

# Conditions for tidal bore formation in convergent alluvial estuaries

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## Abstract

Over the last decade there has been an increasing interest in tidal bore dynamics. However most studies have been focused on small-scale bore processes. The present paper describes the first quantitative study, at the estuary scale, of the conditions for tidal bore formation in convergent alluvial estuaries. When freshwater discharge and large-scale spatial variations of the estuary water depth can be neglected, tide propagation in such estuaries is controlled by three main dimensionless parameters: the nonlinearity parameter  $\epsilon_0$ , the convergence ratio  $\delta_0$  and the friction parameter  $\phi_0$ . In this paper we explore this dimensionless

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parameter space, in terms of tidal bore occurrence, from a database of 21 estuaries (8 tidal-bore estuaries and 13 non tidal-bore estuaries). The field data point out that tidal bores occur for convergence ratios close to the critical convergence  $\delta_c$ . A new proposed definition of the friction parameter highlights a clear separation on the parameter plane  $(\phi_0, \epsilon_0)$  between tidal-bore estuaries and non tidal-bore estuaries. More specifically, we have established that tidal bores occur in convergent estuaries when the nonlinearity parameter is greater than a critical value,  $\epsilon_c$ , which is an increasing function of the friction parameter  $\phi_0$ . This result has been confirmed by numerical simulations of the two-dimensional Saint Venant equations. The real-estuary observations and the numerical simulations also show that, contrary to what is generally assumed, tide amplification is not a necessary condition for tidal bore formation. The effect of freshwater discharge on tidal bore occurrence has been analyzed from the database acquired during three long-term campaigns carried out on the Gironde/Garonne estuary. We have shown that in the upper estuary the tidal bore intensity is mainly governed by the local dimensionless tide amplitude  $\epsilon$ . The bore intensity is an increasing function of  $\epsilon$  and this relationship does not depend on freshwater discharge. However, freshwater discharge damps the tidal wave during its propagation and thus reduces  $\epsilon$  and consequently limits the tidal bore development in the estuary. To take into account this process in the tidal-bore scaling analysis, it is necessary to introduce a fourth external parameter, the dimensionless river discharge  $Q_0$ .

*Keywords:* Tidal wave, Tidal bore, Estuary, River, Scaling analysis,  
Classification

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## 1. Introduction

Tidal bores are an intense nonlinear wave phenomenon which has been observed in many convergent alluvial estuaries worldwide (see example in figure 1).

4 Up until the beginning of the 21<sup>st</sup> century, tidal bore characterization in natural  
5 environments was based essentially on qualitative observations (see *Lynch* [19]  
6 and *Bartsch-Winkler and Lynch* [2]). In the last decade several quantitative  
7 field studies have been devoted to the analysis of wave, turbulent and sediment  
8 processes associated with tidal bores (e.g. [26, 30, 28, 3, 5, 8, 13]). Most of  
9 these studies focused on well-developed tidal bores and small scale processes for  
10 some specific estuaries, but not on the tidal-bore occurrence conditions for any  
11 given alluvial estuaries.

12 The basic conditions for tidal bore formation are well-known (*Bartsch-Winkler*  
13 *and Lynch* [2]): a large tidal range, a shallow and convergent channel, and low  
14 freshwater discharge. Yet, estuarine classification in terms of tidal bore occur-  
15 rence can not be established from simple criteria based on these hydrodynamic  
16 and geometric conditions. Nevertheless, bore formation criteria based on the  
17 tidal range,  $Tr$ , has been published (*Bartsch-Winkler and Lynch* [2] and *Chan-*  
18 *son* [9]). For instance, in his numerous publications, *Chanson* asserts that *a tidal*  
19 *bore forms when the tidal range exceeds 4-6 m and the flood tide is confined to*  
20 *a narrow funneled estuary*. The tidal range used in this empirical criterion is  
21 not clearly defined. Thus, the criterion was tested based on two different defini-  
22 tions. Firstly, we defined the tidal range as the one at the estuary mouth  $Tr_0$ .

23 In this case, field observations do not support the empirical criterion proposed  
24 by Chanson. For instance, *Furgerot* [14] showed that in the Sée/Mont Saint  
25 Michel estuary,  $Tr_0$  must be larger than 10 m for tidal bore formation and, on  
26 the other hand, *Bonneton et al.* [6] observed tidal bores in the Gironde/Garonne  
27 estuary for  $Tr_0$  smaller than 2 m. Alternatively, we consider a local tidal range  
28  $Tr$  at a location in the estuary where tidal bore can form. Once again field  
29 observations do not support the  $Tr$ -criterion. For instance, *Bonneton et al.* [5]  
30 showed that in the Seine estuary the local tidal range must be greater than 8  
31 m for bore formation and, on the other hand, *Furgerot et al.* [13] observed tidal  
32 bores in the Sée River when  $Tr = 1m$ . These examples prove that such a simple  
33 criterion, based on a dimensional flow variable, can not be relevant to determine  
34 tidal bore occurrence.

35 The objective of the present study is to analyze the conditions which control  
36 tidal bore formation in convergent alluvial estuaries. We develop a scaling  
37 analysis of the global tidal wave transformation as a function of both the tidal  
38 forcing at the estuary mouth and the large-scale geometric properties of the  
39 channel. From this analysis we proposed an estuarine classification, in terms of  
40 tidal bore occurrence, as a function of several dimensionless parameters.



Figure 1: Illustration of a tidal bore propagating in the Garonne River. Aerial photograph taken at Podensac on September 10, 2010. Tidal wave amplitude at the estuary mouth  $A_0 = 2.5$  m and freshwater discharge  $q_0 = 128$   $m^3/s$

## 41 2. Scaling analysis

42 A tidal bore can form when a large-amplitude tidal-wave propagates up-  
43 stream a long shallow alluvial estuary. This large-scale tidal-wave transforma-  
44 tion is largely controlled by a competition between bottom friction, channel  
45 convergence and freshwater discharge (e.g. *Friedrichs* [12], *Savenije* [25]). To  
46 determine the conditions favorable to tidal bore occurrence, a scaling analy-  
47 sis of this complex physical problem is required. Although such an analysis is  
48 common to study tidal wave propagation in estuaries (e.g. *LeBlond* [18], *Parker*  
49 [21], *Friedrichs and Aubrey* [11], *Lanzoni and Seminara* [17], *Toffolon et al.* [27],  
50 *Savenije et al.* [24]), only few studies have addressed tidal bore formation (*Mun-*

51 *chow and Garvine* [20] and *Bonneton et al.* [6]). Thus, this paper aims to fill  
52 in this gap of research by clarifying which dimensionless parameters effectively  
53 control tidal bore occurrence in alluvial estuaries.

