}$; this follows for instance (see [DaPh02] page 656) from the orthogonality of the classical theta functions with characteristics $\theta_{(m_1, m_2)}(\tau, rz)$, for (m_1, m_2) varying in $\{0, \frac{1}{r}, \dots, \frac{r-1}{r}\}^2$. This completes the proof of part (ii) and thus of the Proposition 5.1. \square

Let now $\overline{\mathcal{F}} := (\pi_* \overline{\mathcal{L}})^\Gamma$. By means of the map φ , $\overline{\mathcal{F}}$ may be identified with a submodule of $\pi_* \mathcal{L}^{\otimes r^2}$. Any bijection :

$$\Gamma \simeq \{1, \dots, r^{2g}\},$$

determines an isomorphism :

$$\mathbb{P}(\check{\mathcal{F}}) \simeq \mathbb{P}_{\mathcal{O}_K}^{r^{2g}-1}.$$

Moreover, as $\overline{\mathcal{O}_{\check{\mathcal{F}}}(1)} \simeq \pi^* \pi_* \overline{\mathcal{L}} \otimes \overline{\mathcal{O}(1)}$, the usual WEIL (logarithmic and absolute) height h and $h_{\check{\mathcal{F}}}$ verify, for any point $P \in \mathbb{P}(\check{\mathcal{F}})(\overline{\mathbb{Q}}) \simeq \mathbb{P}^{r^{2g}-1}(\overline{\mathbb{Q}})$:

$$h(P) = h_{\check{\mathcal{F}}}(P) - \frac{1}{[K:\mathbb{Q}]} \widehat{\deg} \pi_* \overline{\mathcal{L}}.$$

Therefore, by Theorem 3.4, (v), we get :

$$(16) \quad h(P) = h_{\check{\mathcal{F}}}(P) + \frac{1}{2} h_F(A) + \frac{g}{4} \log(2\pi).$$

Finally, if we apply Proposition 4.1 to the data $(\mathcal{A}, \overline{\mathcal{L}}^{\otimes r^2}, \overline{\mathcal{F}})$, in place of $(\mathcal{X}, \overline{\mathcal{L}}, \overline{\mathcal{F}})$, and if we use (16) and Theorem 3.4, part (ii), and if we observe that the morphism $i_K: \mathcal{A}_K \rightarrow \mathbb{P}(\mathcal{F}_K)$ coincide with the theta embedding $\Theta: \mathcal{A}_K \rightarrow \mathbb{P}_K^{r^{2g}-1}$ of subsection 2.3.3, we get :

Lemma 5.2. *Let (A, L) be a principally polarized abelian variety over a number field K , of dimension g and level r , with L symmetric and ample. For any section P of $\pi: \mathcal{A} \rightarrow \text{Spec}(\mathcal{O}_K)$, we have :*

$$\begin{aligned} \widehat{h}_L(P) &= h(\Theta(P_K)) - \frac{1}{2} h_F(A) - \frac{g}{4} \log(2\pi) \\ &+ \frac{1}{[K:\mathbb{Q}]} \left(\sum_{\mathfrak{p}|\infty} \beta_{\mathfrak{p}}(\mathcal{L}^{\otimes r^2}, \mathcal{F}, P) \log(N\mathfrak{p}) + \sum_{\sigma: k \hookrightarrow \mathbb{C}} \beta_{\sigma}(\overline{\mathcal{L}}^{\otimes r^2}, \overline{\mathcal{F}}, P) \right), \end{aligned}$$

where $\beta_{\mathfrak{p}}$ and β_{σ} are defined by the equations (5) and (6).

5.2. Bounds for the contribution of the base points. Thanks to Theorem 3.4 and to Proposition 4.2, it is easy to bound the contribution of the base points over finite places. More precisely, we get the :

Proposition 5.3. *For any $P \in \mathcal{A}(\mathcal{O}_K)$, the following inequalities hold :*

(i) *for any prime ideal $\mathfrak{p} (\neq 0)$ of \mathcal{O}_K one has :*

$$0 \leq \beta_{\mathfrak{p}}(\mathcal{L}^{\otimes r^2}, \pi_* \mathcal{L}^{\otimes r^2}, P) \leq \beta_{\mathfrak{p}}(\mathcal{L}^{\otimes r^2}, \mathcal{F}, P);$$

