

Rankin-Selberg integrals in  
positive characteristic and  
its connection to  
Langlands functoriality

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I. Motivation

$N$  is a positive integer

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$\chi: (\mathbb{Z}/N\mathbb{Z})^\times \rightarrow \mathbb{C}^\times$  a Dirichlet  
character  
 $\chi(m) = 0$  for  $(m, N) \neq 1$

$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} = \frac{\chi(1)}{1^s} + \frac{\chi(2)}{2^s} + \frac{\chi(3)}{3^s} + \dots$$

It converges for  $\text{Re}(s) > 1$ .

It has a meromorphic continuation.

If  $\chi$  is trivial,

$$\zeta(s) := 1 + \dots$$

## Euler Product

$$L(s, \chi) = \prod_{p: \text{prime}} \left( 1 + \frac{\chi(p^s)}{p^s} + \frac{\chi(p^{2s})}{p^{2s}} + \dots \right)$$

↑  
factorization

$$= \prod_{p: \text{prime}} \frac{1}{1 - \chi(p)p^{-s}}$$

The uniqueness of prime factorization

$$n^{-s} = (p_1^{e_1} p_2^{e_2} \dots p_k^{e_k})^{-s}$$

↳ Rankin-Selberg method  
↳ Langlands-Shahidi method

The functional equation

The standard Gamma function

$$\Gamma(s) = \int_0^{\infty} x^{s-1} e^{-x} dx, \operatorname{Re}(s) > 0$$

The complete (global) Dirichlet  
L-function

$$\Lambda(s, \chi) := \pi^{-\frac{s+\varepsilon}{2}} \Gamma\left(\frac{s+\varepsilon}{2}\right) L(s, \chi)$$

$$= \underbrace{\pi^{-\frac{s+\varepsilon}{2}} \prod_{p|N} \left(\frac{s+\varepsilon}{2}\right)}_{\text{archimedean factor}} \prod_{p|N} \frac{1}{p^{s+\varepsilon}} \prod_{p \nmid N} \frac{1}{1 - \chi(p)p^{-s}} \underbrace{\quad}_{\text{non-archimedean unramified factor}}$$

archimedean factor    non-archimedean ramified factor    non-archimedean unramified factor

$\varepsilon \in \{0, 1\}$  such that:  $\chi(-1) = (-1)^\varepsilon$

$$\Delta(s, \chi) = (-\bar{x})^\varepsilon Z(\chi) N^{-s} \Delta(1-s, \bar{\chi})$$

$$\bar{x} = \sqrt{-1}$$

$$Z(\chi) := \sum_{n \pmod{N}} \chi(n) e^{\frac{2\pi i n}{N}}$$

$n \pmod{N}$

Gauss Sum

Global  $\varepsilon$ -functions:

$$\varepsilon(s, \chi) = (-\bar{x})^\varepsilon Z(\chi) N^{-s}$$

- The Dirichlet Character
  - $\in L(1)$
- The classical holomorphic cusp forms (Hecke eigenforms)
  - $GL_2$

→ "automorphic representations and L-functions"

## II. Tate Thesis

$F$ : non-archimedean local fields

ex)  $F = \mathbb{Q}_p, \mathbb{F}_p((T))$

$\mathcal{O}$ : a ring of integers for  $F$

$\mathcal{O}^*$ : units in  $\mathcal{O}$

$\varpi$ : a uniformizer with  $q = |\varpi|^{-1}$

$\chi, \mu$ : characters of  $F^*$

$S(F)$ : Bruhat-Schwartz functions on  $F$ .