54 Alluvial estuaries are characterized by movable beds made of sediments of  
55 riverine and marine origin. The shape of such estuaries is the result of feedback  
56 mechanisms between the flow field and the sediment transport processes. In  
57 tide-dominated environments, the self-formed tidal channels are generally funnel  
58 shaped with a width that tapers upstream in an approximately exponential  
59 fashion and with a fairly horizontal bottom (see *Lanzoni and Seminara* [17],  
60 *Davies and Woodroffe* [10], *Savenije* [25]). Thus, an alluvial estuary geometry  
61 can generally be characterized by two characteristic length scales: the mean  
62 water depth  $D_0$  and the convergence length  $L_{b0}$ , which is defined by  $L_{b0} =$   
63  $|B/\frac{dB}{dx}|$ , where  $B(x)$  is the channel width and  $x$  is the along-channel coordinate.

64 It is worth noting that our scaling analysis does not describe possible large-scale  
65 spatial variations of the estuary water depth.

66 The forcing tidal wave at the estuary mouth can be characterized by its  
67 period  $T_0$  and its amplitude  $A_0 = Tr_0/2$ , where  $Tr_0$  is the tidal range. Most  
68 intense tidal bores occur during spring tides and low freshwater discharge pe-  
69 riods. It is thus appropriate to choose the mean spring tidal amplitude at the

Parameter	Description
$A_0=Tr_0/2$	tidal amplitude at the mouth
$B$	channel width
$B_0$	channel width at the mouth
$C_{f0}$	characteristic friction coefficient
$D$	cross-sectional averaged water depth
$D_0$	characteristic water depth
$D_1$	low-tide water depth
$L_0$	characteristic horizontal length-scale
$L_{b0}$	convergence length
$L_{w0}=(gD_0)^{1/2}\omega_0^{-1}$	
$q_0$	fresh water discharge
$T_0$	tidal period
$Tr$	local tidal range
$Tr_0$	tidal range at the mouth
$u$	cross-sectional averaged velocity
$U_0$	characteristic tidal velocity
$\zeta$	surface elevation
$\omega_0=2\pi/T_0$	tidal angular frequency
$\delta_0=L_{w0}/L_{b0}$	convergence ratio
$\delta_c$	critical convergence
$\varepsilon=(Tr/2)/D_1$	local tidal wave nonlinearity parameter
$\varepsilon_0=A_0/D_0$	tidal wave nonlinearity parameter at the mouth
$\varepsilon_c$	critical nonlinearity parameter
$\phi_0=C_{f0}L_{w0}/D_0$	friction parameter
$\chi=\varepsilon_0\phi_0$	<i>Toffolon et al. (2006)</i> friction parameter
$\mathcal{D}_1$	dissipative parameter
$Fr$	bore Froude number
$K$	convergence parameter
$\mathcal{L}=L_0/L_{b0}$	
$\mathcal{Q}_0=q_0/(A_0B_0L_{b0}\omega_0)$	dimensionless river discharge

Table 1: Table of symbols.

70 estuary mouth as the characteristic amplitude  $A_0$ , and to neglect, as a first  
71 step, freshwater discharge effects on tidal wave dynamics. Another important  
72 parameter which controls tide propagation in the estuary is the friction coeffi-  
73 cient (e.g. *LeBlond* [18], *Parker* [21], *Friedrichs and Aubrey* [11], *Lanzoni and*  
74 *Seminara* [17]). Following *Lanzoni and Seminara* [17] and many other authors  
75 we consider for each estuary a characteristic and constant friction coefficient,  
76  $C_{f0}$ , representative of the whole estuary.

77 From the external variables of the problem,  $D_0$ ,  $T_0$ ,  $A_0$ ,  $L_{b0}$  and  $C_{f0}$ , we  
78 will perform a scaling analysis of the flow equations. Our analysis focuses on  
79 the large scale tidal wave transformation which can lead locally to tidal bore  
80 formation. For such a large scale analysis, the small scale nonhydrostatic effects,  
81 associated with tidal bores (*Bonneton et al.* [4] and *Bonneton et al.* [6]), can be  
82 neglected. Thus, the relevant tidal flow equations are the cross-sectionally inte-  
83 grated Saint Venant equations. For a horizontal bottom and an exponentially  
84 decreasing channel width, these equations may be expressed as:

$$85 \quad \frac{\partial \zeta}{\partial t} + u \frac{\partial \zeta}{\partial x} + D \frac{\partial u}{\partial x} - \frac{uD}{L_{b0}} = 0 \quad (1)$$

$$86 \quad \frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + g \frac{\partial \zeta}{\partial x} + C_{f0} \frac{|u|u}{D} = 0, \quad (2)$$

87 where  $\zeta$  is the surface elevation,  $D$  the cross-sectionally averaged water depth,

88  $u$  the cross-sectionally averaged velocity and  $g$  the gravity.

89 We introduce the following scaling:

$$90 \quad x = L_0 x', \quad t = \omega_0^{-1} t', \quad D = D_0 D', \quad \zeta = A_0 \zeta', \quad u = U_0 u',$$

91 where  $\omega_0 = 2\pi/T_0$  is the angular tidal frequency and  $U_0$  and  $L_0$  are the scales of  
 92 velocity and length, respectively. The two later variables cannot be prescribed  
 93 a priori since they depend on the channel response to a given forcing.  $U_0$  and  
 94  $L_0$  are functions of the external variables.