(ii) the difference of multiplicities is also bounded as follows :

$$\frac{1}{[K:\mathbb{Q}]} \sum_{\mathfrak{p} \nmid \infty} \left(\beta_{\mathfrak{p}}(\mathcal{L}^{\otimes r^2}, \mathcal{F}, P) - \beta_{\mathfrak{p}}(\mathcal{L}^{\otimes r^2}, \pi_{\star} \mathcal{L}^{\otimes r^2}, P) \right) \log(N\mathfrak{p}) \leq \frac{g}{2} r^{2g} \log(r) ;$$

(iii) Moreover, for any \mathfrak{p} , if the component (over $\mathbb{F}_{\mathfrak{p}}$) of $\mathcal{A}_{\mathbb{F}_{\mathfrak{p}}}$ containing $P_{\mathbb{F}_{\mathfrak{p}}}$ meets³ the closure in \mathcal{A} of $\mathcal{A}_{K,r^2}(K)$, then :

$$(17) \quad \beta_{\mathfrak{p}}(\mathcal{L}^{\otimes r^2}, \pi_{\star} \mathcal{L}^{\otimes r^2}, P) = 0.$$

In particular, the relation (17) holds if P is the zero section ε of \mathcal{A} .

Proof. the point (i) follows from relation (11), and the point (ii) from the relation (12) and from Theorem 3.4 part (v), which shows that :

$$\begin{aligned} \widehat{\deg} \left(\pi_{\star} \mathcal{L}^{\otimes r^2} / \mathcal{F} \right) &= \widehat{\deg} \pi_{\star} \overline{\mathcal{L}}^{\otimes r^2} - \widehat{\deg} \overline{\mathcal{F}} = \widehat{\deg} \pi_{\star} \mathcal{L}^{\otimes r^2} - r^{2g} \widehat{\deg} \pi_{\star} \overline{\mathcal{L}} \\ &= r^{2g} \left(\frac{1}{4} \log(\rho(\mathcal{L}^{\otimes r^2})) - \frac{1}{4} \log(\rho(\mathcal{L})) \right) = r^{2g} \frac{1}{4} \log(r^{2g}) \end{aligned}$$

Finally, equation (17) follows from Theorem 3.4, part (vi). Proposition 5.3 is thus proved. \square

We now turn to the archimedean counterparts of the $\beta_{\mathfrak{p}}$. They are easily expressed in terms of the classical theta functions (also compare with [Bo96a], Appendix C). We summarize the estimates we need in :

Lemma 5.4. *Let (A, L) be a principally polarized abelian variety over a number field K , of dimension g and level r , with L symmetric and ample. Let P be a point of $\mathcal{A}(\mathcal{O}_K)$ and $\sigma: K \hookrightarrow \mathbb{C}$ a complex embedding. Let τ_{σ} be a point in \mathfrak{S}_g (the SIEGEL space) such that :*

$$(18) \quad \mathcal{A}_{\sigma}(\mathbb{C}) \simeq \mathbb{C}^g / (\mathbb{Z}^g + \tau_{\sigma} \mathbb{Z}^g)$$

as principally polarized abelian varieties, and let $z \in \mathbb{C}^g$ be such that $[z] \in \mathbb{C}^g / (\mathbb{Z}^g + \tau_{\sigma} \mathbb{Z}^g)$ is the image of P_{σ} by the map (18). Then, we have :

$$(19) \quad \beta_{\sigma}(\overline{\mathcal{L}}^{\otimes r^2}, \overline{\mathcal{F}}, P) = -\frac{1}{2} \log \left(2^{\frac{g}{2}} \sum_{e \in \mathcal{Z}_r(\tau_{\sigma})} \|\theta\|^2(\tau_{\sigma}, rz + e) \right),$$

where we denote by $\mathcal{Z}_r(\tau_{\sigma})$ the set $\frac{1}{r}(\mathbb{Z}^g + \tau_{\sigma} \mathbb{Z}^g) / (\mathbb{Z}^g + \tau_{\sigma} \mathbb{Z}^g)$.