For  $\Phi \in S(F)$ , we define

$$\Psi(S, \chi, \mu, \Phi) = \int_{F^*} (\chi \mu)(a) \Phi(a) |a|^s da$$

It converges absolutely for  $\text{Re}(s) \gg 0$

### Tate L-factor

$$L(S, \chi \mu) = \begin{cases} \frac{1}{1 - \chi \mu(\varpi) q^{-s}}, \\ \text{if } \chi \text{ and } \mu \text{ are} \\ \text{unramified} \\ 1, \text{ otherwise} \end{cases}$$

## OBSERVATION

$L(s, \chi \times \mu)$  has a pole at  $s=0$   
if and only if  $\chi \mu \equiv 1 \iff \chi = \bar{\mu}'$

## Fourier Transform

$\psi$ : a non-trivial additive character on  $F$

$$\hat{\Phi}(y) = \int_F \Phi(x) \psi(x \cdot y) dx.$$

•  $\gamma$ -factor

$$\Psi(1-s, \chi', \bar{\mu}', \hat{\Phi})$$

$$= \gamma(s, \chi \times \mu, \psi, \Psi(s, \chi, \mu, \Phi))$$

$$\gamma(s, \chi \times \mu, \psi) \in \mathbb{C}(q^{-s})$$

•  $\varepsilon$ -factor

$$\varepsilon(s, \chi \times \mu, \psi) = \gamma(s, \chi \times \mu, \psi) \frac{L(s, \chi \times \mu)}{L(1-s, \bar{\chi}' \times \bar{\mu}')}.$$

$$\varepsilon(s, \chi \times \mu, \psi) \in \mathbb{C}[q^{\pm s}]$$

## III. The Local Functoriality

Assume that  $\text{char}(F) = 0$ , ex)  $F = \mathbb{Q}_p$

$$\Delta^g(\text{SO}_{2r+1});$$

the set of irreducible generic supercuspidal representations of  $SO_{2r+1}(F)$  (up to equivalence)

$A_\ell(GL_{2r})$ ;

the set of all  $\Pi$  of  $GL_{2r}(F)$  of the form

$$\Pi \simeq \text{Ind}(\Pi_1 \otimes \dots \otimes \Pi_d),$$

where each  $\Pi_i$  is an irreducible, supercuspidal, (self-dual representation) of some  $GL_{2r_i}(F)$  such that

$L_\psi(S, \Pi_i \wedge^\circ)$  has a pole at  $S=n$

and  $\Pi_i \not\cong \Pi_j$  for  $i \neq j$ .

Theorem (Jiang and Soudry)

There exists a unique bijection

taking  $A_\ell^\circ(SO_{2r+1}) \rightarrow A_\ell(GL_{2r})$ ,

$$\pi \longmapsto \Pi$$

such that

$$L(S, \pi \times \tau) = L(S, \Pi \times \tau)$$

$$\mathcal{E}(S, \pi \times \tau, \psi) = \mathcal{E}(S, \Pi \times \tau, \psi)$$

for all irreducible supercuspidal representations  $\tau$  of  $GL_n(F)$

for all  $n$ .

① Existence  $\leftarrow$  global functorial lifting

(Cogdell, Kim, Piatetski-Shapiro and Shahidi)

② Injective  $\leftarrow$  local converse thm  
"local descent theory" + ...  
(backward lifting)

Ginzburg, Rallis, and Soudry

③ Surjective

$\leftarrow$  "local descent theory"

Remark (Jiang and Soudry)

It can be extended to all of  $A^{\circ}(SO_{2r+1})$ , the set of all irreducible admissible generic representations of  $SO_{2r+1}(F)$

Theorem (Jiang, Nien and Qin)

(1)  $L_S(S, \Pi, \Lambda^2)$  has a pole at  $S=0$ .

(2)  $\Pi$  has a Shalika functional.

$\omega/\Pi$  is a local functorial transfer from  $\pi$ .