95 The dimensionless equations of motion may be expressed as (after dropping  
 96 the primes for the sake of clarity):

$$97 \quad \frac{\partial \zeta}{\partial t} + \frac{K}{\mathcal{L}} \left( \epsilon_0 u \frac{\partial \zeta}{\partial x} + D \frac{\partial u}{\partial x} \right) - K u D = 0 \quad (3)$$

$$98 \quad \frac{\partial u}{\partial t} + \frac{K}{\mathcal{L}} \epsilon_0 u \frac{\partial u}{\partial x} + \frac{1}{K \mathcal{L}} \delta_0^2 \frac{\partial \zeta}{\partial x} + K \underbrace{\frac{\epsilon_0 \phi_0}{\delta_0}}_{\mathcal{D}_i} \frac{|u|u}{D} = 0. \quad (4)$$

99 These equations are controlled by three independent, external, dimensionless  
 100 parameters: the dimensionless tidal amplitude, also named nonlinearity param-  
 101 eter,

$$102 \quad \epsilon_0 = \frac{A_0}{D_0},$$

103 the convergence ratio,

$$104 \quad \delta_0 = \frac{L_{w0}}{L_{b0}},$$

105 and the friction parameter,

$$106 \quad \phi_0 = \frac{C_{f0} L_{w0}}{D_0},$$

107 where  $L_{w0} = (gD_0)^{1/2} \omega_0^{-1}$  is the frictionless tidal-wave length scale. The dimen-

108 sionless velocity  $K = \frac{U_0}{L_{b0} A_0 D_0^{-1} \omega_0}$  (also termed convergence parameter [17]) and

109 the dimensionless parameter  $\mathcal{L} = \frac{L_0}{L_{b0}}$  are unknown functions of the 3 external di-

110 mensionless parameters:  $\epsilon_0$ ,  $\delta_0$ ,  $\phi_0$ . The fact that tide propagation in convergent

111 estuaries is controlled by three parameters was already pointed out by several

112 authors and in particular by *Lanzoni and Seminara* [17]. The dimensionless

113 tidal amplitude  $\epsilon_0$  characterizes the nonlinear effects and  $\delta_0$  accounts for the ef-

114 fect of width convergence. The third parameter,  $\phi_0$ , is related to friction effects.

115 Other expressions for the friction parameter can be obtained by combining the

116 three parameters  $\epsilon_0$ ,  $\delta_0$  and  $\phi_0$ . For instance, the friction parameter proposed

117 by *Parker* [21] is  $\phi_P = \frac{\phi_0}{\delta_0}$ , the one by *Toffolon et al.* [27] and *Savenije et al.*

118 [24] is  $\chi = \epsilon_0 \phi_0$ , and the one by *Munchow and Garvine* [20] is  $\phi_M = (\epsilon_0 \phi_0)^{-1/3}$ .

119 All these scaling approaches are, of course, equivalent but we will show in sec-

120 tion 4 that our friction parameter definition is the most appropriate to classify

121 estuaries in terms of tidal bore occurrence.

### 122 3. Database

123 To classify alluvial estuaries in terms of tidal bore occurrence we collected  
124 in the literature data on tidal and geometric properties of a large variety of  
125 regularly funnel-shaped estuaries. Twenty one estuaries are documented in this  
126 paper (see Table 1), eight being tidal-bore estuaries (TB estuaries) and thirteen  
127 non tidal-bore estuaries (NTB estuaries). We have not considered TB estuaries  
128 with complex morphologies such as the Sée/Mont Saint Michel estuary (*Furgerot*  
129 *et al.* [13]) or the Petitcodiac estuary (*Bartsch-Winkler and Lynch* [2]). It is  
130 worth mentioning that the morphology of most estuaries presented in table 2 is  
131 now constrained by man-made structures and cannot evolve naturally.

132 To analyze the effects of freshwater discharge,  $q_0$ , on tidal bore formation  
133 we use the field database collected during three long-term campaigns on the  
134 Gironde/Garonne estuary [3, 4, 5, 6]. These campaigns were the first to quan-  
135 titatively characterize tidal bore formation and propagation in an estuary, over  
136 a long period of time and for a large range of tidal amplitudes and freshwater  
137 discharges. Field experiments were carried out in the Garonne River at Poden-  
138 sac (see figure 1), 126 km upstream from the river mouth. The first campaign,  
139 TBG1, was conducted around the spring equinox in 2010 for large  $q_0$  and the

140 two others, TBG2 and TBG3, around the autumn equinoxes in 2010 and 2011  
141 for low  $q_0$ .

#### 142 4. Estuarine classification

143 Tidal bores can form in estuaries when the tide is strongly nonlinear with  
144 a marked ebb-flood asymmetry (ebb duration longer than the flood and larger  
145 flood than ebb currents). This asymmetry is mainly controlled by the dissipa-  
146 tive parameter  $\mathcal{D}_i = \frac{K\epsilon_0\phi_0}{\delta_0}$  (see equation (4)) which characterizes the relative  
147 intensity of nonlinear frictional effects (see *Lanzoni and Seminara* [17]). *Bon-*  
148 *neton et al.* [6] showed that tidal bores can form when the dissipative parameter  
149 is sufficiently large. This condition is a necessary but not a sufficient one.

150 In this section we analyze tidal bore occurrence as a function of the three  
151 external dimensionless parameters  $(\epsilon_0, \delta_0, \phi_0)$ . Figure 2 presents the positions,  
152 in the three-dimensional parameter space, of the 21 estuaries introduced in sec-  
153 tion 3. The projection on the plane  $(\epsilon_0, \delta_0)$  is plotted in figure 2a. In this plane  
154 there is no clear separation between TB and NTB estuaries. We can note that  
155 large dimensionless tidal amplitudes correspond to large estuary convergences.  
156 This observation, which points to a coupling between the forcing tide and the  
157 estuary morphology, is in agreement with previous results by *Prandle* [22] for