The right hand side of the equation (19) may be bounded by using the following estimates which are also of independent interest :

Proposition 5.5. *We use the notations \mathfrak{S}_g for the SIEGEL space of principally polarized abelian varieties and \mathfrak{F}_g for the fundamental domain. We have the following inequalities :*

(i) For any $\tau \in \mathfrak{S}_g$,

$$\max_{e \in \mathcal{Z}_r(\tau)} \{\|\theta\|^2(\tau, e)\} \geq (\det(\operatorname{Im} \tau))^{\frac{1}{2}} .$$

³i. e. if some element x of $\mathcal{A}(\mathcal{O}_K)_{r,2}$ is such that $x_{\mathbb{F}_{\mathfrak{p}}}$ and $P_{\mathbb{F}_{\mathfrak{p}}}$ lie in the same component of $\mathcal{A}_{\mathbb{F}_{\mathfrak{p}}}$

(ii) For any $\tau \in \mathfrak{F}_g$, and any $z \in \mathbb{C}^g$, we have :

$$\|\theta\|^2(\tau, z) \leq c(g) (\det(\operatorname{Im} \tau))^{\frac{1}{2}} ,$$

where $c(g)$ denotes a constant which depends only on g . We can take for instance :

$$c(g) = \left(2 + \frac{2}{3^{\frac{1}{4}}} 2^{\frac{g^3}{4}} \right)^g .$$

In particular, for any $\tau \in \mathfrak{F}_g$, one has :

$$\frac{g}{4} \log(2) \leq \frac{1}{2} \log \left(2^{\frac{g}{2}} \sum_{e \in \mathcal{Z}_r(\tau)} \|\theta\|^2(\tau, e) \right) - \frac{1}{4} \log(\det(\operatorname{Im} \tau)) \leq \frac{1}{2} \log c(g) + \frac{g}{4} \log(2) + g \log(r) .$$

Proof. The point (i) is equivalent to the assertion :

$$\forall \tau \in \mathfrak{S}_g, \quad F(\tau) = \max_{(m_1, m_2) \in \{0, \frac{1}{2}\}^{2g}} \{ |\theta_{(m_1, m_2)}(\tau, 0)| \} \geq 1 .$$

This follows from the duplication formula which shows that $F(\tau) \geq F(2\tau)$, and from the observation :

$$\lim_{n \rightarrow \infty} \theta(2^n \tau, 0) = 1 ,$$

compare with [Da91], § 3. 3 to § 3. 5.

The point (ii) is Lemma 3. 4 of [Da91], with the explicit constant found in the work of GRAFTIEAUX by combining equation (14) page 101 and equation (17) page 103 of [Gra01]. \square

5.2.1. *Proof of Theorem 1.1.* To complete the proof of Theorem 1.1, it is now enough to apply Lemma 5.2 and the results of the former section to the point $P = \varepsilon$, the zero section of $\pi: \mathcal{A} \rightarrow \operatorname{Spec}(\mathcal{O}_K)$. In that way we get the inequalities with

$$M(r, g) = \frac{g}{4} \log(4\pi) + g \log(r) + \frac{1}{2} \log c(g) ,$$

and :

$$m(r, g) = \frac{g}{4} \log(4\pi) - \frac{g}{2} r^{2g} \log(r) .$$

Applied to an arbitrary section $P \in \mathcal{A}(\mathcal{O}_K)$, the above estimates also give the following comparison between the WEIL height $h(P)$ and the NÉRON-TATE height $\widehat{h}_L(P)$ of the point P :

Theorem 5.6. *Let (A, L) be a principally polarized abelian variety over a number field K , of dimension g and level r , with L symmetric and ample. We denote by τ_σ the period matrix in the fundamental domain \mathfrak{F}_g for the archimedean place σ . For any point $P \in A(\overline{\mathbb{Q}})$, we have :*

$$\widehat{h}_L(P) \geq h(\Theta(P)) - \frac{1}{2} h_F(A) - \frac{1}{4[K : \mathbb{Q}]} \sum_{\sigma: K \hookrightarrow \mathbb{C}} \log(\det(\operatorname{Im} \tau_\sigma)) - C(r, g) ,$$

where one can take $C(r, g) = \frac{g}{4} \log(4\pi) + g \log(r) + \frac{g}{2} \log \left(2 + \frac{2}{3^{1/4}} 2^{g^3/4} \right)$.