#### IV. Local Exterior Square

##### 1 - functions

Assume that  $\text{char}(F) > 2$ , ex)  $F = \mathbb{F}_p((T))$

$N_r(F)$ : the subgroup of upper triangular matrices with 1 in diagonals

$M_r(F)$ :  $r \times r$  matrices;

$M_n(F)$ : the subgroup of  $M_r(F)$

... consisting of upper triangular matrices

##### Perfect shuffled permutation

$$\sigma_{2r} = \left( \begin{array}{c|ccc} 1 & 2 & \dots & r \\ \hline 1 & 3 & \dots & 2r-1 \end{array} \middle| \begin{array}{ccc} r+1 & r+2 & \dots & 2r \\ 2 & 4 & \dots & 2r \end{array} \right)$$

$$\sigma_{2r+1} = \left( \begin{array}{c|cccc} 1 & 2 & \dots & r \\ \hline 1 & 3 & \dots & 2r-1 \end{array} \middle| \begin{array}{cccc} r+1 & r+2 & \dots & 2r & 2r+1 \\ 2 & 4 & \dots & 2r & 2r+1 \end{array} \right)$$

$$m = 2r \text{ or } 2r+1$$

$\pi$ : an irreducible admissible generic (complex) representation of  $GL_m(F)$

$W(\pi, \psi)$ : Whittaker model for  $\pi$



$S(F^r)$ : Bruhat-Schwarz functions on  $F^r$

$$e_r = (0, 0, \dots, 1)$$

$$W \in W(\pi, \psi), \Phi \in S(F^r)$$

$$\boxed{m=2r}$$

$$J(S, W, \Phi) = \int_{N_r(F) \backslash GL_r(F)} \int_{N_r(F) \backslash M_r(F)}$$

$$W \left( \begin{pmatrix} I_r & X \\ & I_r \end{pmatrix} \begin{pmatrix} g & \\ & g \end{pmatrix} \right) \Phi(e_r \cdot g) \psi^{-1}(\text{Tr} X) |\det g|^s dx dg$$

$$\boxed{m=2r+1}$$

$$T \subset W \neq 1$$

|

|

$$\int_{N_r(F) \backslash GL_r(F)} \int_{N_r(F) \backslash M_r(F)} \int_{F^r}$$

$$W \left( \begin{pmatrix} I_r X & \\ & I_r \end{pmatrix} \begin{pmatrix} g & \\ & g \end{pmatrix} \begin{pmatrix} I_r & \\ & y_1 \end{pmatrix} \right)$$

$$\Phi(y) \psi^{-1}(\text{Tr} X) |\det g|^{s-1} dy dx dg$$

Theorem (Jacquet and Shalika)

① For  $W \in W(\pi, \psi)$  or id  $\Phi \in S(F^r)$ ,

$$J(S, W, \Phi) \in \mathbb{C}(q^{-s}).$$

Hence, it admits a meromorphic continuation.

②  $\langle J(S, W, \Phi) \rangle$  is a  $\mathbb{C}[q^{\pm s}]$ -fractional

ideal in  $\mathbb{C}(q^{-s})$ .

③  $\langle J(S, W, \mathbb{I}) \rangle = \langle \frac{1}{P(q^{-s})} \rangle$  such that

$P(X) \in \mathbb{C}[X]$  and  $P(0) = 1$ .

Def  $L(S, \pi, \Lambda^2) = \int_F \frac{1}{P(q^{-s})}$

Theorem (J.)

$\pi$ : irreducible admissible representation of  $GL_m(F)$

$$L(S, \pi, \Lambda^2) = L_S(S; \pi, \Lambda^2)$$

•  $\text{char}(F) = 0, 2018$

← Kewat-Raghunathan

Shalika subgroup

$$S_{2r} = \left\{ \begin{pmatrix} I_r & Z \\ & I_r \end{pmatrix} \begin{pmatrix} g & \\ & g \end{pmatrix} \mid \begin{array}{l} Z \in M_r(F) \\ g \in GL_r(F) \end{array} \right\}$$