	Estuaries	Tidal bore	$D_0$ (m)	$L_{b0}$ (km)	$A_0$ (m)	$C_{f0}$
1	Chao Phya	no	7.2	109.	1.2	0.0039
2	Columbia	no	10.	25.	1.0	0.0031
3	Conwy	no	3.	6.3	2.4	0.0051
4	Corantijin	no	6.5	48.	1.0	0.0032
5	Daly	yes	10.	27.	3.0	0.0025
6	Delaware	no	5.8	40.	0.64	0.0021
7	Elbe	no	10.	42.	2.0	0.0025
8	Gironde	yes	10.	43.	2.3	0.0025
9	Hooghly	yes	6.	25.	2.15	0.0015
10	Humber	yes	12.	25.	3.2	0.0031
11	Limpopo	no	7.	50.	0.55	0.0027
12	Loire	no	13.	21.	2.5	0.0024
13	Mae Klong	no	5.2	155.	1.0	0.0035
14	Maputo	no	3.6	16.	1.4	0.0027
15	Ord	yes	4.	15.2	2.5	0.0024
16	Pungue	yes	4.	17.	3.2	0.0031
17	Qiantang	yes	10.	40.	3.1	0.0015
18	Scheldt	no	10.5	28.	2.0	0.0023
19	Severn	yes	15	41.	3.0	0.0025
20	Tha Chin	no	5.3	87.	1.35	0.0048
21	Thames	no	8.5	25.	2.0	0.0050

Table 2: Tidal and geometric properties of convergent alluvial estuaries.  $D_0$ , water depth;  $L_{b0}$ , convergence length;  $A_0$ , mean spring tidal amplitude at the estuary mouth;  $C_{f0}$ , friction coefficient. Sources: 1, 4, 11, 13, 14, 18, 20, data from *Savenije* [25]; 2, 3, 6, 7, 15, 19, 21, data from *Lanzoni and Seminara* [17]; 8, 9, 10, 16, 17, data from *Bonneton et al.* [6] where we substituted the mean spring tidal amplitude for the maximum spring tidal amplitude; 5, data from *Wolanski et al.* [31]; 12, data from *Winterwerp et al.* [29]. We consider that for these 21 estuaries the dominant tidal period  $T_0$  is semi-diurnal.

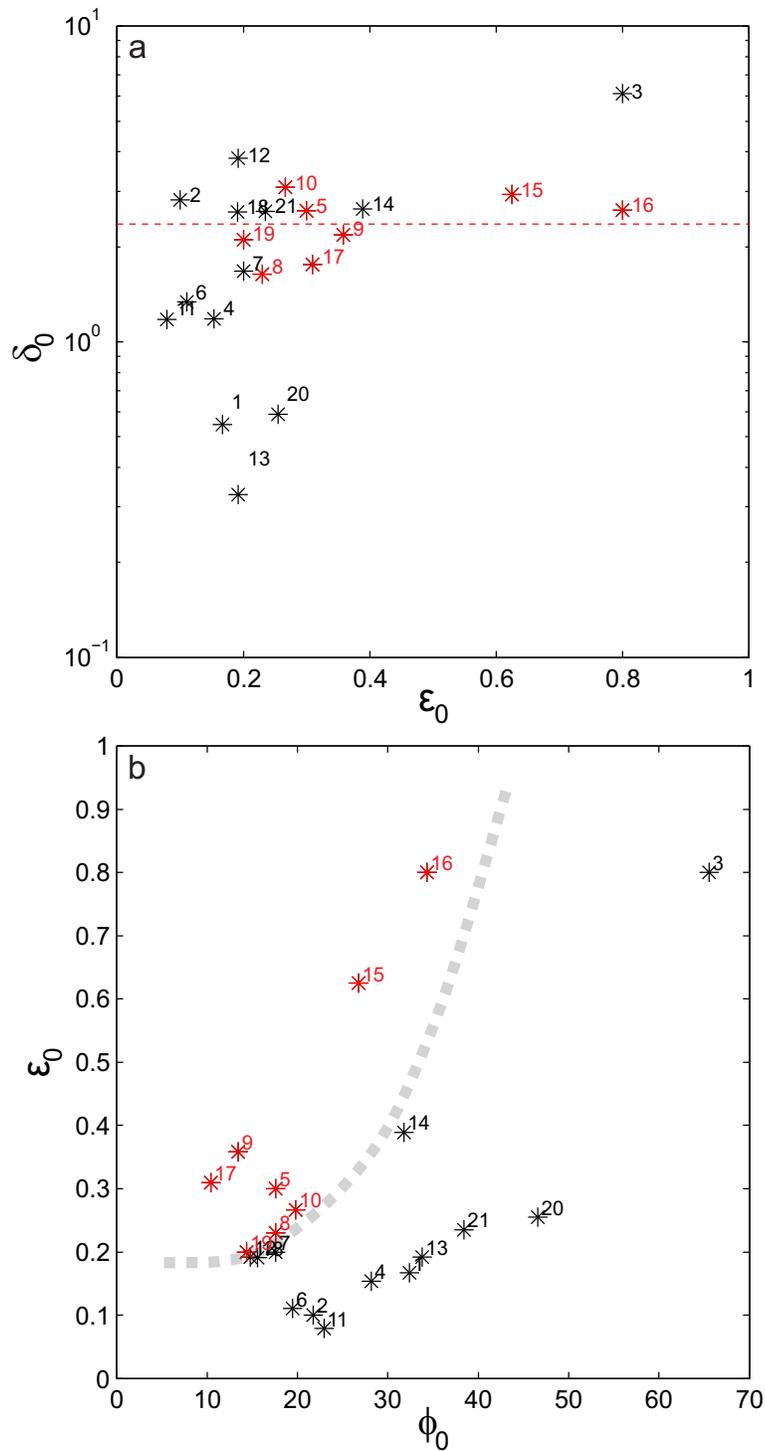


Figure 2: Position of convergent alluvial estuaries (see Table 1) in the parameter space ( $\epsilon_0$ ,  $\delta_0$ ,  $\phi_0$ ). Red and black asterisks correspond to estuaries with and without tidal bore respectively. a, projection on the plane ( $\epsilon_0, \delta_0$ ). The red dashed line corresponds to the mean value of  $\delta_0$  for the 8 tidal-bore estuaries; b, projection on the plane ( $\phi_0, \epsilon_0$ ). The thick dashed line ( $\epsilon_c = f(\phi_0)$ ) divides the plane into two estuarine regimes: estuaries with and without tidal bore. This dashed line was drawn by eye and by drawing on the trend observed in figure 4