It should be observed that any point $P \in A(\overline{\mathbb{Q}})$ is integral and extends to a suitable MB -model; the machinery can then be used, since the degree of the number field on which the MB -model is defined does not interfere in the estimates.

To obtain Corollary 1.3 it then suffices to apply Theorem 1.1 for part (1) and (3) (using Definition 2.2). For part (2), it suffices to use part (1) with $\max\{h_\Theta(A, L), 1\}$ and $\max\{h_F(A), 1\}$ in the left hand side of the inequality, plus the following easy lemma :

Lemma 5.7. *Let $a \geq 1$ and $b \geq 1$ be real numbers. Suppose that there exists a number $c \geq 2$ such that $|a - b| \leq c \log(2 + a)$ (we will refer to this inequality by $(*)$ along the proof). Then we have $|a - b| \leq \tilde{c} \log(2 + \min\{a, b\})$, where one can choose $\tilde{c} = c \log(6 + 2c \log(2c) - 2c) / \log(3)$.*

Proof. Let $g(x) = c \log(2 + x) - x/2$. Then for all $x \geq 1$ one has $g(x) \leq g(2c - 2)$. Thus :

$$c \log(2 + a) \leq \frac{a}{2} + c \log(2c) - c + 1 ,$$

hence using $(*)$:

$$a \leq b + c \log(2 + a) \leq b + \frac{a}{2} + c \log(2c) - c + 1 ,$$

then : $a \leq 2b + 2c \log(2c) - 2c + 2$. We get in $(*)$:

$$|a - b| \leq c \log(2 + a) \leq c \log(4 + 2b + 2c \log(2c) - 2c) .$$

One can show the inequality, valid for all $y \geq 3$ and $d \geq 0$:

$$\log(2y + d) \leq \frac{\log(6 + d)}{\log(3)} \log(y) .$$

One gets with $y = 2 + b$ and $d = 2c \log(2c) - 2c$:

$$|a - b| \leq c \frac{\log(6 + 2c \log(2c) - 2c)}{\log(3)} \log(2 + b) .$$

As $\log(6 + 2c \log(2c) - 2c) / \log(3) \geq 1$, it gives the lemma. □

Finally, to get a proof of Proposition 1.9, use Proposition 3.7 page 527 of RÉMOND [Re00] and the explicit bounds of [DaPh02] of pages 662 and 665 to complete the estimate. A similar computation has been done in [Pa08] pages 116–117 in the case of jacobians of genus 2 curves.

6. COMPARISON OF DIFFERENTIAL LATTICES

We will study in the following several differential lattice structures associated to an abelian variety.

6.1. Integral forms. We consider $\text{Lie}(A)^\vee = \Omega_{A,0}^1$. Given a triple (A, L, r) with A an abelian variety, L a symmetric ample line bundle associated to a principal polarisation and $r > 0$ an even integer, and given a MB field K for this triple (see definition 3.2), we will study the following \mathcal{O}_K -integral forms of $\Omega_{A,0}^1$. We denote by “ d ” the differential operator, which we normalize such that for any non-zero sections s_1 and s_2 we have $s_2^{\otimes 2} d(s_1/s_2)$ integral over \mathcal{O}_K . See for instance [Gra01] page 107.

- (1) The NÉRON lattice $\mathcal{N} = \varepsilon^* \Omega_{\mathcal{A}/S}^1$.
- (2) The big SHIMURA lattice, defined as follows : let $\theta \in \Gamma(A, L) \setminus \{0\}$ and Γ, φ_x , etc. be as in paragraph 2.3. Let $\theta_x = \varphi_x(0)$. The family $(\theta_x)_{x \in \Gamma}$ is a base over K of $\Gamma(A, L^{\otimes r^2})$. Then the big SHIMURA lattice is :

$$\mathcal{S}h = \sum_{\substack{(x,x') \in \Gamma^2 \\ \theta_x(0) \neq 0}} \mathcal{O}_K d\left(\frac{\theta_{x'}}{\theta_x}\right)(0) .$$