Shalika functionals

A linear form  $\Lambda$  on  $W(\pi, \psi)$  is called a **Shalika functional** if

$$\Lambda(\pi \left( \begin{pmatrix} I_r & Z \\ & I_r \end{pmatrix} \begin{pmatrix} g & \\ & g \end{pmatrix} \right) W)$$

$$= \dots (\pi \Rightarrow \Lambda(W) = \dots W \in W(\pi, \psi))$$

$$- \psi(\text{Tr } Z / \Delta \mathbb{Z}(W) \quad (W = W \oplus W))$$

$$(0 \neq) \Delta \in \text{Hom}_{S_{2r}}(\pi, \mathbb{H}) \neq 0$$

Shalika Character

$$\mathbb{H} \left( \begin{pmatrix} I_r & Z \\ & I_r \end{pmatrix} \begin{pmatrix} g \\ g \end{pmatrix} \right) = \psi(-\text{Tr } Z)$$

Example

$\Delta$ : a discrete series representation

$L(S, \Delta, \Lambda^2)$  has a pole at  $s=0$

$\iff \Delta$  has a Shalika functional

Question (Cogdell, Picardetski-Shapiro  
and Mœglin)

$\pi$  will have a **Shalika functional**  
precisely when it is a **functional**  
lifting from  $SO_{2r+1}(F)$ .

Theorem (Lomelí)

There exists a map  

$$A_0^g(SO_{2r+1}) \rightarrow A_0(\text{GL}_{2r}), \text{ satisfying}$$

$$\downarrow \psi$$

$$\pi \longmapsto \Pi$$

$$L(S, \pi \times \tau) = L(S, \Pi \times \tau)$$

$$\varepsilon(S, \pi \times \tau, \psi) = \varepsilon(S, \Pi \times \tau, \psi)$$

for all irreducible supercuspidal representations  $\pi_i$  of  $GL_n(F)$  for all  $n$ .

### Theorem (Matringe)

$$\pi = \text{Ind}(\Delta_1 \otimes \Delta_2 \otimes \dots \otimes \Delta_t);$$

an irreducible generic representation of  $GL_{2n}$ , where  $\Delta_i$  are discrete series representations. Then

$\pi$  admits a  $(S_{2n}, \Theta)$ -Shalika functional

$\iff$  there is a reordering of the

$\Delta_i$ 's and an integer  $\tilde{n}$  between 1 and  $\lfloor \frac{t}{2} \rfloor$  such that

①  $\Delta_{\tilde{i}+1} \cong \Delta_{\tilde{i}}^\vee$  for  $\tilde{i} = 1, 3, \dots, 2\tilde{n}-1$   
and

②  $\Delta_{\tilde{i}}$  admits a  $(S_{2\tilde{n}}, \Theta)$ -Shalika functional for  $\tilde{i} > 2\tilde{n}$ .

$\iff L(S, \Delta_{\tilde{i}}, \Lambda^2)$  has a pole at  $S=0$ .

Take away

### Theorem (Kaplan)

$$\dim(F) = n$$



$\pi_1, \pi_2$  irreducible admissible generic representations of  $SO_{2r+1}(F)$   
 Suppose that

$$\gamma(S, \pi_1 \times Z, \psi) = \gamma(S, \pi_2 \times Z, \psi)$$

for all irreducible supercuspidal  $Z$  of  $GL_n(F)$  for  $1 \leq n \leq r$ .

Then  $\pi_1 \cong \pi_2$ .

Corollary

The map  $A_0^g(SO_{2r+1}) \rightarrow A_0(G_{2r})$

$$\pi \longmapsto \Pi$$

is injective. "local descent theory"

Remark  $\text{char}(F) = 0$

- Jiang and Soudry  
 + Jacquet's Conjecture  
 (Liu-Jacquet, Chai)
- $Sp_r(F), U_{2r+1}(F), U_r(F)$   
 - Q. Zhang
- Finite fields - Nien, Liu and Zhang
- Chen, Henniart, ...