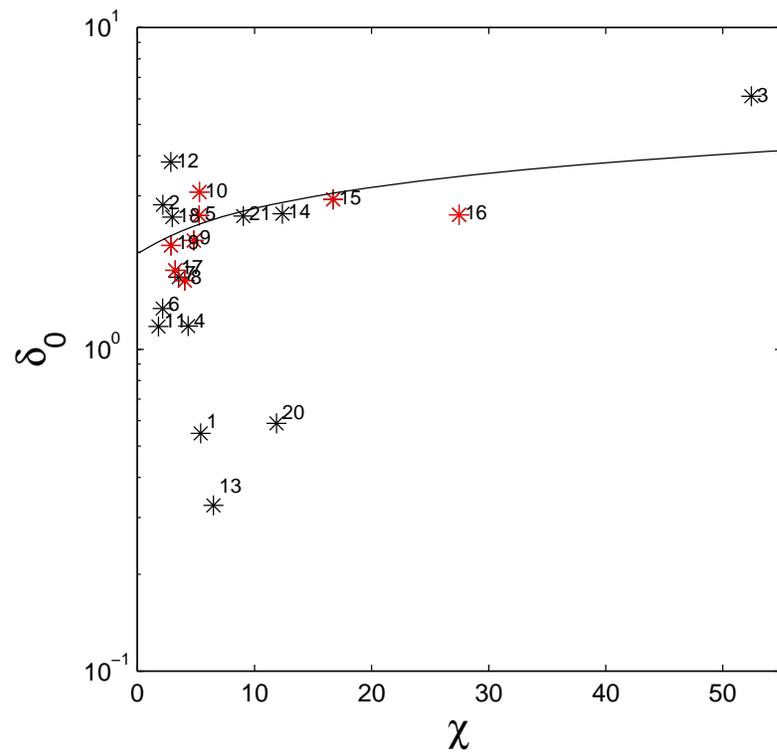


Figure 3: Position of convergent alluvial estuaries (see Table 1) in the parameter plane ( $\chi$ ,  $\delta_0$ ). Red and black asterisks correspond to estuaries with and without tidal bore respectively. Continuous line: critical convergence  $\delta_c$  from equation (5).

158 UK and US estuaries and *Davies and Woodroffe* [10] for North Australian es-  
 159 tuaries. The eight TB estuaries listed in Table 1 are characterized by large  $\delta_0$   
 160 values with a relatively low dispersion around the mean value of 2.4. It is worth  
 161 mentioning that these convergence ratios are close to the critical convergence  
 162  $\delta_c$  introduced by *Jay* [16] and *Savenije et al.* [24].  $\delta_c$  is a threshold condition  
 163 for the transition from the mixed tidal wave to the "apparent standing" wave.  
 164 *Savenije et al.* [24] derived an equation relating the critical convergence and the  
 165 dimensionless parameter  $\chi = \epsilon_0 \phi_0$ . This relation is as follows:

$$166 \quad \chi(\delta_c) = \frac{1}{2} \delta_c (\delta_c^2 - 4) + \frac{(\delta_c^2 - 2)}{2} \sqrt{\delta_c^2 - 4} . \quad (5)$$

167 The positions of the 21 estuaries in the plane  $(\delta_0, \chi)$  are plotted in figure 3. We  
 168 can see in this figure that tidal bores occur near critical convergence. At this  
 169 stage we have no physical explanation for this observation and further theoretical  
 170 or numerical investigations would be desirable.

171 Considering that  $K \simeq 1$  (see *Bonneton et al.* [6]) and that  $\delta_0$  is nearly con-  
 172 stant, the dissipative parameter writes  $\mathcal{D}_i = \alpha \epsilon_0 \phi_0$ , or using notations by *Tof-*  
 173 *folon et al.* [27]  $\mathcal{D}_i = \alpha \chi$ , where  $\alpha$  is a constant. Large values of  $\mathcal{D}_i$  (or equiv-  
 174 alently  $\chi$ ) correspond to high tidal wave asymmetry, favorable to tidal bore  
 175 formation, but also to high energy dissipation leading to tidal damping which

176 is unfavorable to tidal bore formation. By using the parameters  $(\phi_0, \epsilon_0)$  it is  
177 possible to distinguish between these two different effects. This is why we have  
178 introduced the new friction parameter  $\phi_0$  in this paper.

179 The projection on the plane  $(\phi_0, \epsilon_0)$  is plotted in figure 2b. In this param-  
180 eter plane we can observe a clear separation between TB and NTB estuaries.  
181 Tidal bores occur when the nonlinearity parameter  $\epsilon_0$  is greater than a critical  
182 value,  $\epsilon_c$ , which is an increasing function of  $\phi_0$ . For small  $\phi_0$  values ( $\phi_0 \sim 15$ ),  
183 corresponding to estuaries such as the Severn estuary, tidal bores can form for  
184  $\epsilon_0$  greater than 0.2. By contrast, for large  $\phi_0$  values the tidal bore formation  
185 requires much larger nonlinearity parameters. For instance, in the Conwy estu-  
186 ary the tidal wave dynamics is strongly nonlinear ( $\epsilon_0=0.8$ ), but its very large  
187 friction parameter value ( $\phi_0 = 65$ ) prevents tidal bore formation.

188 Due to the limited number of estuaries documented in this paper it is difficult  
189 to accurately characterize the function  $\epsilon_c(\phi_0)$ . To overcome this experimental  
190 limitation we have used a 2D Saint Venant model to compute tidal wave so-  
191 lutions for 225 positions in the parameter plane  $(\phi_0, \epsilon_0)$ . We have performed  
192 our simulations using the shock-capturing shallow-water solver discussed and  
193 validated in *Ricchiuto* [23]. We consider idealized estuaries with a constant  
194 water depth, an exponentially decreasing width, a rectangular cross-sectional