- (3) The small SHIMURA lattice : let $\underline{x} = (x_0, \dots, x_g) \in \Gamma^{g+1}$ such that $\theta_{x_0}(0) \neq 0$ (hence θ_{x_0} is even) and such that the differentials $\left(d(\theta_{x_i}/\theta_{x_0})(0)\right)_{1 \leq i \leq g}$ is a K -base of $\Omega_{A,0}^1$. We let then :

$$\mathcal{S}h_{\underline{x}} = \sum_{i=1}^g \mathcal{O}_K d\left(\frac{\theta_{x_i}}{\theta_{x_0}}\right)(0).$$

- (4) Let K be a MB field for the triple (A, L, r) and $(\pi: \mathcal{A} \rightarrow \text{Spec}(\mathcal{O}_K), i, \bar{\mathcal{L}}, \varphi, (\varepsilon_x)_{x \in A_{r,2}})$ the associated model. We call ‘‘abstract SHIMURA differential’’ (see [Bo96a] page 795–28) the morphism of \mathcal{O}_K -modules :

$$\Sigma: (\pi_* \mathcal{L}^{\otimes r^2})^{\otimes 2} \rightarrow \varepsilon^* \Omega_{A/S}^1.$$

Lemma 6.1. *Let \mathcal{N} and $\mathcal{S}h$ be the lattices defined previously. Then :*

- (1) *These lattices only depend on (A, L, r) and K .*
- (2) *Let K be a MB field for (A, L, r) and let K'/K be a finite extension. Then K' is also MB for (A, L, r) . Moreover, if \mathcal{N}' and $\mathcal{S}h'$ are respectively the $\mathcal{O}_{K'}$ -NÉRON lattice and the $\mathcal{O}_{K'}$ -SHIMURA lattice associated to (A, L, r) , we have the canonical isomorphisms $\mathcal{N}' \simeq \mathcal{N} \otimes_{\mathcal{O}_K} \mathcal{O}_{K'}$ and $\mathcal{S}h' \simeq \mathcal{S}h \otimes_{\mathcal{O}_K} \mathcal{O}_{K'}$.*

Proof. Follows from the definition 3.2. The canonical isomorphism is deduced by the commutativity of the following diagram :

$$\begin{array}{ccc} \mathcal{N}' \otimes_{\mathcal{O}_{K'}} K' & \xrightarrow{\sim} & \mathcal{N} \otimes_{\mathcal{O}_K} K' \\ \downarrow \wr & & \downarrow \wr \\ \Omega_{A/K'}^1(0) & \xrightarrow{\sim} & \Omega_{A/K}^1(0) \otimes_K K' \end{array}$$

□

6.2. Comparison of integral forms.

6.2.1. *A distance between the lattices on a K -vector space.* Let K be a number field and V a K -vector space of dimension g . Let us consider the set :

$$\mathcal{R}(V) = \left\{ \mathcal{V} \subset V \mid \mathcal{V} \text{ sub-}\mathcal{O}_K\text{-module free of finite type generating } V \text{ over } K \right\}.$$

For all $(\mathcal{V}_1, \mathcal{V}_2) \in \mathcal{R}(V)^2$ we set :

$$\delta(\mathcal{V}_1, \mathcal{V}_2) = \frac{1}{[K:\mathbb{Q}]} \log \text{Card} \left((\mathcal{V}_1 + \mathcal{V}_2) / \mathcal{V}_1 \cap \mathcal{V}_2 \right).$$

Proposition 6.2. *The function δ is a distance on $\mathcal{R}(V)$.*

Proof. We have easily that for any \mathcal{V}_1 and \mathcal{V}_2 in $\mathcal{R}(V)$, $\delta(\mathcal{V}_1, \mathcal{V}_2) = \delta(\mathcal{V}_2, \mathcal{V}_1)$. Moreover, if $\delta(\mathcal{V}_1, \mathcal{V}_2) = 0$, then $(\mathcal{V}_1 + \mathcal{V}_2) / \mathcal{V}_1 \cap \mathcal{V}_2 = \{0\}$, hence any element of \mathcal{V}_1 is in \mathcal{V}_2 and vice versa. Let $\mathcal{V}_1, \mathcal{V}_2$ and \mathcal{V}_3 be in $\mathcal{R}(V)$, and $v_1 \in \mathcal{V}_1, v_3 \in \mathcal{V}_3$. Pick any $v_2 \in \mathcal{V}_2$, then the equalities of the type $v_1 + v_3 = v_1 + v_2 - v_2 + v_3$ give the inclusion :

$$\left((\mathcal{V}_1 + \mathcal{V}_3) / \mathcal{V}_1 \cap \mathcal{V}_3 \right) \subset \left((\mathcal{V}_1 + \mathcal{V}_2) / \mathcal{V}_1 \cap \mathcal{V}_2 \right) + \left((\mathcal{V}_2 + \mathcal{V}_3) / \mathcal{V}_2 \cap \mathcal{V}_3 \right).$$

One just needs to bound from above the cardinality of the right hand side to get the triangular inequality for δ , which is easy.