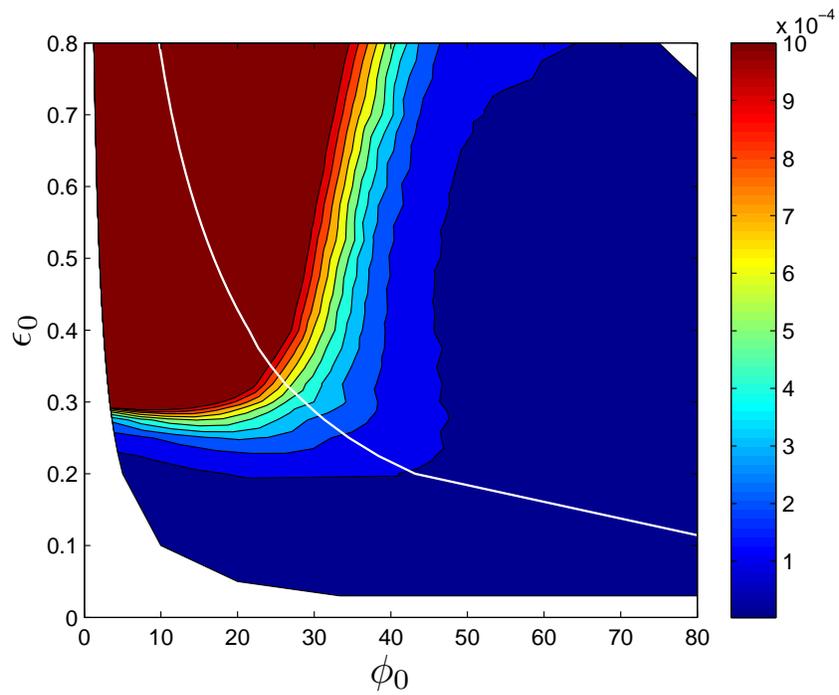


Figure 4: Maximum slope of the tidal wave at  $x = 3L_{b0}$ ; the colormap is limited to slope values below  $10^{-3}$ , a value above where we consider TB occurring; each point in the plane  $(\phi_0, \epsilon_0)$  represents the numerical tidal wave solution for an idealized convergent estuary of constant convergent parameter:  $\delta_0 = 2$ ; this figure relies on 225 numerical simulations (*Arpaia et al.* [1]); white line, zero-amplification curve.

195 shape and a constant  $\delta_0$ -parameter value ( $\delta_0 = 2$ ). A detailed presentation  
 196 and analysis of our numerical results can be found in *Arpaia et al.* [1]. From  
 197 these numerical simulations, we analyze tidal bore occurrence by determining  
 198 the maximum elevation slope of the tidal wave,  $\alpha_m = \max(\frac{\partial \zeta}{\partial x})$ , for each po-  
 199 sition in the parameter plane  $(\phi_0, \epsilon_0)$ . On the basis of field measurements by  
 200 *Bonneton et al.* [6], we consider that a tidal bore is formed when the maximum  
 201 elevation slope  $\alpha_m$  is larger than  $10^{-3}$ . Figure 4 presents the evolution of  $\alpha_m$   
 202 in the parameter plane  $(\phi_0, \epsilon_0)$ . We observe in this figure a separation between  
 203 TB and NTB estuaries, which is in qualitative agreement with real-estuary ob-  
 204 servations (see figure 2b). In figure 4 the critical curve,  $\epsilon_c(\phi_0)$ , differs slightly  
 205 from that of figure 2b. This is due to the simplifying modelling assumptions  
 206 and in particular the hypotheses of constant  $\delta_0$  and constant water-depth. In  
 207 real alluvial estuaries it is common to observe a decreasing water depth in the  
 208 upper estuary. Such a condition is favorable to tidal bore formation and can  
 209 explain why the critical curve for real estuaries (figure 2b) is located slightly  
 210 below that of idealized constant-depth estuaries (figure 4).

211 The white curve in figure 4 shows the position of estuaries for which tidal  
 212 amplification,  $\delta_A = \frac{1}{T_r} \frac{dT_r}{dx}$ , is equal to zero. These estuaries are named ideal  
 213 estuaries or synchronous estuaries (see *Savenije* [25]). The region below this

214 curve corresponds to amplified estuaries (also named hypersynchronous estu-  
 215 aries) while the region above it corresponds to damped estuaries (also named  
 216 hyposynchronous estuaries). *Arpaia et al.* [1] showed that this zero-amplification  
 217 curve, obtained from numerical simulations, is in close agreement with the the-  
 218 oretical law derived by *Savenije et al.* [24] (their equation (61)), for the selected  
 219 value of  $\delta_0 = 2$ . It is generally accepted that tidal bores form in amplified es-  
 220 tuaries (see *Chanson* [9]). However, our numerical simulations show in figure 4  
 221 that the intersection between TB estuaries and damped estuaries is not empty.  
 222 This intersection corresponds to the region, in the parameter plane  $(\phi_0, \epsilon_0)$ , lo-  
 223 cated above both the zero-amplification curve and the  $\epsilon_c$  critical curve. Two  
 224 real estuaries, the Ord and Pungue, can be identified in this region (see figure  
 225 2b). Both are TB estuaries associated with damped tidal waves, which confirm  
 226 our modelling results. Other damped TB estuaries have been documented in  
 227 the literature, such as the Seine estuary ([5, 6]) or the Sée and Sélune rivers  
 228 (*Furgerot* [14]).<sup>1</sup> These observations along with our modelling approach, show  
 229 that tidal wave amplification is clearly not a necessary condition for tidal bore

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<sup>1</sup>These three TB estuaries have not been included in our scaling analysis (Table 1) because of the complexity of their morphological shape which cannot be described by one convergence length  $L_{b0}$ .

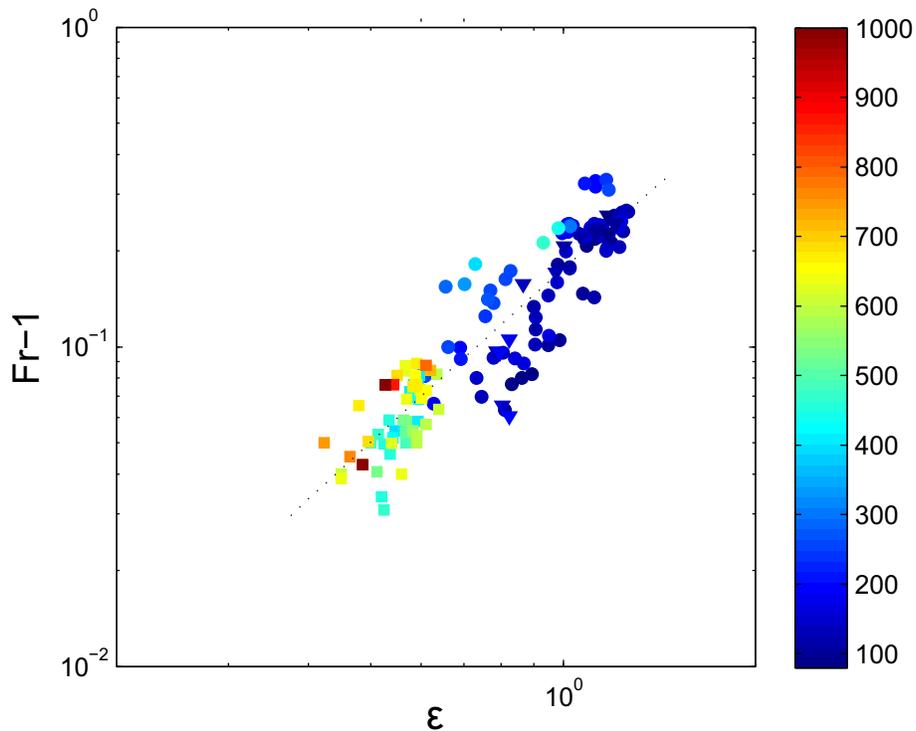


Figure 5: Evolution of the tidal bore Froude number,  $Fr$ , minus 1, as a function of the nondimensional local tidal amplitude  $\epsilon = \frac{Tr/2}{D_1}$ , where  $D_1$  is the water depth at low tide. Observations were performed in the upper Gironde/Garonne estuary at Podensac ( $x = 126 \text{ km} \approx 3L_{b0}$ ); the colormap shows the freshwater discharge,  $q_0$ , in  $\text{m}^3/\text{s}$ ; square symbol, TBG1 campaign; circle symbol, TBG2 campaign; triangle symbol, TBG3 campaign; Dotted line, linear fit.

230 formation.

## 231 5. Freshwater discharge effects

232 In the preceding section we considered tidal bore formation under the most  
 233 favorable conditions (spring tides and low  $q_0$ ) which allowed us to neglect fresh-  
 234 water discharge effects in our analysis. However, it is well known that tides  
 235 in estuaries may be significantly affected by the rate of discharge (e.g. *Parker*

236 [21] or *Horrevoets et al.* [15]), especially in the upper estuary, where tidal bores  
237 generally occur.

238 In this section we analyze the effect of freshwater discharge on tidal bore  
239 formation, on the basis of 3 Gironde/Garonne field campaigns covering a large  
240 range of tidal amplitudes  $A_0$  and freshwater discharges  $q_0$ . Field measurements  
241 were carried out in the upper estuary at 126 km upstream of the river mouth.  
242 *Bonneton et al.* [6] showed that tidal bore occurrence and intensity are mainly  
243 governed by the local dimensionless amplitude,  $\epsilon = \frac{Tr/2}{D_1}$ , where  $Tr$  is the field  
244 site tidal range and  $D_1$  is the water depth at low tide. This is confirmed by  
245 figure 5 which shows a close relation between the tidal bore Froude number<sup>2</sup>  
246 and  $\epsilon$ . We do not observe in this figure any significant influence of freshwater  
247 discharge on the relation between  $Fr$  and  $\epsilon$ . Indeed, a given dimensionless  
248 amplitude corresponds to a given  $Fr$ , whatever the value of  $q_0$ . This does not  
249 mean that tidal bore formation is independent on  $q_0$  because the  $\epsilon$  parameter,  
250 which characterizes the tidal wave nonlinearity, is strongly dependent on  $q_0$ .  
251 Indeed,  $D_1$  and  $Tr$  are respectively increasing and decreasing functions of  $q_0$

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<sup>2</sup>The Froude number is computed from the relation  $Fr = \frac{|u_1 - c_b|}{(gD_1)^{1/2}}$ , where  $c_b$  is the bore celerity and  $u_1$  and  $D_1$  are the cross-sectionally averaged velocity and water depth ahead of the bore

252 (see *Bonneton et al.* [6]), and hence  $\epsilon$  is a decreasing function of  $q_0$ .

253 For a given estuary (herein the Gironde/Garonne estuary) the two main  
254 external variables controlling tidal waves are  $A_0$  and  $q_0$ . Figure 6 presents  
255 the occurrence and intensity of tidal bores, in the plane  $(A_0, q_0)$ , for all tides  
256 observed during our 3 field campaigns on the Gironde/Garonne estuary. In con-  
257 trast to the preceding sections, we do not restrict our analysis to spring tides  
258 and we consider the whole range of tidal wave amplitudes  $A_0$ , from neap to  
259 spring tides. Figure 6 shows that the tidal bore intensity (estimated from the  
260 Froude number) is an increasing function of  $A_0$  and a decreasing function of  $q_0$ .  
261 The freshwater discharge plays a damping role on the tidal wave as it propa-  
262 gates along the estuary. *Cai et al.* [7] showed, from an analytical mathematical  
263 approach, that this process is essentially due to the fact that the river discharge  
264 increases the friction term. For large  $q_0$  ( $q_0 \simeq 1000 \text{ m}^3/\text{s}$ ), and then strong  
265 friction-damping effects, tidal bores rarely occur. However, low-intensity tidal  
266 bore can eventually form during spring tides (see Figure 6). By contrast, for  
267 low  $q_0$ , the tidal wave is amplified and tidal bores can even form at neap tide  
268 ( $A_0 = 0.95$ ). In figure 6 we do not observe a sharp transition between tidal-bore  
269 and non tidal-bore regimes. This indicates that tidal bore occurrence can also  
270 depend on secondary external variables such as wind intensity and direction,

271 atmospheric pressure and non stationarity of the freshwater discharge.