□

Remark 6.3. Suppose $\mathcal{V}_1 \subset \mathcal{V}_2$. Then $[K : \mathbb{Q}]\delta(\mathcal{V}_1, \mathcal{V}_2) = \log \text{Card}(\mathcal{V}_2/\mathcal{V}_1)$ is just the index of a sublattice.

If K'/K is a finite extension, let $V' = V \otimes_K K'$ and let $\mathcal{R}(V')$ denote the $\mathcal{O}_{K'}$ -lattices. We get an injection :

$$\begin{aligned} i: \mathcal{R}(V) &\rightarrow \mathcal{R}(V') \\ \mathcal{V} &\mapsto \mathcal{V} \otimes_{\mathcal{O}_K} \mathcal{O}_{K'}. \end{aligned}$$

Proposition 6.4. *Let δ' be the distance on $\mathcal{R}(V')$ defined as above. Then :*

$$\forall (\mathcal{V}_1, \mathcal{V}_2) \in \mathcal{R}(V)^2, \quad \delta'(i(\mathcal{V}_1), i(\mathcal{V}_2)) = \delta(\mathcal{V}_1, \mathcal{V}_2).$$

Proof. One just needs to apply $[K' : \mathbb{Q}] = [K' : K][K : \mathbb{Q}]$ in the definition of δ' . \square

In this setting, we will now show the following statement :

Theorem 6.5. *Let $g \geq 1$ and $r > 0$ an even integer. There exists a constant $c(g, r) > 0$ such that for any triple (A, L, r) with A of dimension g , for any associated MB number field K , for any $\underline{x} \in \Gamma$ defining a small SHIMURA lattice, one has :*

$$\max \left\{ \delta(\mathcal{N}, \mathcal{S}h), \delta(\mathcal{N}, \mathcal{S}h_{\underline{x}}), \delta(\mathcal{N}, \text{im}\Sigma) \right\} \leq c(g, r) \max\{1, h_{\Theta}(A)\},$$

and one can take $c(g, r) = 4 + 8C_2 + g \log(\pi^{-g} g! e^{\pi r^2} g^4) + 4r^{2g}$, where C_2 is given in Corollary 1.3.

Proof. As we have $\delta(\mathcal{N}, \mathcal{S}h) \leq \delta(\mathcal{N}, \text{im}\Sigma) + \delta(\text{im}\Sigma, \mathcal{S}h)$ and $\delta(\mathcal{N}, \mathcal{S}h_{\underline{x}}) \leq \delta(\mathcal{N}, \mathcal{S}h) + \delta(\mathcal{S}h_{\underline{x}}, \mathcal{S}h)$, it suffices to upper bound the three quantities $\delta(\mathcal{N}, \text{im}\Sigma)$, $\delta(\text{im}\Sigma, \mathcal{S}h)$ and $\delta(\mathcal{S}h_{\underline{x}}, \mathcal{S}h)$.

We begin by $\delta(\mathcal{N}, \text{im}\Sigma)$. By definition, one has $\text{im}\Sigma \subset \mathcal{N}$, so we are in fact trying to bound the index of a sublattice. We use the notation $\overline{\mathcal{N}}$ and $\overline{\text{im}\Sigma}$ for the lattices considered with the hermitian structure given by the Riemann form associated to L . Then we have :

$$\delta(\mathcal{N}, \text{im}\Sigma) = \widehat{\deg}(\overline{\mathcal{N}}) - \widehat{\deg}(\overline{\text{im}\Sigma}).$$