272 To take into account the freshwater discharge in the tidal-bore scaling anal-  
273 ysis it is necessary to introduce a fourth external parameter. This fourth pa-  
274 rameter is the dimensionless river discharge  $\mathcal{Q}_0$ , which characterizes the ratio  
275 between the freshwater discharge and the tidal discharge at the estuary mouth  
276 (see *Cai et al.* [7]). In order to express  $\mathcal{Q}_0$  as a function of the external variables  
277 of the problem, we take for the characteristic velocity scale  $U_0 = L_{b0}A_0D_0^{-1}\omega_0$   
278 (i.e.  $K = 1$ ). Hence  $\mathcal{Q}_0$  is written:

$$279 \quad \mathcal{Q}_0 = \frac{q_0}{A_0B_0L_{b0}\omega_0}$$

280 where  $B_0$  is the characteristic channel width at the estuary mouth<sup>3</sup>

## 281 6. Conclusion

282 We have presented in this paper the first quantitative study of the conditions  
283 for tidal bore formation in convergent alluvial estuaries. First, we have shown  
284 that TB estuaries are characterized by large convergence ratios which are close  
285 to the critical convergence  $\delta_c$ . To classify alluvial estuaries in terms of tidal  
286 bore occurrence we have introduced a new dimensionless friction parameter

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<sup>3</sup>This dimensionless river discharge parameter  $\mathcal{Q}_0$  is closely linked to the Canter-Cremers number (see *Savenije* [25], section 2.3).

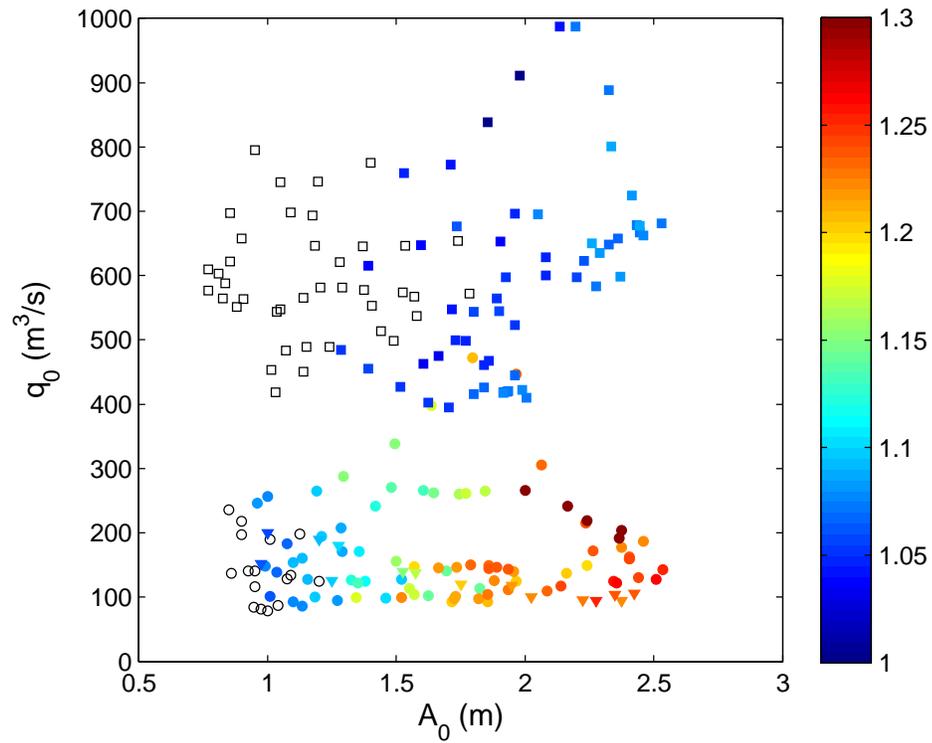


Figure 6: Position of the tides, observed during 3 field campaigns on the Gironde/Garonne estuary, in the plane  $(A_0, q_0)$ . Close and open symbols correspond to tidal wave with and without tidal bore respectively; square symbol, TBG1 campaign; circle symbol, TBG2 campaign; triangle symbol, TBG3 campaign; the colormap shows the tidal bore Froude number.

287  $\phi_0 = \frac{C_{f0}Lw_0}{D_0}$ . By exploring the parameter space  $(\phi_0, \epsilon_0)$ , from both field data  
288 and numerical simulations, we have shown that tidal bores form in convergent  
289 estuaries when the nonlinearity parameter  $\epsilon_0$  is greater than a critical value  
290  $\epsilon_c$ . This critical nonlinearity parameter is an increasing function of the friction  
291 parameter  $\phi_0$ . We have also identified a region in the parameter space  $(\phi_0, \epsilon_0)$   
292 which corresponds to TB estuaries with damped tidal waves. This new result  
293 shows that, contrary to what it is generally assumed, tide amplification is not  
294 a necessary condition for tidal bore formation.

295 We have also analyzed flow conditions for which the freshwater discharge  
296 can no longer be neglected. In this context, it is necessary to introduce a fourth  
297 external parameter, the dimensionless river discharge  $Q_0 = \frac{q_0}{A_0 B_0 L_{b0} \omega_0}$ . We have  
298 shown that in the upper estuary the tidal bore intensity is mainly governed by  
299 the local dimensionless tide amplitude  $\epsilon$ . The bore intensity is an increasing  
300 function of  $\epsilon$  and this relationship does not depend on freshwater discharge.  
301 However, freshwater discharge damps the tidal wave during its propagation and  
302 thus reduces  $\epsilon$  and consequently limits the tidal bore development in the estuary.

303 In this paper we have introduced the main dimensionless parameters con-  
304 trolling tidal bore formation and propose, for the first time, an estuarine clas-

305 sification in terms of tidal bore occurrence. Our approach has been validated  
306 from both field observations and numerical simulations. However, tidal bore  
307 observation worldwide are most often qualitative and usually based on visual  
308 observations (e.g. [19, 2, 9]). An accurate estuarine classification would require  
309 new quantitative field measurements, like those of [26, 30, 28, 3, 5, 6, 8, 13],  
310 for estuaries having contrasting characteristics. Furthermore, addressing allu-  
311 vial estuaries with nonlinearity parameter  $\epsilon_0$  close to  $\epsilon_c(\phi)$  would allow a more  
312 accurate determination of the critical curve between TB and NTB estuaries.  
313 In parallel with these new observations it would also be important to extend  
314 the scaling analysis presented in this paper, particularly taking into account  
315 large-scale spatial variations of the estuary water depth.

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