We then use the point (v) in Theorem 3.4 to estimate the slope of $\pi_* \mathcal{L}$ and the slope inequality of [Bo96a] Proposition 4.3 page 795–15 to get :

$$\delta(\mathcal{N}, \text{im}\Sigma) \leq 2h_F(A) - \frac{1}{2} \log \left(\frac{r^{2g}}{(2\pi)^g} \right) + \frac{1}{[K : \mathbb{Q}]} \sum_{\sigma: K \hookrightarrow \mathbb{C}} \log \|\Sigma\|_{\sigma}.$$

Use then the inequality of [Bo96a] page 795–29. One can precise the constant denoted C_{27} by using Lemma 5.8 page 795–25 combined with the estimate on the “rayon d’injectivité” of [DaPh02], Lemma 6.8 page 698, to get :

$$\frac{1}{[K : \mathbb{Q}]} \sum_{\sigma: K \hookrightarrow \mathbb{C}} \log \|\Sigma\|_{\sigma} \leq \left(\frac{g}{2} \log(\pi^{-g} g! e^{\pi r^2} g^4) \right) \log(2 + h_{\Theta}).$$

Note that a similar estimate has been obtained in [Gra01] equation (24) page 108, with the FALTINGS height instead of the Theta height.

We now estimate $\delta(\mathcal{N}, \mathcal{S}h)$. As explained in [Bo96a] page 795–28, one has :

$$\begin{aligned} \Sigma : \pi_* \mathcal{L}^{\otimes r^2} \times \pi_* \mathcal{L}^{\otimes r^2} &\rightarrow \pi_* \mathcal{L}^{\otimes 2r^2} \otimes \Omega_{A/K}^1 \rightarrow \Omega_{A/K,0}^1 \\ s_1 \otimes s_2 &\mapsto s_2^{\otimes 2} d(s_1/s_2) \mapsto s_2^{\otimes 2} d(s_1/s_2)|_0 \end{aligned}$$

Thus we need to clear out the denominators of $\mathcal{S}h$ in exactly the same way as done in this definition of Σ ; it suffices to multiply by $\prod \theta_x(0)^2$, where the product is taken over all $x \in \Gamma(A, L^{\otimes r^2})$ such that $\theta_x(0) \neq 0$. We then roughly upper bound :

$$\delta(\mathcal{N}, \mathcal{S}h) \leq 2r^{2g}h_{\Theta}(A) .$$

We finally give the estimation of $\delta(\mathcal{S}h_{\underline{x}}, \mathcal{S}h)$. We have $\mathcal{S}h_{\underline{x}} \subset \mathcal{S}h$, so, clearing out the denominators as above :

$$\delta(\mathcal{S}h_{\underline{x}}, \mathcal{S}h) = \frac{1}{[K : \mathbb{Q}]} \log \text{Card} \left(\mathcal{S}h / \mathcal{S}h_{\underline{x}} \right) \leq 2r^{2g}h_{\Theta}(A) .$$

We can conclude by using Corollary 1.3 to explicitly compare $h_F(A)$ and $h_{\Theta}(A)$. □

We give the following easy lemma to get the last corollary of Theorem 6.5 :

Lemma 6.6. *Let $a \geq 1$, $b \geq 1$, $c > 0$ and $d \in \mathbb{R}$. If $|a - b| \leq c \log(2 + \min\{a, b\})$ and $d \leq a$, then :*

$$d \leq (1 + 2c) \min\{a, b\} .$$

Proof. Just write $d \leq a \leq b + c \log(2 + \min\{a, b\}) \leq b + c \log(2 + b) \leq b + 2cb$. □

Corollary 6.7. *Let $g \geq 1$ and $r > 0$ an even integer. There exists a constant $c(g, r) > 0$ such that for any triple (A, L, r) with A of dimension g , for any associated MB number field K , for any $\underline{x} \in \Gamma$ defining a small SHIMURA lattice, one has :*

$$\max \left\{ \delta(\mathcal{N}, \mathcal{S}h), \delta(\mathcal{N}, \mathcal{S}h_{\underline{x}}), \delta(\mathcal{N}, \text{im}\Sigma) \right\} \leq \left(1 + 2c(g, r) \right) \min\{h_{\Theta}, h_F\} ,$$

and one can take $c(g, r) = 4 + 8C_2 + g \log(\pi^{-g} g! e^{\pi r^2} g^4) + 4r^{2g}$, where C_2 is given in Corollary 1.3.

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